

Mashantucket Pequot Tribal Nation (MPTN)

Tribal Volkswagen Settlement

Appendix D-4 and supporting attachments

Funding Cycle 3



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Due: August 28, 2020

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APPENDIX D-4
Beneficiary Eligible Mitigation Action
Certification

Attachments A & B

BENEFICIARY ELIGIBLE MITIGATION ACTION CERTIFICATION

Beneficiary Mashantucket Pequot Tribal Nation

Lead Agency Authorized to Act on Behalf of the Beneficiary MPTN Public Works Department
(Any authorized person with delegation of such authority to direct the Trustee delivered to the Trustee pursuant to a Delegation of Authority and Certificate of Incumbency)

Action Title:	Replacement of (1) Diesel Truck (Class 8)
Beneficiary's Project ID:	MPTN-PG-VW-YR3-2020
Funding Request No.	<i>(sequential)</i> 3
Request Type: (select one or more)	<input type="checkbox"/> Reimbursement <input checked="" type="checkbox"/> Advance <input type="checkbox"/> Other (specify): _____
Payment to be made to: (select one or more)	<input checked="" type="checkbox"/> Beneficiary <input type="checkbox"/> Other (specify): _____
Funding Request & Direction (Attachment A)	<input checked="" type="checkbox"/> Attached to this Certification <input type="checkbox"/> To be Provided Separately

SUMMARY

Eligible Mitigation Action	<input checked="" type="checkbox"/> Appendix D-2 item (specify): <u>Option 1: Class 8 Local Freight Trucks (Eligible Large Trucks)</u> <input type="checkbox"/> Item 10 - DERA Option (5.2.12) (specify and attach DERA Proposal): _____
Action Type	
Detailed Description of Mitigation Action Item Including Community and Air Quality Benefits (5.2.2):	
Attachment J - Appendix D-4 [Sec. 5.22 through Sec. 5.2.10]	
Estimate of Anticipated NOx Reductions (5.2.3):	
Attachment J - Appendix D-4 [Sec. 5.22 through Sec. 5.2.10]	
Identification of Governmental Entity Responsible for Reviewing and Auditing Expenditures of Eligible Mitigation Action Funds to Ensure Compliance with Applicable Law (5.2.7.1):	
Attachment J - Appendix D-4 [Sec. 5.22 through Sec. 5.2.10]	
Describe how the Beneficiary will make documentation publicly available (5.2.7.2).	
Attachment J - Appendix D-4 [Sec. 5.22 through Sec. 5.2.10]	
Describe any cost share requirement to be placed on each NOx source proposed to be mitigated (5.2.8).	
Attachment J - Appendix D-4 [Sec. 5.22 through Sec. 5.2.10]	
Describe how the Beneficiary complied with subparagraph 4.2.8, related to notice to U.S. Government Agencies (5.2.9).	
Attachment J - Appendix D-4 [Sec. 5.22 through Sec. 5.2.10]	

If applicable, describe how the mitigation action will mitigate the impacts of NOx emissions on communities that have historically borne a disproportionate share of the adverse impacts of such emissions (5.2.10).

Attachment J - Appendix D-4 [Sec. 5.22 through Sec. 5.2.10]

ATTACHMENTS
(CHECK BOX IF ATTACHED)

- Attachment A Funding Request and Direction.
- Attachment B Eligible Mitigation Action Management Plan Including Detailed Budget and Implementation and Expenditures Timeline (5.2.4).
- Attachment C Detailed Plan for Reporting on Eligible Mitigation Action Implementation (5.2.11).
- Attachment D Detailed cost estimates from selected or potential vendors for each proposed expenditure exceeding \$25,000 (5.2.6). [Attach only if project involves vendor expenditures exceeding \$25,000.]
- Attachment E [N/A] DERA Option (5.2.12). [Attach only if using DERA option.]
- Attachment F [N/A] Attachment specifying amount of requested funding to be debited against each beneficiary's allocation (5.2.13). [Attach only if this is a joint application involving multiple beneficiaries.]

CERTIFICATIONS

By submitting this application, the Lead Agency makes the following certifications:

- 1. This application is submitted on behalf of Beneficiary Mashantucket Pequot Tribal Nation, and the person executing this certification has authority to make this certification on behalf of the Lead Agency and Beneficiary, pursuant to the Certification for Beneficiary Status filed with the Court.**
- 2. Beneficiary requests and directs that the Trustee make the payments described in this application and Attachment A to this Form.**
- 3. This application contains all information and certifications required by Paragraph 5.2 of the Trust Agreement, and the Trustee may rely on this application, Attachment A, and related certifications in making disbursements of trust funds for the aforementioned Project ID.**
- 4. Any vendors were or will be selected in accordance with a jurisdiction's public contracting law as applicable. (5.2.5)**
- 5. Beneficiary will maintain and make publicly available all documentation submitted in**

support of this funding request and all records supporting all expenditures of eligible mitigation action funds subject to applicable laws governing the publication of confidential business information and personally identifiable information. (5.2.7.2)

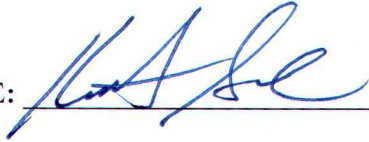
DATED: 8-13-2020

Keith Gove, Director

[NAME]

[TITLE]

SIGNATURE: _____



MPTN Public Works Department

[LEAD AGENCY]

for

Mashantucket Pequot Tribal Nation

[BENEFICIARY]

[SAMPLE ATTACHMENT B - USE OF THIS FORMAT IS NOT MANDATORY]

PROJECT MANAGEMENT PLAN
PROJECT SCHEDULE AND MILESTONES

Milestone	Date
Lead Agency Provides Notice of Availability of Mitigation Action Funds	
Project Sponsor Submits Proposal to Lead Agency	
Lead Agency Provides Written Approval of Project Sponsor's Proposal	
Lead Agency Incorporates Project Sponsor's Proposal into Mitigation Plan	
Trustee Acknowledges Receipt of Project Certification and Funding Direction	6/29/2020
Trustee Allocates Share of Funds for Approved Project	11/12/2020
Lead Agency Directs Funding (Advance Funded Projects)	11/12/2020
Project Sponsor Obtains Cost Share, Notifies or Certifies to Lead Agency	11/12/2020
Project Sponsor Enters into Contracts, Purchase Orders, etc. - Start	11/12/2020
Project Sponsor Enters into Contracts, Purchase Orders, etc. - Complete	1/30/2021
Project Installation(s) – Start	2/15/2021
Project Installation(s) – Complete	8/30/2021
Project Sponsor provides detailed invoices for all claimed project costs, documentation for emission reduction estimates, required certification documents to Lead Agency to support direction to Trustee for Payment (Reimbursement, Direct-to-Vendor) or final accounting (Forward Funded Projects)	-
Lead Agency completes review and certifies payment direction to Trustee (Reimbursement)	
Trustee Acknowledges Receipt of Direction for Payment(s) (Advance Funded, Reimbursement)	-
Project Sponsor Certifies Project Completion	
Lead Agency Reports Project Completion	

PROJECT BUDGET

Period of Performance: 6/29/2020 -8/30/2021				
Budget Category	Total Approved Budget	Share of Total Budget to be Funded by the Trust	Cost-Share, if applicable (Entity #1)	Cost-Share, if applicable (Entity #2)
1. Equipment Expenditure	\$ 179,572	\$ 151,759	\$ 27,813	\$
2. Contractor Support <i>(Provide List of Approved Contractors as Attachment with approved funding ceilings)</i>	\$ 0	\$ 0	\$	\$
3. Subrecipient Support <i>(Provide List of Approved Subrecipients or Grant Awardees as Attachment with approved funding ceilings)</i>	\$ 0	\$ 0	\$	\$
4. Administrative ¹	\$ 0	\$ 0	\$	\$
Project Totals	\$ 179,572	\$ 151,759	\$ 27,813	\$
Percentage	100 %	84.5 %	15.5 %	%

¹ Subject to Appendix D-2 15% administrative cap.

