

**APPENDIX D-4**  
**Beneficiary Eligible Mitigation Action Certification**

**BENEFICIARY ELIGIBLE MITIGATION ACTION CERTIFICATION**

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Beneficiary \_\_\_\_\_

Lead Agency Authorized to Act on Behalf of the Beneficiary \_\_\_\_\_  
 (Any authorized person with delegation of such authority to direct the Trustee delivered to the Trustee pursuant to a Delegation of Authority and Certificate of Incumbency)

<b>Action Title:</b>	
<b>Beneficiary's Project ID:</b>	
<b>Funding Request No.</b>	<i>(sequential)</i>
<b>Request Type: (select one or more)</b>	<input type="checkbox"/> Reimbursement <span style="float: right;"><input type="checkbox"/> Advance</span> <input type="checkbox"/> Other (specify): _____
<b>Payment to be made to: (select one or more)</b>	<input type="checkbox"/> Beneficiary <input type="checkbox"/> Other (specify): _____
<b>Funding Request &amp; Direction (Attachment A)</b>	<input type="checkbox"/> Attached to this Certification <input type="checkbox"/> To be Provided Separately

**SUMMARY**

<b>Eligible Mitigation Action</b> <input type="checkbox"/> Appendix D-2 item (specify): _____ <b>Action Type</b> <input type="checkbox"/> Item 10 - DERA Option (5.2.12) (specify and attach DERA Proposal): _____
<b>Detailed Description of Mitigation Action Item Including Community and Air Quality Benefits (5.2.2):</b>
<b>Estimate of Anticipated NOx Reductions (5.2.3):</b>
<b>Identification of Governmental Entity Responsible for Reviewing and Auditing Expenditures of Eligible Mitigation Action Funds to Ensure Compliance with Applicable Law (5.2.7.1):</b>
<b>Describe how the Beneficiary will make documentation publicly available (5.2.7.2).</b>
<b>Describe any cost share requirement to be placed on each NOx source proposed to be mitigated (5.2.8).</b>
<b>Describe how the Beneficiary complied with subparagraph 4.2.8, related to notice to U.S. Government Agencies (5.2.9).</b>

If applicable, describe how the mitigation action will mitigate the impacts of NOx emissions on communities that have historically borne a disproportionate share of the adverse impacts of such emissions (5.2.10).

**ATTACHMENTS**  
**(CHECK BOX IF ATTACHED)**

- Attachment A Funding Request and Direction.
- Attachment B Eligible Mitigation Action Management Plan Including Detailed Budget and Implementation and Expenditures Timeline (5.2.4).
- Attachment C Detailed Plan for Reporting on Eligible Mitigation Action Implementation (5.2.11).
- Attachment D Detailed cost estimates from selected or potential vendors for each proposed expenditure exceeding \$25,000 (5.2.6). [Attach only if project involves vendor expenditures exceeding \$25,000.]
- Attachment E DERA Option (5.2.12). [Attach only if using DERA option.]
- Attachment F Attachment specifying amount of requested funding to be debited against each beneficiary's allocation (5.2.13). [Attach only if this is a joint application involving multiple beneficiaries.]

**CERTIFICATIONS**

By submitting this application, the Lead Agency makes the following certifications:

1. This application is submitted on behalf of Beneficiary \_\_\_\_\_, and the person executing this certification has authority to make this certification on behalf of the Lead Agency and Beneficiary, pursuant to the Certification for Beneficiary Status filed with the Court.
2. Beneficiary requests and directs that the Trustee make the payments described in this application and Attachment A to this Form.
3. This application contains all information and certifications required by Paragraph 5.2 of the Trust Agreement, and the Trustee may rely on this application, Attachment A, and related certifications in making disbursements of trust funds for the aforementioned Project ID.
4. Any vendors were or will be selected in accordance with a jurisdiction's public contracting law as applicable. (5.2.5)
5. Beneficiary will maintain and make publicly available all documentation submitted in

**support of this funding request and all records supporting all expenditures of eligible mitigation action funds subject to applicable laws governing the publication of confidential business information and personally identifiable information. (5.2.7.2)**

