

2019 Allocation
\$157,837

~~15% Charging Stations~~
~~15% Administrative~~

APPENDIX D-4
Beneficiary Eligible Mitigation Action Certification

BENEFICIARY ELIGIBLE MITIGATION ACTION CERTIFICATION

Beneficiary Central Council Tlingit & Haida Indian Tribes of Alaska

Lead Agency Authorized to Act on Behalf of the Beneficiary Business & Economic Development Department
 (Any authorized person with delegation of such authority to direct the Trustee delivered to the Trustee pursuant to a Delegation of Authority and Certificate of Incumbency)

Action Title:	Replacement of Class 4 Medium Truck
Beneficiary's Project ID:	1540-19
Funding Request No.	(sequential) 1
Request Type: (select one or more)	<input type="checkbox"/> Reimbursement <input checked="" type="checkbox"/> Advance <input type="checkbox"/> Other (specify): _____
Payment to be made to: (select one or more)	<input checked="" type="checkbox"/> Beneficiary <input type="checkbox"/> Other (specify): _____
Funding Request & Direction (Attachment A)	<input checked="" type="checkbox"/> Attached to this Certification <input type="checkbox"/> To be Provided Separately

SUMMARY

Eligible Mitigation Action Type	<input checked="" type="checkbox"/> Appendix D-2 item (specify): <u>(1) Class 4 Local Freight Truck</u> <input type="checkbox"/> Item 10 - DERA Option (5.2.12) (specify and attach DERA Proposal):
Detailed Description of Mitigation Action Item Including Community and Air Quality Benefits (5.2.2):	Replacement of one (1) Class 4 Medium Truck to improve operations for Smokehouse Catering, a tribally-owned business. See addendum
Estimate of Anticipated NOx Reductions (5.2.3):	Please see addendum for results.
Identification of Governmental Entity Responsible for Reviewing and Auditing Expenditures of Eligible Mitigation Action Funds to Ensure Compliance with Applicable Law (5.2.7.1):	Theresa Belton, 907-463-7108 Central Council Tlingit & Haida Indian Tribes of Alaska Finance Dept.
Describe how the Beneficiary will make documentation publicly available (5.2.7.2):	Please see addendum for public availability process.
Describe any cost share requirement to be placed on each NOx source proposed to be mitigated (5.2.8):	N/A
Describe how the Beneficiary complied with subparagraph 4.2.8, related to notice to U.S. Government Agencies (5.2.9):	central council Tlingit & Haida Indians Tribes of Alaska (the Tribe) was not notified by any government agencies of their interest within that timeframe.

If applicable, describe how the mitigation action will mitigate the impacts of NOx emissions on communities that have historically borne a disproportionate share of the adverse impacts of such emissions (5.2.10). **N/A**

ATTACHMENTS
(CHECK BOX IF ATTACHED)

- Attachment A Funding Request and Direction.
- Attachment B Eligible Mitigation Action Management Plan Including Detailed Budget and Implementation and Expenditures Timeline (5.2.4).
- Attachment C Detailed Plan for Reporting on Eligible Mitigation Action Implementation (5.2.11).
- Attachment D Detailed cost estimates from selected or potential vendors for each proposed expenditure exceeding \$25,000 (5.2.6). [Attach only if project involves vendor expenditures exceeding \$25,000.]
- Attachment E DERA Option (5.2.12). [Attach only if using DERA option.]
- Attachment F Attachment specifying amount of requested funding to be debited against each beneficiary's allocation (5.2.13). [Attach only if this is a joint application involving multiple beneficiaries.]

