

**South Dakota
Buses 2018
SD-C2-001
APPENDIX D-4
Beneficiary Eligible Mitigation Action Certification**

BENEFICIARY ELIGIBLE MITIGATION ACTION CERTIFICATION

Beneficiary _____

Lead Agency Authorized to Act on Behalf of the Beneficiary _____
(Any authorized person with delegation of such authority to direct the Trustee delivered to the Trustee pursuant to a Delegation of Authority and Certificate of Incumbency)

Action Title:	
Beneficiary's Project ID:	
Funding Request No.	<i>(sequential)</i>
Request Type: (select one or more)	<input type="checkbox"/> Reimbursement <input type="checkbox"/> Advance <input type="checkbox"/> Other (specify): _____
Payment to be made to: (select one or more)	<input type="checkbox"/> Beneficiary <input type="checkbox"/> Other (specify): _____
Funding Request & Direction (Attachment A)	<input type="checkbox"/> Attached to this Certification <input type="checkbox"/> To be Provided Separately

SUMMARY

Eligible Mitigation Action <input type="checkbox"/> Appendix D-2 item (specify): _____ Action Type <input type="checkbox"/> Item 10 - DERA Option (5.2.12) (specify and attach DERA Proposal): _____
Explanation of how funding request fits into Beneficiary's Mitigation Plan BM (5.2.1):
Detailed Description of Mitigation Action Item Including Community and Air Quality Benefits (5.2.2):
Estimate of Anticipated NOx Reductions (5.2.3):
Identification of Governmental Entity Responsible for Reviewing and Auditing Expenditures of Eligible Mitigation Action Funds to Ensure Compliance with Applicable Law (5.2.7.1):
Describe how the Beneficiary will make documentation publicly available (5.2.7.2).
Describe any cost share requirement to be placed on each NOx source proposed to be mitigated (5.2.8).
Describe how the Beneficiary complied with subparagraph 4.2.8, related to notice to U.S. Government Agencies (5.2.9).

If applicable, describe how the mitigation action will mitigate the impacts of NOx emissions on communities that have historically borne a disproportionate share of the adverse impacts of such emissions (5.2.10).

ATTACHMENTS
(CHECK BOX IF ATTACHED)

- Attachment A Funding Request and Direction.
- Attachment B Eligible Mitigation Action Management Plan Including Detailed Budget and Implementation and Expenditures Timeline (5.2.4).
- Attachment C Detailed Plan for Reporting on Eligible Mitigation Action Implementation (5.2.11).
- Attachment D Detailed cost estimates from selected or potential vendors for each proposed expenditure exceeding \$25,000 (5.2.6). [Attach only if project involves vendor expenditures exceeding \$25,000.]
- Attachment E DERA Option (5.2.12). [Attach only if using DERA option.]
- Attachment F Attachment specifying amount of requested funding to be debited against each beneficiary's allocation (5.2.13). [Attach only if this is a joint application involving multiple beneficiaries.]

CERTIFICATIONS

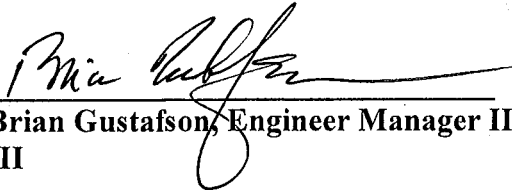
By submitting this application, the Lead Agency makes the following certifications:

1. This application is submitted on behalf of Beneficiary _____, and the person executing this certification has authority to make this certification on behalf of the Lead Agency and Beneficiary, pursuant to the Certification for Beneficiary Status filed with the Court.
2. Beneficiary requests and directs that the Trustee make the payments described in this application and Attachment A to this Form.
3. This application contains all information and certifications required by Paragraph 5.2 of the Trust Agreement, and the Trustee may rely on this application, Attachment A, and related certifications in making disbursements of trust funds for the aforementioned Project ID.
4. Any vendors were or will be selected in accordance with a jurisdiction's public contracting law as applicable. (5.2.5)
5. Beneficiary will maintain and make publicly available all documentation submitted in

support of this funding request and all records supporting all expenditures of eligible mitigation action funds subject to applicable laws governing the publication of confidential business information and personally identifiable information. (5.2.7.2)

DATED:

4/15/19



Brian Gustafson, Engineer Manager III
III

SD DENR

[LEAD AGENCY]

for

State of South Dakota

[BENEFICIARY]

ATTACHMENT B - PROJECT MANAGEMENT PLAN

TIMELINE

Date	Activity
November 5, 2018	Call for Round Eleven Projects Opens
December 14, 2018	Call for Round Eleven Projects Initial Deadline
December 17, 2018 - January 11, 2019	Evaluate & Select Initial Round Eleven Projects
January 14, 2019	Round Eleven Initial 11 Projects Posted. Email rebate agreements to 11 highest ranking applicants.
Week of March 18, 2019	Round Eleven Next 19 Projects Posted. Email rebate agreements to the remaining 19 applicants meeting initial deadline.
Week of March 18, 2019	Reopened Round Eleven for additional project applications
April 5, 2019	Deadline to submit additional applications.
Week of April 8, 2019	Announce Round Eleven Additional Projects. Email rebate agreements to the recipients.
January 2019 - April 2019	All recipients will order buses and email DENR copies of purchase orders.
September 2019	Deadline for all invoices and paperwork to be submitted to DENR for Round Eleven

PROJECTED VW TRUST ALLOCATIONS

SD's VW Trust Allocation	\$8,125,000
Current Allocation of Trust for Category 2 Bus Projects (10%)	\$812,500
Anticipated Category 2 Trust Funds Used for 2018 Bus Project	\$90,278
Anticipated VW Administrative Funds Used for 2018 Bus Project *	\$9,028
Funds used for previous Category 2 Bus Projects	\$0
Remaining Trust Funds Allocated for Category 2 Bus Projects	\$722,222

* Subject to 10% administrative cap in Beneficiary Mitigation Plan.