PROJECTED TRUST ALLOCATIONS:

	2017	2018	2019	2020	2021
1. Anticipated Annual Project Funding Request to be paid through the Trust	\$	\$	\$	\$ 151,759	\$
2. Anticipated Annual Cost Share	\$	\$	\$	\$ 27,813	\$
3. Anticipated Total Project Funding by Year (line 1 plus line 2)	\$	\$	\$	\$ 179,572	\$
4. Cumulative Trustee Payments Made to Date Against Cumulative Approved Beneficiary Allocation	\$	\$	\$	\$ 283,936	\$
5. Current Beneficiary Project Funding to be paid through the Trust (line 1)	\$	\$	\$	\$ 151,759	\$
6. Total Funding Allocated to for Beneficiary, inclusive of Current Action by Year (line 4 plus line 5)	\$	\$	\$	\$ 435,695	\$
7. Beneficiary Share of Estimated Funds Remaining in Trust	\$	\$	\$	\$	\$
8. Net Beneficiary Funds Remaining in Trust, net of cumulative Beneficiary Funding Actions (line 7 minus line 6)	\$	\$	\$	\$	\$

Attachment C
Detailed Plan for
Reporting on Eligible
Mitigation Action
Implementation (5.2.11)

Attachment C
Detailed Plan for Reporting on
Eligible Mitigation Action Implementation (5.2.11)

Mashantucket Pequot Tribal Nation's (MPTN) Reporting on EMAs will take place bi-annually.

Appendix D-3, Appendix D-4, Bi-Annual Reports (Interim and Final) will be posted according to the following:

Mashantucket Pequot Tribal Nation (MPTN)
Notice of Policy to Make D-3 and D-4 of the Volkswagen Settlement Publicly Available

Pursuant to Section #7 of the MPTN Appendix D-3, and as stated in our MPTN Appendix D-4 application, and as indicted by the Trust Settlement, the Mashantucket Pequot Tribal Nation will make copies of the D-3 and D-4 application resources and all their attachments publicly available through the following measures:

- 1) Both the D-3 and the D-4 will be posted to our Tribal Community website via either MPTN GovNet "<http://govnet.mptn.org/Pages/GovNetWelcome.aspx>" (public-facing) our MPTN FoxNet website "<http://foxnet.mptn.org/Pages/default.aspx>" (public-facing) tribal community/government website; or the Pequot-At-Home "<https://www.pequotathome.net>" (public-facing) tribal community website.
- 2) Copies of the D-3 and D-4 will be posted in our monthly tribal newsletter
- 3) Hard copies of the D-3 and D-4 will be posted in our Tribal Community Center entranceway and lobby for tribal members to review
- 4) Copies of the D-3 and D-4 will be shared with Tribal Council including the Chairman, Public Works Department, and the Office of the Chief of Staff
- 5) Hard copies of the D-3 and D-4 will be posted at the Public Works Department Administration Office.
- 6) Bi-annual reports as required by the Trustee or the Court in accordance with Tribal policy

No confidential or personally identifiable information will be posted in any public notification from the Tribe pursuant to Tribal and Federal policies. All tribal laws regarding publicly available documentation requirements and policies will be followed.

As outlined above, the D-3, D-4, reports, and all documentation pertaining to the EMA projects will be available to the public. Contact information for the Public Works Director, Keith Gove, from the Public Works Department (Lead Agency) will be posted on the website listing for any community members who have questions or request documentation pertaining to the EMA project of information pertaining to the Mitigation Settlement.

MPTN will maintain and make publicly available all documentation submitted in support of the funding request, as well as records supporting all expenditures of EMA funds, subject to approved MPTN Tribal policies, procedures and law. No confidential or personally identifiable information will be posted in any public notification from the Tribe pursuant to Tribal and Federal policies. All tribal laws regarding publicly available documentation requirements and policies will be followed.

Any questions regarding documents posted for public participation will be directed to the Lead Agency, MPTN Public Works Department to the MPTN Public Works Director. The public will be allowed to submit questions directly to the Public Works Director via email or will be

able to call the Public Works Department to talk to the Public Works Director directly. Public Works will endeavor to answer public inquiries immediately but no later than 7 days. If copies of documents are requested Public Works will provide the individuals' copies of all requested documents in the earliest manner possible.

- Bi-annual reporting on MPTN's EMA implementation will be posted no more than 30 days after the end of each reporting period. MPTN acknowledges that the 1st semi-annual report will be due to the Trustee by 5/12/2021. After the first semi-annual report future semi-annual reports will be due on set dates. "No later than six months after the Tribe receives its first disbursement of Trust Assets, and thereafter no later than January 30 (for the preceding six-month period of July 1 to December 31) and July 30 (for the preceding six-month period of January 1 to June 30) of each year, the Tribe shall submit to the Trustee a semiannual report describing the progress implementing the Tribe's project during the six-month period leading up to the reporting date (including a summary of all costs expended on the Eligible Mitigation Action through the reporting date)". These reports will be submitted to the Trustee via Intralinks through the 1) the MPTN Grants Administrator, 2) the MPTN Finance Controller, or 3) the MPTN Public Works Director; all of whom have been identified, designated, and approved through the Trustee's Incumbency Certificate and as approved by the MPTN Tribal Chairman as authorized users and submitters in the Intralinks System.
- MPTN understand that for each EMA, MPTN shall submit a comprehensive Final Report to the Trustee (via Intralinks) describing the progress made on implementing each EMA covering the third funding award term. This report shall include a summary of all costs expended on the EMA through the reporting date.
- These reports will include a complete description of the status (including actual or projected termination date), development, implementation, staff involved and duties, and any modifications to each approved EMA. MPTN will group multiple EMA's into one report. These reports will be signed by an official with the authority to submit the report for MPTN.

Mashantucket Pequot Tribal Nation will oversee the proposed EMAs as follows:

- Mashantucket Pequot Tribal Nation will review and programmatic and financial expenditures of its EMAs to ensure compliance with Mitigation Settlement policies and Wilmington Trust's requirements as well as applicable Tribal policies and procedures. Programmatic Compliance will be conducted by MPTN's Public Works Department (Lead Agency), MPTN's Compliance Officer and in coordination with the MPTN Grants Administrator. Financial Reporting will be conducted by MPTN Finance Department in coordination with the MPTN Compliance Officer and MPTN Grants Administrator. Outlined below are our Standards for Financial Management. Mashantucket uses these standards for all organizational and grant/contract financial agreements, procurement practices, and property management.
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Mashantucket Pequot Tribal Nation by Tribal Council Resolution TCR032807-05 adopted the MPTN Standards for Tribal Organization Management Systems for submission to the Federal agencies to meet the requirements under 25 CFR, chapter V, Part 900, Subpart F. The standards are:

- Sections:
- A. Standards for Financial Management System
 - B. Standards for Procurement Management System
 - C. Standards for Property Management System

A. Standards for Financial Management System

The Mashantucket Pequot Tribal Nation (MPTN) shall expend and account for contract funds in accordance with all applicable tribal laws, regulations, and procedures in carrying out a self-determination contract.

The MPTN fiscal control and accounting procedures are sufficient to:

- a) Permit preparation of reports required by a self-determination contract and the Act; and
- b) Permit the tracing of contract funds to a level of expenditure adequate to establish that they have not been used in violation of any restrictions or prohibitions contained in any statute that applies to the self-determination contract.