CERTIFICATIONS

By submitting this application, the Lead Agency makes the following certifications:

1. This application is submitted on behalf of Beneficiary **Central Tribes of Alaska** ^{Council Tlingit & Haida Indians} and the person executing this certification has authority to make this certification on behalf of the Lead Agency and Beneficiary, pursuant to the Certification for Beneficiary Status filed with the Court.
2. Beneficiary requests and directs that the Trustee make the payments described in this application and Attachment A to this Form.
3. This application contains all information and certifications required by Paragraph 5.2 of the Trust Agreement, and the Trustee may rely on this application, Attachment A, and related certifications in making disbursements of trust funds for the aforementioned Project ID.
4. Any vendors were or will be selected in accordance with a jurisdiction's public contracting law as applicable. (5.2.5)
5. Beneficiary will maintain and make publicly available all documentation submitted in

support of this funding request and all records supporting all expenditures of eligible mitigation action funds subject to applicable laws governing the publication of confidential business information and personally identifiable information. (5.2.7.2)

DATED:

8/27/19

Emily Edenshaw

Emily Edenshaw

[NAME] Director
[TITLE]

Business & Economic Development
[LEAD AGENCY] Department

for Central Council Tlingit and Haida
Indian Tribes of Alaska
[BENEFICIARY]

[SAMPLE ATTACHMENT B - USE OF THIS FORMAT IS NOT MANDATORY]

PROJECT MANAGEMENT PLAN
PROJECT SCHEDULE AND MILESTONES

Milestone	Date
Lead Agency Provides Notice of Availability of Mitigation Action Funds	08/27/2019
Project Sponsor Submits Proposal to Lead Agency	8/27/2019
Lead Agency Provides Written Approval of Project Sponsor's Proposal	8/27/2019
Lead Agency Incorporates Project Sponsor's Proposal into Mitigation Plan	8/27/2019
Trustee Acknowledges Receipt of Project Certification and Funding Direction	10/29/2019
Trustee Allocates Share of Funds for Approved Project	11/13/2019
Lead Agency Directs Funding (Advance Funded Projects)	11/13/2019
Project Sponsor Obtains Cost Share, Notifies or Certifies to Lead Agency	11/13/2019
Project Sponsor Enters into Contracts, Purchase Orders, etc. - Start	11/13/2019
Project Sponsor Enters into Contracts, Purchase Orders, etc. - Complete	11/13/2019
Project Installation(s) – Start	11/13/2019
Project Installation(s) – Complete	03/31/2020
Project Sponsor provides detailed invoices for all claimed project costs, documentation for emission reduction estimates, required certification documents to Lead Agency to support direction to Trustee for Payment (Reimbursement, Direct-to-Vendor) or final accounting (Forward Funded Projects)	04/01/2020 -
Lead Agency completes review and certifies payment direction to Trustee (Reimbursement)	
Trustee Acknowledges Receipt of Direction for Payment(s) (Advance Funded, Reimbursement)	-
Project Sponsor Certifies Project Completion	05/01/2020
Lead Agency Reports Project Completion	05/01/20

PROJECT BUDGET

Period of Performance: _____				
Budget Category	Total Approved Budget	Share of Total Budget to be Funded by the Trust	Cost-Share, if applicable (Entity #1)	Cost-Share, if applicable (Entity #2)
1. Equipment Expenditure	\$ 154,245	\$ 154,245	\$ 0	\$ 0
2. Contractor Support <i>(Provide List of Approved Contractors as Attachment with approved funding ceilings)</i>	\$ 0	\$ 0	\$ 0	\$ 0
3. Subrecipient Support <i>(Provide List of Approved Subrecipients or Grant Awardees as Attachment with approved funding ceilings)</i>	\$ 0	\$ 0	\$ 0	\$ 0
4. Administrative ¹	\$ 3,592	\$ 3,592	\$ 0	\$ 0
Project Totals	\$ 157,837	\$ 157,837	\$ 0	\$ 0
Percentage	100 %	100 %	0 %	0 %

¹ Subject to Appendix D-2 15% administrative cap.