**DATED:** \_\_\_\_\_

\_\_\_\_\_  
[NAME]

[TITLE]

**SIGNATURE:** *David Narum* \_\_\_\_\_

\_\_\_\_\_  
[LEAD AGENCY]

**for**

\_\_\_\_\_  
[BENEFICIARY]

**[SAMPLE ATTACHMENT B - USE OF THIS FORMAT IS NOT MANDATORY]**

**PROJECT MANAGEMENT PLAN**  
**PROJECT SCHEDULE AND MILESTONES**

Milestone	Date
Lead Agency Provides Notice of Availability of Mitigation Action Funds	
Project Sponsor Submits Proposal to Lead Agency	
Lead Agency Provides Written Approval of Project Sponsor's Proposal	
Lead Agency Incorporates Project Sponsor's Proposal into Mitigation Plan	
Trustee Acknowledges Receipt of Project Certification and Funding Direction	
Trustee Allocates Share of Funds for Approved Project	
Lead Agency Directs Funding (Advance Funded Projects)	
Project Sponsor Obtains Cost Share, Notifies or Certifies to Lead Agency	
Project Sponsor Enters into Contracts, Purchase Orders, etc. - Start	
Project Sponsor Enters into Contracts, Purchase Orders, etc. - Complete	
Project Installation(s) – Start	
Project Installation(s) – Complete	
Project Sponsor provides detailed invoices for all claimed project costs, documentation for emission reduction estimates, required certification documents to Lead Agency to support direction to Trustee for Payment (Reimbursement, Direct-to-Vendor) or final accounting (Forward Funded Projects)	-
Lead Agency completes review and certifies payment direction to Trustee (Reimbursement)	
Trustee Acknowledges Receipt of Direction for Payment(s) (Advance Funded, Reimbursement)	-
Project Sponsor Certifies Project Completion	
Lead Agency Reports Project Completion	

**PROJECT BUDGET**

Period of Performance: _____				
Budget Category	Total Approved Budget	Share of Total Budget to be Funded by the Trust	Cost-Share, if applicable (Entity #1)	Cost-Share, if applicable (Entity #2)
1. Equipment Expenditure	\$	\$	\$	\$
2. Contractor Support <i>(Provide List of Approved Contractors as Attachment with approved funding ceilings)</i>	\$	\$	\$	\$
3. Subrecipient Support <i>(Provide List of Approved Subrecipients or Grant Awardees as Attachment with approved funding ceilings)</i>	\$	\$	\$	\$
4. Administrative <sup>1</sup>	\$	\$	\$	\$
<b>Project Totals</b>	\$	\$	\$	\$
<b>Percentage</b>	%	%	%	%

<sup>1</sup> Subject to Appendix D-2 15% administrative cap.

**PROJECTED TRUST ALLOCATIONS:**

	<b>2017</b>	<b>2018</b>	<b>2019</b>	<b>2020</b>	<b>2021</b>
1. Anticipated Annual Project Funding Request to be paid through the Trust	\$	\$	\$	\$	\$
2. Anticipated Annual Cost Share	\$	\$	\$	\$	\$
3. Anticipated Total Project Funding by Year (line 1 plus line 2)	\$	\$	\$	\$	\$
4. Cumulative Trustee Payments Made to Date Against Cumulative Approved Beneficiary Allocation	\$	\$	\$	\$	\$
5. Current Beneficiary Project Funding to be paid through the Trust (line 1)	\$	\$	\$	\$	\$
6. Total Funding Allocated to for Beneficiary, inclusive of Current Action by Year (line 4 plus line 5)	\$	\$	\$	\$	\$
7. Beneficiary Share of Estimated Funds Remaining in Trust	\$	\$	\$	\$	\$
8. Net Beneficiary Funds Remaining in Trust, net of cumulative Beneficiary Funding Actions (line 7 minus line 6)	\$	\$	\$	\$	\$

# Addenda and Attachments, Blue Lake Rancheria Tribe Beneficiary Eligible Mitigation Action Certification

August 28, 2020

Included herein:

1. Addenda to Appendix D-4
2. Attachments B, C, and D

## Addenda to Appendix D-4

### Detailed Description of Mitigation Action Item Including Community and Air Quality Benefits (5.2.2)

During the third funding cycle of the Volkswagen Settlement Environmental Mitigation Trust for Indian Tribes, the eligible vehicle the Blue Lake Rancheria (BLR) will replace is a:

1. Government-owned Class 4 2007 Freightliner MT-45 stepvan. VIN # 4UZAANBW77CY08253

**STATE OF CALIFORNIA**  
**CERTIFICATE OF TITLE**

52619050729  
AUTOMOBILE  
VEHICLE HISTORY

VEHICLE ID NUMBER: 4UZAANBW77CY08253  
YR. MODEL MAKE: 2007 FRHT  
PLATE NUMBER: 7HKV128

UNLADEN WEIGHT: D  
FUEL: 04/25/19  
FEES PAID: \$1479  
REGISTRATION EXPIRATION DATE: 05/08/2020

BODY TYPE MODEL: MH  
YR 1ST SOLD: DY  
CLASS: 2019  
MO: AE  
EQUIPMT/TRUST NUMBER: 05/07/19  
ISSUE DATE: 05/07/19

MOTORCYCLE ENGINE NUMBER: ODOMETER DATE: 05/01/2014  
ODOMETER READING:

REGISTERED OWNER(S):  
**BLUE LAKE RANCHERIA**  
428 CHARTIN RD  
PO BX 428  
BLUE LAKE CA 95525

I certify (or declare) under penalty of perjury under the laws of the State of California that THE SIGNATURE(S) BELOW RELEASES INTEREST IN THE VEHICLE.

1a. DATE: X SIGNATURE OF REGISTERED OWNER

1b. DATE: X SIGNATURE OF REGISTERED OWNER

Federal and State law requires that you state the mileage upon transfer of ownership. Failure to complete or providing a false statement may result in fines and/or imprisonment.

The odometer now reads (no tenths), miles and to the best of my knowledge reflects the actual mileage unless one of the following statements is checked. Mileage is VOID if altered or erased.

WARNING  Odometer reading is not the actual mileage.  Mileage exceeds the odometer mechanical limits.

I certify (or declare) under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

DATE: X SIGNATURE OF BUYER OR ACCT. HODR FOR A COMPANY: X

PRINTED NAME OF SELLER OR ACCT. HODR FOR A COMPANY: PRINTED NAME OF BUYER OR ACCT. HODR FOR A COMPANY:

**IMPORTANT READ CAREFULLY**  
Any change of Lienholder (holder of security interest) must be reported to the Department of Motor Vehicles within 10 days.

2. X  
Signature releases interest in vehicle. (Company names must be court assigned)  
Release Date: CA188821975

018843 REG. 17 2019 (REV. 02/2016)

**KEEP IN A SAFE PLACE - VOID IF ALTERED**

The eligible vehicle will be scrapped and replaced with a:

1. All-electric Motive Power Systems Model F59 stepvan, quoted at \$175,000 (see Attachment D below). BLR understands that the “scrapped” means to render the old vehicle inoperable and available for recycle. A 3” or larger hole will be cut in the engine block of the old vehicle, and the chassis will be disabled by cutting the frame rails in half.

### Estimate of Anticipated NOx Reductions (5.2.3):

The EPA diesel emissions quantifier estimated 100 percent reduction of emissions, which would be the case if the new vehicle is charged solely by BLR’s solar array.

The screenshot shows the EPA Diesel Emissions Quantifier interface. The main heading is "Update Project Information". Below this, there is a form for "Project Name" with the value "Stepvan". A blue button "Add a Vehicle or Engine Group" is visible. The "Group Name" is "stepvan". A summary box displays the following details:

- Type: Onroad
- Engine Model Year: 2007
- Fuel Type: ULSD (diesel)
- Target Fleet: Short Haul - Single Unit
- Upgrade Year: 2020
- Annual Fuel Gallons: 2,463
- Class: Class 4-5
- Remaining Life: 9
- Diesel-equivalent Gallons: 2,463
- Sector: Freight
- Annual Miles Traveled: 14,362
- Vocation: Delivery
- Annual Milling Hours: 30
- Quantity: 1

Below the summary box is a table titled "Upgrades to stepvan".