MPTN Requirements to meet the required Financial Management System Standards are as follows:

- a) MPTN's Department of Finance will provide the Secretary a completed Financial Status Report, SF 269, as negotiated and agreed to in the self-determination contract.
- b) MPTN's Department of Finance shall maintain financial records sufficiently detailed to identify the source and application of self-determination contract funds received. The accounting records included the following information:
 - a. Identify contract awards
 - b. Record obligations and unobligated balances
 - c. List assets purchased with the funds
 - d. Record liabilities
 - e. Record expenditures and income
- c) MPTN's Department of Finance shall maintain effective control and accountability for all self-determination contract funds received and for all Federal real property, personal property, and other assets furnished for use by MPTN under the self-determination contract.
- d) MPTN's Department of Finance shall permit the comparison of actual expenditures with amounts budgeted by the tribe for each self-determination contract.
- e) MPTN's Grant Operating Departments, Department of Finance, and the Office of the Chief of Staff shall determine reasonableness, allowability, and allocability of self-determination contract costs based upon the terms of the self-determination contract and the tribe's applicable OMB cost principles
- f) MPTN's Department of Finance shall contain accounting records that are supported by documentation and other primary records that support self-determination contract fund expenditures
 - a. Canceled checks, paid bills, payroll records, time and attendance records, contract award documents, purchase orders

B. Standards for Procurement Management System

MPTN Standards to meet the required Procurement Management System Standards are as follows:

- a) MPTN's Procurement Department shall ensure that its vendors and/or subcontractors perform in accordance with the terms, conditions, and specifications of their contracts or purchase orders.
- b) MPTN's Procurement Department maintains written standards of conduct governing the performance of its employees who award and administer contract. See attached REGS.49
- c) MPTN's Procurement Department shall conduct all major procurement transactions by providing full and open competition, to the extent necessary to assure efficient expenditure of contract funds.
 - a. Indian Preference and Tribal Preference shall be applied in any procurement award.
- d) MPTN's Procurement Department will compare leasing and purchasing alternatives to determine which is more economical.

- e) MPTN's Procurement Department shall make procurement awards only to responsible entities that have the ability to perform successfully under the terms and conditions of the proposed procurement.
- f) MPTN's Procurement Department shall maintain records on the significant history of all major procurement transactions.
- g) MPTN's Procurement Department will be responsible for processing and settling all contractual and administrative issues arising out of procurement.
 - a. Settlement of any protests, disputes or claims
- h) Each subcontract entered into under the Indian Self-Determination and Education Assistance Act shall at a minimum:
 - a. Be in Writing
 - b. Identify the interested parties, their authorities, and the purposes of the contract
 - c. State the work to be performed under the contract
 - d. State the process for making any claim, the payments to be made, and the terms of the contract, which shall be fixed; and be subject to sections 7(b) and (c) of the Indian Self Determination and Education Assistance Act.

C. Standards for Property Management System

MPTN's Property Management system shall account for all property furnished or transferred by the Secretary for use under a self-determination contract or acquired with contract funds. (CFR 900.51)

The Property Management System tracks:

- a) personal property with an acquisition value in excess of \$5,000 per item
- b) sensitive personal property such as firearms which are subject to theft or pilferage and are managed by the custodian of such assets.
- c) Real property provided by the Secretary for use under the contract.
- d) MPTN Annual Equipment Inventory is available upon request.

MPTN's property management system maintains the following records:

- a) accurately describes the property
 - a. serial number, if applicable
 - b. other identification number
- b) source and acquisition date
- c) cost and federal share
- d) location, use and condition of property
- e) date of disposal and sale price if any.
 - a. An Asset Disposal Form is used to track inventory when the item is no longer operational or unneeded.

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- MPTN through our Federal Audit Clearing House use a federal Data Collection Form (DCF) for collection and storage of our annual audit information for every funding source the Tribe receives. Each DCF provides a summary of the annual audit including a list of our annual grant expenditures and audit findings, if any. Any funds relating to an approved EMA award would be handled using this same system. MPTN shall make available to Wilmington Trust, the Trustee, a copy of the federal grant Data Collection Form prepared and submitted to the Federal Audit clearing house in compliance with the federal Single Audit Act including audit findings related to these grant funds, if any, but excluding MPTN's audit report.

- MPTN will maintain and make publicly available all documentation submitted in support of the funding request, as well as records supporting all expenditures of EMA funds, subject to approved MPTN Tribal policies, procedures and law. No confidential or personally identifiable information will be posted in any public notification from the Tribe pursuant to Tribal and Federal policies. All tribal laws regarding publicly available documentation requirements and policies will be followed.
- Any questions regarding documents posted for public participation will be directed to the Lead Agency, MPTN Public Works Department to the MPTN Public Works Director. The public will can submit any questions directly to the Public Works Director via email or will be able to call the Public Works Department to talk to the Public Works Director directly. Public Works will endeavor to answer public inquiries immediately but no later than (10) days from the date of the request. If copies of documents are requested Public Works will provide the individuals' copies of all requested documents in the earliest manner possible.
- MPTN owns all of the cited diesel vehicles and will own any replacement vehicles. Support of these activities will be undertaken by appropriate MPTN employees as a part of their regular job duties.
- MPTN's Public Works Department (Lead Agency) will be responsible to select a scrapping vendor to "scrap" the cited diesel vehicles outlined in the MPTN's Appendix D-4. Information on vendor selection, date of scrapping, and all documentation detailing the specifics of this part of the project will be included in reports.
- Upon the termination or completion of any EMA, any unused EMA funds shall be returned by MPTN to the Trustee, to ultimately be returned to the Tribal Allocation Subaccount.

Tribal Beneficiary Reporting Compliance for Approved Mitigation Actions

No later than six months after the TRIBE receives its first disbursement of Trust Assets, and thereafter no later than January 30 (for the preceding six-month period of July 1 to December 31) and July 30 (for the preceding six-month period of January 1 to June 30) of each year, the TRIBE shall submit to the Trustee a semiannual report describing the progress implementing the TRIBE's project during the six-month period leading up to the reporting date (including a summary of all costs expended on the Eligible Mitigation Action through the reporting date). The TRIBE's reports shall include a complete description of the status (including actual or projected termination date), development, implementation, and any modification of the tribal project. If necessary, the TRIBE will engage the services of external vendors to review and/or audit these reports. These reports shall be signed by an official with the authority to submit the report for the TRIBE and will contain an attestation that the information is true and correct and that the submission is made under penalty of perjury. The old engine and chassis will be permanently disabled. Disabling the engine consists of cutting or punching a three inch by three-inch (3" x 3") hole in the engine block. Disabling the chassis entails cutting completely through the frame/frame rails on each side of the vehicle/equipment at a point located between the front and rear axles. If other, pre-approved scrapping methods were used, details and documentation will be included, including photos of the disabled engine/chassis and a Certificate of Vehicle/Engine Destruction.

Attachment D - Detailed Cost Estimate

BUDGET SUMMARY

#	Type	Class	Description			VW Trust Request	MPTN Cost Share	TRUCK TOTAL
1.	Triaxle	8	2021 International Navistar Hx620 SBA 6x4 Triaxle w/ accessories	Cost	Discount			
				\$334,721	- \$155,149	= \$151,759.00	\$27,813.00	\$179,572.00
TOTALS:						\$151,759.00	\$27,813.00	\$179,572.00

TOTAL PROJECT COST: \$179,572.00

**2021 Class 8
International Hx620
SBA 6x4 Triaxle
Truck Quote**



Hx620 SBA 6x4

Sales Proposal For:

MASHANTUCKET PEQUOT TRIB

Presented By:

NUTMEG INT'L TRKS INC

Prepared For:
 MASHANTUCKET PEQUOT TRIB
 Gregory Aquino
 PO Box 3008
 Mashantucket, CT 06338-3008
 (860)396 - 3063
 Reference ID: N/A

Presented By:
 NUTMEG INT'L TRKS INC
 KENNETH NIEMANN
 130 BRAINARD RD
 HARTFORD CT 06114 -
 (860)249-8635

Thank you for the opportunity to provide you with the following quotation on a new International truck. I am sure the following detailed specification will meet your operational requirements, and I look forward to serving your business needs.