PROJECTED TRUST ALLOCATIONS:

	2017	2018	2019	2020	2021
1. Anticipated Annual Project Funding Request to be paid through the Trust	\$	\$	\$ 157,837	\$	\$
2. Anticipated Annual Cost Share	\$	\$	\$ 0	\$	\$
3. Anticipated Total Project Funding by Year (line 1 plus line 2)	\$	\$	\$ 157,837	\$	\$
4. Cumulative Trustee Payments Made to Date Against Cumulative Approved Beneficiary Allocation	\$	\$	\$	\$	\$
5. Current Beneficiary Project Funding to be paid through the Trust (line 1)	\$	\$	\$	\$	\$
6. Total Funding Allocated to for Beneficiary, inclusive of Current Action by Year (line 4 plus line 5)	\$	\$	\$	\$	\$
7. Beneficiary Share of Estimated Funds Remaining in Trust	\$	\$	\$	\$	\$
8. Net Beneficiary Funds Remaining in Trust, net of cumulative Beneficiary Funding Actions (line 7 minus line 6)	\$	\$	\$	\$	\$

Central Council Tlingit & Haida Indian Tribes in Alaska for VW Trust Settlement

Attachment C

Detailed Plan for Reporting on Eligible Mitigation Action Implementation (5.2.11)

The Central Council Tlingit & Haida Indian Tribes in Alaska will, for each Eligible Mitigation Action (EMA), no later than 6 months after receipt of the first disbursement of Trust Assets and thereafter no later than January 30 (for the preceding six month period of July 1 to December 31) and July 30 (for the preceding six month period of January 1 to June 30) of each year will submit to the Trustee a semi-annual report describing the progress implementing each EMA during the six month period leading up to the reporting date including a summary of all costs expended on the EMA through the reporting date.

Such reports shall include a complete description of the status including actual or projected termination date, development, implementation and any modifications of each approved EMA. The reports will be signed by an official with authority to submit the report and will contain an attestation that the information is true and correct and that the submission is made under penalty of perjury.



Central Council Tlingit and Haida Indian Tribes of Alaska
The Elizabeth Peratrovich Hall
Business & Economic Development Department
320 West Willoughby Avenue
Juneau, Alaska 99801

Central Council Tlingit & Haida Indian Tribes of Alaska
2019 VW Settlement Project
Appendix D-4 Addendums

Detailed Description of Mitigation Action Item Including Community and Air Quality Benefits (5.2.2):

In order to achieve the goals and objectives of Central Council Tlingit & Haida Indian Tribes of Alaska, the Business & Economic Development Department is anticipating the following equipment will be replaced:

- a. Vehicle Type – Truck
- b. Class 4
- c. Chevy P-30 Step Van
- d. Mileage 133,464
- e. GM Diesel Motor, v6, 6.5 liter
- f. VIN - 1GBKP32Y3V3317323

We chose this vehicle to broaden, deepen and improve operations of Smokehouse Catering, a tribally-owned social enterprises. The current vehicle meets the specifications of the funding requirements and therefore we would like the vehicle to be replaced.

The objectives of the Tlingit & Haida's Food Truck are as follows:

- Create business and economic development opportunities for our tribal citizens;
- Offer authentic, fairly priced food options;
- Create an indigenous menu;
- Provide an opportunity for our customers to connect to Southeast Alaska Native Cultures;
- Increase sales to diversify Smokehouse Catering's overall budget;
- Make Alaska Native cultures more visible throughout downtown Juneau;
- Provide excellent customer service that enhances the perception of Alaska Natives.

The mission of Tlingit & Haida's Food Truck is to provide customers with an indigenous experience comprised of good quality food, great customer service and an opportunity to connect with Southeast Cultures through food.

Our goal is to bring an authentic and indigenous taste to the streets of Juneau without the hustle and bustle of a busy restaurant. Located in parking lots such as the Elizabeth Peratrovich Hall and the Sealaska Plaza, Tlingit & Haida's Food Truck possesses the ultimate trifecta: an authentic theme, a traditional featured food, and a visible Alaska Native Northwest Coast Art truck design.

From a values-based standpoint, our competitive advantage rests in the spirit of holding each other up and collaboration. For example, as a new business in this market, we will work with other food truck owners to help create a vibrant industry. We will also use our resources to not only promote our specials and events, but we will make an effort to be a good neighbor to other food truck business owners.

From an environmental standpoint, we are using these funds to secure a more environmentally friendly vehicle that will meet and exceed all Alaska Food Code requirements. Tlingit & Haida management overseeing the project will also ensure all permits and licenses are in good standing.