PROJECT BUDGET OVERVIEW

	Federal FY 2018
VW Category 2 Trust Funds	\$90,278
VW Administrative *	\$9,028
Mandatory Cost-Share of Buses	65-75% of buses

* Subject to 10% administrative cap in Beneficiary Mitigation Plan.

ITEMIZED PROJECT BUDGET

Budget Category	Federal FY 2018	
	VW Category 2	VW Administration
1. Buses	\$90,278	\$0
2. Administration	\$0	\$9,028
SD-C2-001 TOTAL	\$90,278	\$9,028

ATTACHMENT C – PLAN FOR REPORTING

Beneficiary Reporting Obligations: For each Eligible Mitigation Action, no later than six months after receiving its first disbursement of Trust Assets, and thereafter no later than January 30 (for the preceding six-month period of July 1 to December 31) and July 30 (for the preceding six-month period of January 1 to June 30) of each year, each Beneficiary shall submit to the Trustee a semiannual report describing the progress implementing each Eligible Mitigation Action during the six-month period leading up to the reporting date (including a summary of all costs expended on the Eligible Mitigation Action through the reporting date). Such reports shall include a complete description of the status (including actual or projected termination date), development, implementation, and any modification of each approved Eligible Mitigation Action. Beneficiaries may group multiple Eligible Mitigation Actions and multiple sub-beneficiaries into a single report. These reports shall be signed by an official with the authority to submit the report for the Beneficiary and must contain an attestation that the information is true and correct and that the submission is made under penalty of perjury. To the extent a Beneficiary avails itself of the DERA Option described in Appendix D-2, that Beneficiary may submit its DERA Quarterly Programmatic Reports in satisfaction of its obligations under this Paragraph as to those Eligible Mitigation Actions funded through the DERA Option. The Trustee shall post each semiannual report on the State Trust's public-facing website upon receipt at <https://www.vwenvironmentalmitigationtrust.com/state-trust/south-dakota>.

ATTACHMENT D – DETAILED COST ESTIMATES

Round 11

Recipient	Quote	%	Max Award
Beresford School District	\$91,470.00	25%	\$22,867.50
Gayville-Volin School District	\$83,702.00	25%	\$20,925.50
Oldham-Ramona School District	\$92,720.00	25%	\$23,180.00
West Central School District	\$95,005.00	25%	\$23,751.25
Arlington School District	\$81,550.00	25%	\$20,387.50
Baltic School District	\$86,677.00	25%	\$21,669.25
Wessington Springs School District	\$85,050.00	25%	\$21,262.50
Beresford School District	\$91,470.00	25%	\$22,867.50
Watertown School District	\$85,578.00	25%	\$21,394.50
Howard School District	\$86,897.00	25%	\$21,724.25
Yankton School District	\$80,533.00	25%	\$20,133.25
Elkton School District	\$91,371.80	35%	\$31,980.13
Madison Central School District	\$91,418.00	35%	\$31,996.30
Lennox School District	\$92,603.00	35%	\$32,411.05
Dell Rapids School District	\$95,615.00	25%	\$23,903.75
Brookings School District	\$92,770.00	35%	\$32,469.50
Centerville School District	\$86,300.00	25%	\$21,575.00
Harrisburg School District	\$91,372.00	35%	\$31,980.20
Huron School District	\$91,470.00	25%	\$22,867.50
Watertown School District	\$85,578.00	25%	\$21,394.50
Madison Central School District	\$91,418.00	35%	\$31,996.30
Huron School District	\$91,470.00	25%	\$22,867.50
K & D Busing Inc	\$92,500.00	35%	\$32,375.00
Forman Sale Service	\$79,550.00	25%	\$19,887.50
River City Public Transit	\$101,307.00	25%	\$25,326.75
K & D Busing Inc	\$81,200.00	25%	\$20,300.00
K & D Busing Inc	\$78,350.00	25%	\$19,587.50
K & D Busing Inc	\$83,000.00	25%	\$20,750.00
K & D Busing Inc	\$83,300.00	25%	\$20,825.00
K & D Busing Inc	\$83,000.00	25%	\$20,750.00
Leola School District	\$49,750.00	25%	\$12,437.50
Chester Area School District	\$86,795.00	25%	\$21,698.75
Rutland School District	\$84,000.00	25%	\$21,000.00
Rapid City Area School District	\$102,975.00	25%	\$25,743.75
Brandon Valley School District	\$114,079.00	25%	\$28,519.75
Timber Lake School District	\$98,666.00	25%	\$24,666.50
Rapid City Area School District	\$102,975.00	25%	\$25,743.75
McLaughlin School District	\$91,900.00	25%	\$22,975.00
Brandon Valley School District	\$120,163.00	25%	\$30,040.75
Brookings School District	\$202,910.00	25%	\$50,727.50
Rapid City Area School District	\$102,975.00	25%	\$25,743.75
Harrisburg School District	\$91,372.00	35%	\$31,980.20
Lennox School District	\$94,845.00	35%	\$33,195.75