Model Profile
2021 HX620 SBA 6x4 (HX62F)

APPLICATION: Construction Dump
MISSION: Requested GVWR: 72000. Calc. GVWR: 80000
 Calc. Start / Grade Ability: 28.36% / 2.80% @ 55 MPH
 Calc. Geared Speed: 77.1 MPH
DIMENSION: Wheelbase: 226.00, CA: 157.00, Axle to Frame: 65.00
ENGINE, DIESEL: {Cummins X15 525} Performance Series, EPA 2017, 525HP @ 1950 RPM, 1850 lb-ft Torque @ 1200 RPM, 2000 RPM Governed Speed, 538 Peak HP (Max)
TRANSMISSION, AUTOMATIC: {Allison 4500 RDS} 5th Generation Controls, Wide Ratio, 6-Speed with Double Overdrive, with PTO Provision, Less Retarder, Includes Oil Level Sensor, On/Off Highway
CLUTCH: Omit Item (Clutch & Control)
AXLE, FRONT NON-DRIVING: {Dana Spicer D-2000W} Wide Track, I-Beam Type, 20,000-lb Capacity
AXLE, REAR, TANDEM: {Meritor RT-46-164P} Single Reduction, Standard Width, 46,000-lb Capacity, with Lube Oil Pump, Driver Controlled Locking Differential in Forward-Rear and Rear-Rear Axle, 200 Wheel Ends Gear Ratio: 4.89
CAB: Conventional, Day Cab
TIRE, FRONT: (2) 425/65R22.5 Load Range L G296 MSA (GOODYEAR), 468 rev/mile, 68 MPH, All-Position
TIRE, REAR: (8) 11R24.5 Load Range H G282 MSD (GOODYEAR), 475 rev/mile, 75 MPH, Drive
SUSPENSION, REAR, TANDEM: {Hendrickson HMX-460-54} Walking Beam, 46,000-lb Capacity, 54" Axle Spacing, Rubber Springs, with Transverse Torque Rods, Rubber End Bushings
PAINT: Cab schematic 100LX
 Location 1: 6E12, Blue Metallic (Std)
 Chassis schematic N/A

<u>Code</u>	<u>Description</u>	<u>List</u> (US DOLLAR)
HX62F00	Base Chassis, Model HX620 SBA 6x4 with 226.00 Wheelbase, 157.00 CA, and 65.00 Axle to Frame.	\$225,778.00
1AMS	CROSSMEMBER, FRAME TIE for Heavy Duty	\$258.00
1CGD	FRAME RAILS Heat Treated Alloy Steel (120,000 PSI Yield); 12.50" x 3.750" x 0.500" (317.5mm x 95.25mm x 12.7mm); 480.8" (12212mm) Maximum OAL	\$1,505.00
1LTE	BUMPER, FRONT Contoured, Aluminum, Stainless Steel Clad, Heavy Duty	\$354.00
1VBC	TOW PIN, FRONT Heavy Duty; 150,000-lb. Total Capacity	\$838.00
1WXL	WHEELBASE RANGE 191" (485cm) Through and Including 236" (600cm)	\$633.00
2AYJ	AXLE, FRONT NON-DRIVING {Dana Spicer D-2000W} Wide Track, I-Beam Type, 20,000-lb Capacity	\$2,608.00
	<u>Notes</u> : Axle Lead Time is 52 Days	
3AGA	SUSPENSION, FRONT, SPRING Parabolic Taper Leaf, Shackle Type, 20,000-lb Capacity, with Shock Absorbers	\$724.00
4091	BRAKE SYSTEM, AIR Dual System for Straight Truck Applications	\$0.00
	<u>Includes</u> : BRAKE LINES Color and Size Coded Nylon : DRAIN VALVE Twist-Type : GAUGE, AIR PRESSURE (2) Air 1 and Air 2 Gauges; Located in Instrument Cluster : PARKING BRAKE CONTROL Yellow Knob, Located on Instrument Panel : PARKING BRAKE VALVE For Truck : QUICK RELEASE VALVE On Rear Axle for Spring Brake Release: 1 for 4x2, 2 for 6x4 : SLACK ADJUSTERS, FRONT Automatic (with Air Cam Brakes) : SLACK ADJUSTERS, REAR Automatic (with Air Cam Brakes) : SPRING BRAKE MODULATOR VALVE SR-7 with relay valve for 6x4/8x6 : SWITCH, AUXILIARY Interrupter for Cab Clearance/Marker Lights (Blinks Lights with Headlight Switch in "ON" Position); Instrument Panel Mounted	
4193	BRAKES, FRONT, AIR CAM 16.5" x 6", Includes 24 SqIn Long Stroke Brake Chambers	(\$1,368.00)
4619	TRAILER CONNECTIONS Four-Wheel, with Hand Control Valve and Tractor Protection Valve, for Straight Truck	\$542.00
4732	DRAIN VALVE {Berg} with Pull Chain, for Air Tank	\$0.00
4AZJ	AIR BRAKE ABS {Bendix AntiLock Brake System} 4-Channel (4 Sensor/4 Modulator) Full Vehicle Wheel Control System, with Automatic Traction Control	\$0.00
4EBS	AIR DRYER {Bendix AD-9} with Heater	\$0.00
4EXU	BRAKE CHAMBERS, REAR AXLE {Bendix EverSure} 30/30 Spring Brake	\$0.00
4EXV	BRAKE CHAMBERS, FRONT AXLE {Bendix} 24 SqIn	\$0.00
4JCK	BRAKES, AIR CAM, PUSHER AXLE S-Cam; 16.5" x 6.0"; Includes MGM 30 Sq.In. Brake Chambers; Furnished with One Pusher Lift Axle	\$193.00
4JNJ	SLACK ADJUSTER, PUSHER AXLE {Haldex} Automatic; for Single Pusher Axle	\$58.00
4LAA	SLACK ADJUSTERS, FRONT {Haldex} Automatic	\$138.00
4LGA	SLACK ADJUSTERS, REAR {Haldex} Automatic	\$376.00
4NDB	BRAKES, REAR, AIR CAM S-Cam; 16.5" x 7.0"; Includes 30/30 Sq.In. Long Stroke Brake Chamber and Spring Actuated Parking Brake	(\$3,048.00)

<u>Code</u>	<u>Description</u>	<u>List</u> (US DOLLAR)
4SPA	AIR COMPRESSOR {Cummins} 18.7 CFM	\$0.00
4WDW	BRAKE CHAMBERS, SPRING on Rear/Rear Axle Located Inside Rear Tire Envelope (Meets Asphalt Spreader/Paver Clearance Requirements)	\$48.00
4WHX	BRAKE PACKAGE, FRONT {Bendix Spicer ES-165-6X} Air, Cam Type, Extended Service; Size 16.5" x 6", Includes Automatic Slack Adjusters	\$258.00
4WHY	BRAKE PACKAGE, REAR {Bendix Spicer ES-165-7X} Air, Cam Type, Extended Service; Size 16.5" x 7", Includes Automatic Slack Adjusters	\$736.00
5710	STEERING COLUMN Tilting and Telescoping	\$0.00
5CAW	STEERING WHEEL 4-Spoke; 18" Dia., Black	\$0.00
5PTB	STEERING GEAR (2) {Sheppard M100/M80} Dual Power	\$1,159.00
6DGU	DRIVELINE SYSTEM {Dana Spicer} SPL250 Main Driveline with SPL170 Interaxle Shaft, for 6x4	\$0.00
7BLJ	EXHAUST SYSTEM Single, Horizontal Aftertreatment Device, Frame Mounted Right Side Under Cab, for Single Vertical Tail Pipe, Cab Mounted Right Side	\$0.00
7SAP	ENGINE COMPRESSION BRAKE {Cummins} Interbrake For Cummins Signature/ISX/X15 Engines; Furnished with Engine	\$0.00
7WBA	TAIL PIPE (1) Turnback Type, Bright	\$208.00
7WBS	MUFFLER/TAIL PIPE GUARD (1) Bright Stainless Steel	\$133.00
7WDM	EXHAUST HEIGHT 10'	\$0.00
8000	ELECTRICAL SYSTEM 12-Volt, Standard Equipment	\$0.00
	<u>Includes</u>	
	: HAZARD SWITCH Integral with Turn Signal Switch	
	: HEADLIGHT DIMMER SWITCH Integral with Turn Signal Lever	
	: PARKING LIGHT Integral with Front Turn Signal and Rear Tail Light	
	: STARTER SWITCH Electric, Key Operated	
	: STOP, TURN, TAIL & B/U LIGHTS Dual, Rear, Combination with Reflector	
	: TURN SIGNAL SWITCH Manual Cancelling with Lane Change Feature	
	: TURN SIGNALS, FRONT Includes Integral Reflectors and Side Marker Lights; Fender Mounted	
	: WINDSHIELD WIPER SWITCH 2-Speed with Wash and Intermittent Feature, Steering Column Mounted	
	: WINDSHIELD WIPERS Single Motor, Electric, Cowl Mounted	
	: WIRING, CHASSIS Color Coded and Continuously Numbered	
8554	TRAILER CONNECTION SOCKET 7-Way; Mounted at Rear of Frame	\$336.00
8685	HORN, AIR (2) Single Bell, Long Chrome	\$75.00
8718	POWER SOURCE Cigar Type Receptacle without Plug and Cord	\$0.00
8GXJ	ALTERNATOR {Leece-Neveville AVI160P2013} Brush Type; 12 Volt 160 Amp. Capacity, Pad Mount	\$0.00
8MJU	BATTERY SYSTEM {Fleetrite} Maintenance-Free, (3) 12-Volt 2850CCA Total, Top Threaded Stud	\$179.00
8RBN	RADIO Omit Item, Includes Wiring and Antenna, with Multiple Speakers	\$0.00