Estimate of Anticipated NOx Reductions (5.2.3):

Use The Quantifier: Diesel Em X Search Results for VW Settlement Trust

https://pub.epa.gov/quantifier/index.cfm?action=results.quantity

Emission Results

Here are the combined results for all groups and upgrades entered for your project.¹

<u>Annual Results (short tons)²</u>	NO _x	PM2.5	HC	CO	CO ₂	Fuel ³
Baseline for Upgraded Vehicles/Engines	0.233	0.019	0.026	0.068	16.5	1,463
Amount Reduced After Upgrades	0.223	0.019	0.025	0.063	0.0	0
Percent Reduced After Upgrades	95.6%	99.2%	95.4%	93.1%	0.0%	0.0%

<u>Lifetime Results (short tons)²</u>	NO _x	PM2.5	HC	CO	CO ₂	Fuel ³
Baseline for Upgraded Vehicles/Engines	0.233	0.019	0.026	0.068	16.5	1,463
Amount Reduced After Upgrades	0.223	0.019	0.025	0.063	0.0	0
Percent Reduced After Upgrades	95.6%	99.2%	95.4%	93.1%	0.0%	0.0%

Lifetime Cost Effectiveness (\$/short ton reduced)

Capital Cost Effectiveness ⁴ (unit & labor costs only)	\$0	\$0	\$0	\$0	\$0	
Total Cost Effectiveness ⁴ (includes all project costs)	\$707,300	\$8,486,213	\$6,284,118	\$2,508,431	\$0	

¹ Emissions from the electrical grid are not included in the results.

Type here to search

Describe how the Beneficiary will make documentation publicly available (5.2.7.2).

The Central Council of the Tlingit and Haida Indian Tribes of Alaska will establish a webpage dedicated to the funding received from the VW Settlement Trust, which will include a link for Tribal Citizens and members of the public to request additional information and documents related to the funding request and expenditure of funds.

The Central Council of the Tlingit and Haida Indian Tribes of Alaska will endeavor to respond to such requests within ten (10) business days and, when documents are requested, will advise the individual within that timeframe of the procedure for reviewing such documents. The Tribe does not have ordinances or policies for matters governing the publication of confidential

business information and personally identifiable information. To the extent that Tribal law does not address an issue, the Tribe may look to Alaska law as a non-binding source of guidance.



Central Council Tlingit and Haida Indian Tribes of Alaska
The Elizabeth Peratrovich Hall
Business & Economic Development Department
320 West Willoughby Avenue
Juneau, Alaska 99801

Central Council Tlingit & Haida Indian Tribes of Alaska
2019 VW Settlement Project
Budget Narrative

1. Equipment Expenditure

In order to achieve the goals and objectives of the Business & Economic Development Department, it is anticipated that the following equipment will be replaced:

(A) Class 6 Local Freight Trucks (Medium Truck)

- a. 1997 Chevy Workhorse Van
- b. Mileage 133,464
- c. GM Diesel Motor, v6, 6.5 liter

(B) Per the attached quote, the cost to replace this vehicle with a new diesel truck, ranging between 2018-19-20 models, is \$145,000.

(C) The maintenance costs, including transportation, permitting/licensing/tags, and other up-keep costs are approximately \$9,245

2. Contractor Support

- a. The attached truck quote by Northwest Mobile Kitchens is \$145,000

3. Sub recipient Support

4. Administrative Support

- a. Tlingit & Haida is going to use up to \$3,592 for Administrative Indirect Costs. This amount is 38.85% percent of the total maintenance costs of \$9245. The calculation excludes capitalized equipment.
- b. As a Federally Recognized Tribe, our indirect rate is 38.85%, which is in accordance with our Indirect Cost Agreement with our cognizant agency – Interior Business Center.
- c. Tlingit & Haida's indirect rate is an overhead allocation to all our grants and contracts to support administration. The indirect is pooled and supports activities that are necessary to operate tribal programs.