Action	Upgrade	Cost per Unit		Percent Reduction			
		Upgrade	Later	NO <sub>x</sub>	PM <sub>2.5</sub>	HC	CO <sub>2</sub>
<a href="#">Add</a>	Vehicle Replacement - All-Electric	\$0	\$0	100	100	100	100

At the bottom of the table, there is a blue button "Add an Upgrade".

### Appendix D-4, Section (5.2.7.2):

The Blue Lake Rancheria will post a notice on its webpage (bluelakerancheria-nsn.gov) informing members of the public of the availability of information and documents that related to the funding request, funding received, and expenditure of funds from the VW Settlement Trust. Documentation will be available at the Tribal Administration Office during regular business hours, or through written or electronic request.

The Blue Lake Rancheria will follow all applicable laws governing the access and distribution of information and publication of confidential business and personally identifiable information. The Blue Lake Rancheria will endeavor to respond to any such requests for information within ten (10) business days and, when documents are requested, will advise the individual within that time frame of the procedure for reviewing such documents.





## Attachment B. Project Schedule and Milestones

Milestone	Date
Trustee Provides Notice of Availability of Round 3 Mitigation Funds	6/29/20
Project Sponsor Submits Proposal to Lead Agency	8/28/20
Trustee Acknowledges Receipt of Project Certification and Funding Direction	10/27/20
Trustee Allocates Share of Funds for Approved Project	11/12/20
Lead Agency Directs Funding	11/30/20
Preferred Vehicle Purchased	4/26/21
Old Vehicle Decommissioned and Documentation Provided	6/11/21
All certifications, invoices, and documentation provided to Trustee	8/24/21
Project Completion	12/31/21

## Attachment C. Detailed Plan for Reporting on Eligible Mitigation Action Implementation (5.2.11):

**Blue Lake Rancheria Reporting Obligations.** For each eligible mitigation action, no later than 6 months after receiving its first disbursement of trust assets, and thereafter no later than January 30 (for the preceding 6-month period of July 1 to December 31), and July 30 (for the preceding 6-month period of January 1 to June 30), BLR will submit to the Trustee a semi-annual report describing the progress implementing each eligible mitigation action during the 6-month period leading up to the reporting date (including a summary of all costs expended on each eligible mitigation action through the reporting date. Reports will include a complete description of the status (including actual or projected termination date), development, implementation, and any modification of each approved eligible mitigation action. The report will be signed by the Tribal Administrator and contain an attestation that the information submitted is true and correct, under penalty of perjury.

## Attachment D. Detailed cost estimates from selected or potential vendors for each proposed expenditure exceeding \$25,000 (5.2.6).

BLR contact numerous EV vendors to solicit quotes for a new replacement vehicle. Final vehicle selection will be negotiated after the selection of a preferred vehicle.

Information below from John Feits at Motiv Power Systems quoting \$175,000 for a new Motiv F59 all-electric stepvan.

Step Van Information and Pricing

REPLY REPLY ALL FORWARD

Mark as unread



John Felts <john.felts@motivinc.com>  
Thu 8/27/2020 2:51 PM

To: David Narum;

1 attachment



David,

It was great to speak with you today. I am glad we got a chance to view our Step Van brochure and I feel that is the perfect application for what you are looking to do. As discussed the standard Step Van(body by Utilimaster) Runs approximately \$175K. The vehicle has 127KWh of battery and a range of approximately 105 Miles. I have also attached a brochure with all of the pertinent information regarding the van.

Best regards,

John Felts  
Northern California and Northwest Sales Rep | Motiv Power Systems  
[John.felts@motivps.com](mailto:John.felts@motivps.com) | 916 798 0286  
[www.motivps.com](http://www.motivps.com)