<u>Code</u>	<u>Description</u>	<u>List</u> (US DOLLAR)
8RMG	ANTENNA BASE (2) Single Function, (1) for CB and (1) for Entertainment Radio, without Splitter, Separate Lead-Ins, with CB Antenna Mounted on Left Mirror and AM/FM Antenna Mounted on Right Mirror	\$0.00
8VAY	HORN, ELECTRIC Disc Style	\$0.00
8VUK	BATTERY BOX Aluminum, with Plastic Cover, 18" Wide, 2-4 Battery Capacity, Mounted Right Side Back of Cab	\$0.00
8WCK	POWER SOURCE, TERMINAL TYPE 2-Post	\$0.00
8WGU	BATTERY BOX COVER Aluminum	\$646.00
8WTL	STARTING MOTOR {Delco Remy 39MT} 12 Volt, Gear Reduced, with Thermal Over-Crank Protection	\$42.00
8XAH	CIRCUIT BREAKERS Manual-Reset (Main Panel) SAE Type III with Trip Indicators, Replaces All Fuses	\$0.00
8XHT	HEADLIGHTS Halogen, Composite Combination	\$0.00
9585	FENDER EXTENSIONS Rubber	\$104.00
9AAB	LOGOS EXTERIOR Model Badges	\$0.00
9AAE	LOGOS EXTERIOR, ENGINE Badges	\$0.00
9ASE	FRONT END Tilting, Composite	\$0.00
9HCN	GRILLE Chrome Vertical Accent Bars, with Black Mesh	\$329.00
9WAC	BUG SCREEN Mounted Behind Grille	\$131.00
10060	PAINT SCHEMATIC, PT-1 Single Color, Design 100	\$0.00
	<u>Includes</u> : PAINT SCHEMATIC ID LETTERS "LX"	
10761	PAINT TYPE Base Coat/Clear Coat, 1-2 Tone	\$0.00
10NZL	SPECIAL RATING, GVWR Limited to 80,000-lb GVWR	\$0.00
11001	CLUTCH Omit Item (Clutch & Control)	\$0.00
12703	ANTI-FREEZE Red, Extended Life Coolant; To -40 Degrees F/ -40 Degrees C, Freeze Protection	\$0.00
12864	BLOCK HEATER, ENGINE {Phillips} 120V/1500W	\$89.00
12EGU	ENGINE, DIESEL {Cummins X15 525} Performance Series, EPA 2017, 525HP @ 1950 RPM, 1850 lb-ft Torque @ 1200 RPM, 2000 RPM Governed Speed, 538 Peak HP (Max)	\$2,882.00
12THT	FAN DRIVE {Horton Drivemaster} Direct Drive Type, Two Speed with Residual Torque Device for Disengaged Fan Speed	\$0.00
12VBC	AIR CLEANER Single Element	\$0.00
12VHE	FEDERAL EMISSIONS {Cummins X15} EPA, OBD and GHG Certified for Calendar Year 2020	\$0.00
12WBR	FAN OVERRIDE Manual; with Electric Switch on Instrument Panel, (Fan On with Switch On)	\$29.00
12WCX	HOSE CLAMPS, RADIATOR HOSES {Gates} Shrink Band Type	\$0.00
12WTA	FAN DRIVE SPECIAL EFFECTS Fan Cooling Ring with Fan Shroud Effects, Engine Mounted	\$0.00

<u>Code</u>	<u>Description</u>	<u>List</u> (US DOLLAR)
12WYZ	RADIATOR DRAIN & FILL FITTING SPECIAL; To Vacuum Out or Fill the Cooling System from the Bottom of Radiator, for Use with Quick-Connect Radiator Drain Tool or Shop Coolant Evacuation-Fill System	\$0.00
12WZE	EMISSION COMPLIANCE Federal, Does Not Comply with California Clean Air Idle Regulations	\$0.00
12XBC	RADIATOR Aluminum; Welded, Down Flow System, Front to Back, 1325 SqIn, 806 SqIn CAC	\$0.00
13AUW	TRANSMISSION, AUTOMATIC {Allison 4500 RDS} 5th Generation Controls, Wide Ratio, 6-Speed with Double Overdrive, with PTO Provision, Less Retarder, Includes Oil Level Sensor, On/Off Highway	\$15,288.00
13WBL	TRANSMISSION SHIFT CONTROL {Allison} Push-Button, for Allison 3000 & 4000 Series Transmission	\$50.00
13WHL	OIL COOLER, AUTO TRANSMISSION Remote Mounted	\$1,697.00
13WLM	TRANSMISSION OIL Synthetic; 63 thru 76 Pints	\$405.00
13WUC	ALLISON SPARE INPUT/OUTPUT for Rugged Duty Series (RDS); General Purpose Trucks, Construction	\$0.00
13WWW	NEUTRAL AT STOP OMIT	(\$210.00)
13WYU	SHIFT CONTROL PARAMETERS {Allison} 3000 or 4000 Series Transmissions, Performance Programming	\$0.00
14HRE	AXLE, REAR, TANDEM {Meritor RT-46-164P} Single Reduction, Standard Width, 46,000-lb Capacity, with Lube Oil Pump, Driver Controlled Locking Differential in Forward-Rear and Rear-Rear Axle, 200 Wheel Ends . Gear Ratio: 4.89	\$6,525.00
14RAA	AXLE, LIFT, CONTROLS for One Lift Axle; All Controls Inside Cab; Includes Pressure Gauge, Pressure Regulator and Lift/Lower Switch Inside Cab	\$1,088.00
14UAC	AXLE SPACING, FIRST PUSHER 54" Ahead of Drive Axle	\$0.00
14ULY	SUSPENSION, REAR, TANDEM {Hendrickson HMX-460-54} Walking Beam, 46,000-lb Capacity, 54" Axle Spacing, Rubber Springs, with Transverse Torque Rods, Rubber End Bushings	\$632.00
14WAL	SUSPENSION/REAR-AXLE IDENTITY for Meritor Tandem Rear Axles with Bar-Pin Beam Attachment Type Suspensions	\$191.00
14WCL	TORQUE RODS Hendrickson XTRB, for HMX Suspensions	\$445.00
14WMK	AXLE, REAR, LUBE {EmGard FE-75W-90} Synthetic Oil; 65 thru 89.99 Pints	\$488.00
14WNB	AXLE, REAR, LIFT, LUBE {EmGard FE-75W-90} Synthetic Oil; 1 thru 10.99 Pints	\$127.00
14WNM	AXLE, PUSHER, LIFT TYPE {Watson & Chalin SL-2065 Tru-Track} 20,000-lb Capacity, One Self-Steer Axle	\$15,283.00
15DYP	DEF TANK 9.5 US Gal (36L) Capacity, Frame Mounted Outside Left Rail, Under Cab	\$0.00
15LKU	FUEL/WATER SEPARATOR {Racor} Fuel Pre-Filter and Filter Base, Includes Water-In-Fuel Sensor	\$0.00
15MAD	FUEL HEATER {Cummins} Omit Plumbing for TRV on Cummins Engines	\$0.00
15SWH	FUEL TANK Top Draw, Polished Aluminum, 26" Dia, 100 US Gal (379L), Mounted Left Side, Under Cab	\$898.00
16031	CAB Conventional, Day Cab	\$0.00