5. Project Totals

- a. The total project cost is \$157,837
- b. Included on the next page is the breakdown of the budget

Volkswagen Budget	
Revenue	
Total Budget	\$ 157,837
Expenses	
Food Truck	\$ 145,000
Maintenance	\$ 9,245
Total Food Truck Expenses	\$ 154,245
Administrative Fees	\$ 3,592
Total Expenses	\$ 157,837
Revenue minus Expenses	\$ -

ATTACHMENT D: Detailed Cost Estimate (5.2.6)

NORTHWEST MOBILE KITCHENS			
P.O. BOX 82263, PORTLAND, OR 97282			
EMAIL: info@nwmobilekitchens.com			
(503) 704-2450			
VEHICLE DESCR: STEP VAN - 18' L cargo area		CUSTOMER: Tlingit & Haida Tribes of Alaska	
DATE: 8-22-2019		dba Smokehouse Catering, Juneau, AK	
FOOD TRUCK PROPOSAL		Contact: Emily Edenshaw (907) 406-5116	
		email: eedenshaw@cchita-nsn.gov	
FOOD TRUCK FEATURES		SPECIFICATIONS	
All below items & cost subject to change following design phase decisions.		W x D x H	
WATER SYSTEM (licensed Plumbing labor & materials included)			
TANKS			
	35 GAL. FRESH WATER		
	42 GAL. GRAY WATER		
WATER HEATER & PUMP			
	WATER HEATER - 6 GAL ELEC ON DEMAND	12.5 amps	
	WATER PUMP		
	ACCUMULATOR		
	IN LINE FILTER		
	3" spigot, valves, vent cap, misc.		
SINKS			
	STAINLESS HAND SINK + FAUCET	13" x 17" x 13"	
	STAINLESS 3 COMP SINK	54" x 21" x 45"	
	ADD ON FAUCET	10" SPOUT	
	PRE-RINSE HOSE & FAUCET	12" x 45"	
EXHAUST SYSTEM			
	STAINLESS TYPE 1 HOOD	96" X 30" X 24"	
	1/3 HP FAN & MOTOR (downblast fan)		
	(set up for exhaust at factory)		
	EXHAUST DUCT	(1) 9"x15" x7"	
	FAN COLLARS	(1) 3" X 25 3/4" SQ.	
STRUCTURAL MATERIALS:			
UNDER HOOD WALL COVER			
	3/8" PLYWOOD		
	1/2" GYPSUM BOARD		
	304 2B 24 G STAINLESS WALL COVERING		
WALL COVER			
	WHITE ALUMINUM		
	3/8 PLYWOOD		
	1" RIGID INSULATION		

FLOORING	tdb following vehicle delivery		
NMK CUSTOM SERVICE WINDOW & AWNING			
SLIDING SAFETY GLASS WDWS w/ METAL AWNING.	(1) 5' x 42" (1) 5' W x 42" H		
NMK CUSTOM SERVICE SHELF			
RIGHT ELEVATION SHELF BRACKETS	(1) 5' x 12" x 1.5" THREE		
ELECTRICAL SYSTEM (licensed electrician labor & materials)			
100 AMP Breaker Box, 50 AMP MAIN	110 V		
Light Fixtures	(3) 4' LED FIXTURES		
OUTLETS (Interior 120V)	(8) EACH		
SWITCHES	(2) EACH		
JUNCTION BOX	(2) INTERIOR		
WIRING FOR 2 ROOFTOP FAN UNITS			
NMK DESIGN PHASE:	3 WEEKS w/ 15 page Plan Set for customer & local review.		
PROJECT MGMT:			
SPECIALTY WORK:			
PROPANE TANK - 23 gal. installed underfloor, regulator & lines			
FIRE SUPPRESSION - ANSUL SYSTEM			
GENERATOR: 6.5kw HGJAE-2145 Cummins Onan, Trans Switch & 50amp shore power inlet & starter on/off controls			
PROPANE TANKS, regulator installed underfloor, plus lines			
APPLIANCE INSTALLATION			
VEHICLE PURCHASE NOTE:	2019 or 2020 Ford F59 18' Ultimaster Step Van		
Vehicle purchase is direct between customer & vehicle dealer.			
FOOD TRUCK PROJECT BUDGET TOTAL:			\$145,000.00