<u>Code</u>	<u>Description</u>	<u>List</u> (US DOLLAR)
	<u>Includes</u> : CLEARANCE/MARKER LIGHTS (5) LED Roof Mounted : COAT HOOK, CAB Located on Rear Wall, Centered Above Rear Window : CONSOLE, CENTER Includes Two Cup Holders with Ash Cup and One Additional Storage Area : GLASS, ALL WINDOWS Tinted : GRAB HANDLE, CAB INTERIOR (2) One Each Side : GRAB HANDLE, EXTERIOR (2) Mounted Behind Driver and Passenger Doors : SPEAKERS IN CAB (4) Two Located in B-Pillar, Two Located Overhead on Driver and Passenger Sides : STEP (4) Two Steps Per Door	
16HBA	GAUGE CLUSTER English with English Electronic Speedometer	\$0.00
16JPG	SEAT, DRIVER {National 2000 Model 195} Air Suspension, Hi Back, Vinyl w/Cloth Insert, 2 Arm Rests, Isolator, Adjuster, 3 Chamber Lumbar, 6 Way Front Adj, 3 Way Rear Cushion Adj, 2-15 Degree Back Angle Adjustment, Adj Side Bolster, Vinyl Suspension Cover, Heat	\$348.00
16RAN	SEAT, PASSENGER {National 2000 Model 192} Non Suspension, High Back, Vinyl Boxing with Cloth Facing, 11 Degree Back Angle Adjust	\$558.00
16SNR	MIRRORS (2) C-Loop, Power Adjust, Heated, LED Clearance Lights, Bright Heads and Arms, 7.5" x 14" Flat Glass, Includes 7.5" x 7" Convex Mirrors, for 102" Load Width	\$667.00
	<u>Notes</u> : Mirror Dimensions are Rounded to the Nearest 0.5"	
16SNY	MIRROR, CONVEX, HOOD MOUNTED (2) Right and Left Sides, Bright, 7.5" x 7"	\$190.00
16VJZ	CAB INTERIOR TRIM Classic, for HX Day Cab	\$0.00
	<u>Includes</u> : CONSOLE, OVERHEAD Molded Plastic with Three Storage Pockets; One with Velcro Strap for CB Radio Mounting; Two with Netting : DOME LIGHT, CAB (2) Rectangular, Door Activated; Above Door Mounted, One Each Side : FLOOR COVERING Rubber, Black : SUN VISOR (2) Vinyl with Toll Ticket Strap	
16VRT	HEATER, ENHANCED CIRCULATION {Bergstrom} Control, for Extreme Cold Weather Climates	\$0.00
16VZA	WINDOW, REAR 52.25" Wide	\$0.00
16WAK	WINDOW, POWER (2) in Left and Right Doors	\$0.00
16WJG	CAB DOOR LOCKS Power	\$0.00
16WKB	AIR CONDITIONER {International Blend-Air} with Integral Heater and Defroster	\$0.00
16WKR	WINDSHIELD Single Piece	\$0.00
16WLD	CAB REAR SUSPENSION Dual Air Suspension, Includes Special Crossmember Assembly	\$0.00
16XWE	SUNSHADE, EXTERIOR Bright Finish, with Integral Clearance/Marker Lights	\$688.00
16ZDU	GRAB HANDLE, EXTERIOR Towel Bar Type in lieu of Non-Bright Grab Handles, for use with Cab or Cab/Sleeper Non-Bright Access, without Frame Access or Chassis Skirts	\$138.00
27DBA	WHEELS, FRONT {Accuride 29374} DISC; 22.5x12.25 Rims, Extra Polish Aluminum, 10-Stud, 285.75mm BC, Hub-Piloted, Flanged Nut, with Steel Hubs	\$1,056.00
28DMN	WHEELS, REAR DUAL DISC; 24.5x8.25 Rims, Polished Aluminum, 10-Stud, 285.75mm BC, Hub-Piloted, Flanged Nut, with Aluminum Hubs	\$2,718.00

<u>Code</u>	<u>Description</u>	<u>List</u> (US DOLLAR)
29ACD	TIRE VALVE CAP Flo-Thru Design	\$24.00
34ACA	WHEELS, LIFT AXLE PUSHER {Accuride 29683} Single Wheels, For One Lift Axle; 22.5x12.25 Rims, Extra Polish Aluminum, 10-Stud, 285.75mm BC, Hub-Piloted, Flanged Nut, Offset 4.00" << Pricing Includes Standard Tires >>	\$2,666.00
7384228105	(8) TIRE, REAR 11R24.5 Load Range H G282 MSD (GOODYEAR), 475 rev/mile, 75 MPH, Drive	\$5,232.00
7752668113	(2) TIRE, FRONT 425/65R22.5 Load Range L G296 MSA (GOODYEAR), 468 rev/mile, 68 MPH, All-Position	(\$162.00)
7752668113	(2) TIRE, PUSHER 425/65R22.5 Load Range L G296 MSA (GOODYEAR), 468 rev/mile, 68 MPH, All-Position	(\$162.00)
Total of Product Features		\$293,841.00
Services Section:		
40124	WARRANTY Standard for HX Series, Effective with Vehicles Built December 7, 2015 or Later, CTS-2015A	\$0.00
Total of Service Features		\$0.00
Total List Price Including Options:		\$293,841.00
1	Body and Equipment	\$38,530.00
Total Body Allied:		\$38,530.00

<u>Description</u>	<u>(US DOLLAR)</u>	<u>Price</u>
Factory List Prices:		
Product Items	\$293,841.00	
Service Items	\$0.00	
Total Factory List Price Including Options:		\$293,841.00
Freight	\$2,350.00	
Total Freight:		\$2,350.00
Total Factory List Price Including Freight:		\$296,191.00
Less Customer Allowance:		(\$155,149.00)
Total Vehicle Price:		\$141,042.00
Total Body/Allied Equipment:		\$38,530.00
Total Sale Price:		\$179,572.00
Total Per Vehicle Sales Price:		\$179,572.00
Net Sales Price:		\$179,572.00

Please feel free to contact me regarding these specifications should your interests or needs change. I am confident you will be pleased with the quality and service of an International vehicle.

Approved by Seller:

Accepted by Purchaser:

Official Title and Date

Firm or Business Name

Authorized Signature

Authorized Signature and Date

This proposal is not binding upon the seller without Seller's Authorized Signature

Official Title and Date

The TOPS FET calculation is an estimate for reference purposes only. The seller or retailer is responsible for calculating and reporting/paying appropriate FET to the IRS.

The limited warranties applicable to the vehicles described herein are Navistar, Inc.'s standard printed warranties which are incorporated herein by reference and to which you have been provided a copy and hereby agree to their terms and conditions.



EQUIPMENT SPECIALISTS

180 Roberts Street, East Hartford CT 06108 Tel: (860) 296-7000 • www.ES-CT.com

Nutmeg IH
ATTN: Ken Neiman

February 14, 2020

Beuroc DH Hardox 450 Body

REF: MASHANTUCKET

We are pleased to quote the following:

ONE (1) New BEAUROC DIAMOND SERIES Model DH
 18' LONG 48" SIDES 60" TAILGATE
 CONSTRUCTED OF HARDOX 450 STEEL 215,000 PSI TENSILE
 1/4" HARDOX-450 ONE PIECE FLOOR W/ ANTI-ABRASIVE
 1/4" HARDOX-450 AIR GATE - FLAME CUT HARDWARE
 BARN DOOR STYLE TAILGATE
 3.16" HARDOX-450 ONE-PIECE SIDES –
 3/16" HARDOX-450 ONE PIECE FRONT W/ 90 DEGREE FRONT CORNERS
 36" CAB PROTECTOR FULLY REINFORCED
 DRIVER SIDE FRONT STEPS WITH TWO (2) RUNG BELOW
 CENTER ASPHALT CHUTE
 8" BOLT-ON APRON
 MODEL G3 170-5.7-4 FRONT MOUNT TELESCOPIC HOIST
 MAX DUMP VALVE
 3/8" STEEL WEAR STRIP UNDER BODY
 NEW HOT-SHIFT PTO/PUMP & CONTROLS
 HYDRAULIC DUMP VALVE & RESERVOIR
 VIBCO BIG BERTHA VIBRATOR WITH SHUTDOWN
 ROLLRITE ALC HYDRAULIC LOAD COVER
 36" POLY TOOL BOX
 30 TON PINTLE ASSY ON 3/4" PLATE WITH 7-WAY RV PLUG AND GLADHANDS
 LED LOAD LIGHT
 SHOVEL HOLDER
 FOUR (4) MUD FLAPS
 LED LIGHTING REAR CORNERPOSTS TO INCORPORATE S/T/T & REVERSE
 BACK-UP AND BODY UP ALARMS
 ALL LED LIGHTS & REFLECTORS TO MEET FMVSS-108
PRIMED AND PAINTED BLUE
 FULLY INSTALLED AND OPERATIONAL ON EXISTING CAB & CHASSIS – **BEFORE**
ORDERING HEADBOARD HEIGHT & CHASSIS CT MUST BE CONFIRMED FOR 18' BODY

FOB: Hartford, CT.....\$ 38,530.00*

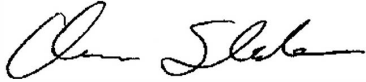
* PLUS APPLICABLE TAXES

OPTIONS:

A. 1/4" SIDES AND HEADSHEET..... \$ 600.00

B. LED AMBER 6-LITE SYSTEM.....	\$ 1600.00
C. TWO (2) REAR LED SPOTS.....	\$ 480.00

Respectfully Submitted,



Glenn Slade- Sales Consultant
Equipment Service

ACCEPTANCE OF PROPOSAL: The above prices, specifications, and conditions are satisfactory and are hereby accepted.

SIGNED: _____ DATE: _____

Attachment E - DERA Option

N/A

**Attachment F - Funding to be
Debited (5.2.13)**

N/A

Attachment G - Procedure for Public Availability of Documentation

Mashantucket Pequot Tribal Nation
Notice of Policy to Make D-3 and D-4 of the Volkswagen Settlement Publicly Available

Pursuant to Section #7 of the D-3, and as stated in our D-4 application, and as indicted by the Trust Settlement, the Mashantucket Pequot Tribal Nation will make copies of the D-3 and D-4 application resources and all their attachments publicly available.

Pursuant to Section #7 of the D-3, and as stated in our D-4 application, and as indicted by the Trust Settlement, the Mashantucket Pequot Tribal Nation will make copies of the D-3 and D-4 application resources and all their attachments publicly available through the following measures:

- 1) Both the D-3 and the D-4 will be posted to our Tribe's publicly facing website: <http://www.mptn-nsn.gov>; via MPTN GovNet website <http://govnet.mptn.org/Pages/GovNetWelcome.aspx>; or our MPTN FoxNet website "<http://foxnet.mptn.org/Pages/default.aspx>"; and also to Pequot-At-Home "<https://www.pequotathome.net>" tribal membership website.
- 2) Copies of the D-3 and D-4 will be posted in our monthly tribal newsletter
- 3) Copies of the D-3 and D-4 will be shared with Tribal Council including the Chairman, Public Works Department, and the Office of the Chief of Staff
- 4) Hard copies of the D-3 and D-4 will be posted at the Public Works Department Administration Office.
- 5) Quarterly reports as required by the Trustee or the Court in accordance with Tribal policy

No confidential or personally identifiable information will be posted in any public notification from the Tribe pursuant to Tribal and Federal policies. All tribal laws regarding publicly available documentation requirements and policies will be followed.

As outlined above, the D-3, D-4, reports, and all documentation pertaining to the EMA projects will be available to the public. Contact information for the Public Works Director, Keith Gove, from the Public Works Department (Lead Agency) will be posted on the website listing for any community members who have questions or request documentation pertaining to the EMA project of information pertaining to the Mitigation Settlement.

MPTN will maintain and make publicly available all documentation submitted in support of the funding request, as well as records supporting all expenditures of EMA funds, subject to approved MPTN Tribal policies, procedures and law. No confidential or personally identifiable information will be posted in any public notification from the Tribe pursuant to Tribal and Federal policies. All tribal laws regarding publicly available documentation requirements and policies will be followed.

Any questions regarding documents posted for public participation will be directed to the Lead Agency, MPTN Public Works Department to the MPTN Public Works Director. The public will be allowed to submit questions directly to the Public Works Director via email or will be able to call the Public Works Department to talk to the Public Works Director directly. Public Works will endeavor to answer public inquiries immediately but no later than 7 days. If copies of documents are requested Public Works will provide the individuals' copies of all requested documents in the earliest manner possible.

**Attachment H - GIS Map of Mashantucket
Reservation**

Attachment I - MPTN DEQ YR3 Results

Logged in as Mike | [logout](#) | [help](#)*Note: Your session will time out after 30 minutes of inactivity.**For best results, do not use your browser's "back" arrow.*

Emission Results and Health Benefits for Project: VW-FY21

Emission Results

Here are the combined results for all groups and upgrades entered for your project.¹

<i>Annual Results</i> <i>(short tons)²</i>	NO_x	PM2.5	HC	CO	CO₂	Fuel³
Baseline for Upgraded Vehicles/Engines	0.079	0.000	0.002	0.009	16.5	1,463
Amount Reduced After Upgrades	0.068	0.000	0.001	0.004	0.0	0
Percent Reduced After Upgrades	86.0%	41.5%	46.4%	46.4%	0.0%	0.0%

<i>Lifetime Results (short tons)²</i>						
Baseline for Upgraded Vehicles/Engines	0.792	0.004	0.023	0.087	164.6	14,630
Amount Reduced After Upgrades	0.681	0.002	0.011	0.040	0.0	0
Percent Reduced After Upgrades	86.0%	41.5%	46.4%	46.4%	0.0%	0.0%

<i>Lifetime Cost Effectiveness (\$/short ton reduced)</i>					
Capital Cost Effectiveness⁴ (unit & labor costs only)	\$265,114	\$102,748,914	\$17,090,862	\$4,465,649	\$0
Total Cost Effectiveness⁴ (includes all project costs)	\$290,074	\$112,422,238	\$18,699,886	\$4,886,069	\$0

¹ Emissions from the electrical grid are not included in the results.

² 1 short ton = 2000 lbs.

³ In gallons; fuels other than ULSD have been converted to ULSD-equivalent gallons.

⁴ Cost effectiveness estimates include only the costs which you have entered.

Remaining Life

Dump/Haul Truck: Municipal Short Haul - Single Unit Class 8 (Other) Vehicle Replacement - ULSD (diesel)	10 years
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Logged in as Mike | [logout](#) | [help](#)

*Note: Your session will time out after 30 minutes of inactivity.
For best results, do not use your browser's "back" arrow.*

Emission Results and Health Benefits for Project: VW-FY21

Health Benefits

Note: For comparison purposes only. The Health Benefits module data is out of date and will be updated when resources become available. Health Benefits data is not available for Alaska, Hawaii, Puerto Rico or the Virgin Islands.

This section estimates the health benefits resulting from your project's reduction of PM 2.5, based on the inputs you have entered.

You may select up to five counties where the emission reductions will take place and allocate a percentage of the reductions to each of the counties selected. The percentages must total 100 percent.

State	County	Percent
<input type="text" value="Connecticut"/> ▼	<input type="text" value="New London"/> ▼	<input type="text" value="100"/>
<input type="text" value="Select State..."/> ▼	<input type="text" value="Select County.."/> ▼	<input type="text"/>
<input type="text" value="Select State..."/> ▼	<input type="text" value="Select County.."/> ▼	<input type="text"/>
<input type="text" value="Select State..."/> ▼	<input type="text" value="Select County.."/> ▼	<input type="text"/>
<input type="text" value="Select State..."/> ▼	<input type="text" value="Select County.."/> ▼	<input type="text"/>
Total		<input type="text" value="100"/>

Results

The table below shows the estimated PM2.5 reductions and health benefits by county and as a total for your project. Results are based on the inputs you have entered.

Annual Benefits represent the dollar value of health benefits resulting from reduced exposure to PM2.5. These benefits include the reduction of premature mortality, chronic bronchitis, asthma attacks, non-fatal heart attacks, and other health problems. The dollar values are based on studies used by EPA when estimating the health benefits of environmental rules.

Annualized Costs are based on the unit and labor costs you have entered. They have been annualized over the remaining life of the upgraded project.

Health Benefits Results

County and State	Annual Diesel PM2.5 Reduction (short tons)	Annual Benefits	Annualized Unit & Labor Costs
New London, Connecticut	0.000	\$160	-
Total	0.000	\$160	\$21,000

**Attachment J – Appendix D-4 [Sec. 5.22
through Sec. 5.2.10]**

Attachment J – Appendix D-4 [Sec. 5.22 through Sec. 5.2.10]

Detailed Description of Mitigation Action Item Including Community and Air Quality Benefits (5.2.2):

Mashantucket Pequot Tribal Nation (MPTN) is a beneficiary of the Trust Settlement and participated in the first and second funding cycles. In this, third funding cycle, our request for use of funds will be used to replace (1) eligible diesel vehicle. The vin, make, model number, year, and ownership were sent to ITEP for review; the result of which was a response that the proposed vehicle was eligible. The (1) truck being replaced is a 2009 International 5900i Class 8 Diesel Truck [vin 1HTXYAPT39J076092].

Funding is being requested to purchase (1) replacement diesel vehicle directly relative to the vehicle it is replacing. A model year 2021 -Class 8- International Diesel Triaxle Truck. Please refer to (*Attachment D – Detailed Cost Estimate.pdf*)

The vehicle will be housed and used within Mashantucket, Connecticut (reservation) at the Tribe's Public Works Department. It will be used all year round; and primarily used for removal, carrying, and transport of materials around the entirety of the Mashantucket Reservation including projects on or near Foxwoods Resort Casino.

Mashantucket is disadvantaged by being located within ozone Non-Attainment Area, of which NO_x is a precursor pollutant. There are no major source emitters of NO_x, or any source that contributes to an exceedance of the Ozone NAAQs, located within Mashantucket. Thus the non-attainment designation is solely related to up wind sources.

The Tribe, by nature of being an Indian Tribe and minority population, is also a socially disadvantaged population. Tribes have struggled with inter-generational trauma resulting from the first European settlers to the Country, the creation of the U.S. Federal Government and legislation created by State of Connecticut outlawing the word "Pequot" from being spoken, the selling off of tribal land, and the dispersion of our people throughout the country. During the years of persecution, the traditional ways of life involved with being one with the Earth and harmony with nature were dismissed and ultimately the Tribes could only choose to adapt or they were otherwise forcefully dispersed off their lands. As Tribes continued to shrink and suffer from westernization and subsequently the industrial revolution; our way of life has been disparaged. Only recently where the Tribe was given Federal recognition with rights to some of our land back.

MPTN owns Foxwoods Resort and Casino. Having a casino adds to the NO_x emissions that affect our community simple by its nature. Passenger vehicles, trucks of all sizes, bus transportation, forklift and other distribution/warehouse machinery, and others significantly increase poor air quality in Mashantucket and the surrounding towns because the casino is a centralized transportation hub with a minor footprint considering the traffic that it has 7 days a week, 365 days a year. The casino, its hotels, and many of the services provided never close. The proposed 2021 replacement truck will greatly reduce harmful NO_x emissions during operation and idle times. MPTN has attached a GIS Map of our Reservation in which both vehicles will be used and stored. Please refer to (*Attachment H - MPTN Reservation GIS Map.pdf*).

Estimate of Anticipated NO_x Reductions (5.2.3):

Replacement of the 2009 International 5900i Truck, according to the (DEQ) software shows a reduction of 86% NOx when replaced with a same class 2021 equivalent (International Hx620 SBA 6x4 Triaxle). Please refer to attached DEQ data.

Identification of Governmental Entity Responsible for Reviewing and Auditing Expenditures of Eligible Mitigation Action Funds to Ensure Compliance with Applicable Law (5.2.7.1):

The MPTN Finance Department is the Department of the Tribe responsible for ensuring compliance with applicable law. The Finance Department is compliant with all Uniform Code of Regulations/Code of Federal Regulations. The Tribe is compliant and has its own approved Tribal Laws and has policies and procedures for equal opportunity hiring, financial management standards, procurement, etc. that are typical standard practice at the State and Federal level. (*Attachment C - Detailed Plan for Reporting on Mitigation Action Implementation (5.2.11).pdf*).

Describe how the Beneficiary will make documentation publicly available (5.2.7.2):

Pursuant to Section #7 of the D-3, and as stated in our D-4 application, and as indicted by the Trust Settlement, the Mashantucket Pequot Tribal Nation will make copies of the D-3 and D-4 application resources and all their attachments publicly available. Please refer to (*Attachment G - Procedure for Public Availability of Documentation.pdf*) and (*Attachment C - Detailed Plan for Reporting on Mitigation Action Implementation (5.2.11).pdf*).

Pursuant to Section #7 of the D-3, and as stated in our D-4 application, and as indicted by the Trust Settlement, the Mashantucket Pequot Tribal Nation will make copies of the D-3 and D-4 application resources and all their attachments publicly available through one or more of the following measures:

- 1) Both the D-3 and the D-4 will be posted to our Tribe's publicly facing website: <http://www.mptn-nsn.gov>; via MPTN GovNet website <http://govnet.mptn.org/Pages/GovNetWelcome.aspx>; or our MPTN FoxNet website "<http://foxnet.mptn.org/Pages/default.aspx>"; and also to Pequot-At-Home "<https://www.pequotathome.net>" tribal membership website.
 - 2) Copies of the D-3 and D-4 will be posted in our monthly tribal newsletter
 - 3) Copies of the D-3 and D-4 will be shared with Tribal Council including the Chairman, Public Works Department, and the Office of the Chief of Staff
 - 4) Hard copies of the D-3 and D-4 will be posted at the Public Works Department Administration Office.
 - 5) Quarterly reports as required by the Trustee or the Court in accordance with Tribal policy
- No confidential or personally identifiable information will be posted in any public notification from the Tribe pursuant to Tribal and Federal policies. All tribal laws regarding publicly available documentation requirements and policies will be followed.

As outlined above, the D-3, D-4, reports, and all documentation pertaining to the EMA projects will be available to the public. Contact information for the Public Works Director, Keith Gove, from the Public Works Department (Lead Agency) will be posted on the website listing for any community members who have questions or request documentation pertaining to the EMA project of information pertaining to the Mitigation Settlement. The Grants Administrator, David Wagner, will be responsible for meeting scheduled deadlines and reporting requirement outlined by the Trust Agreement and pursuant to compliance with the use of Settlement funds.

MPTN will maintain and make publicly available all documentation submitted in support of the funding request, as well as records supporting all expenditures of EMA funds, subject to approved MPTN Tribal policies, procedures and law. No confidential or personally identifiable information will be posted in

any public notification from the Tribe pursuant to Tribal and Federal policies. All tribal laws regarding publicly available documentation requirements and policies will be followed.

Any questions regarding documents posted for public participation will be directed to the Lead Agency, MPTN Public Works Department to the MPTN Public Works Director. The public will be allowed to submit questions directly to the Public Works Director via email or will be able to call the Public Works Department to talk to the Public Works Director directly. Public Works will endeavor to answer public inquiries immediately but no later than 7 days. If copies of documents are requested Public Works will provide the individuals' copies of all requested documents in the earliest manner possible.

Note on compliance of "scrapping" and reporting: Eligible Local Freight Trucks (Large Truck). MPTN replacement vehicles will be scrapped as described on page 64 of 68 of the Dkt 51-2 Tribe Beneficiary Trust Agreement which reads, "*Scrapped*" shall mean to render inoperable and available for recycle, and, at a minimum, to specifically cut a 3-inch hole in the engine block for all engines. If any Eligible Vehicle will be replaced as part of an Eligible project, Scrapped shall also include the disabling of the chassis by cutting the vehicle's frame rails completely in half." MPTN will comply with this requirement and provide proof of scrapped vehicles for reporting and compliance. D-2 Option 1: Class 8 Local Freight Trucks (Large Trucks).

Describe any cost share requirement to be placed on each NOx source proposed to be mitigated (5.2.8):

Mashantucket Pequot Tribal Nation is proposing \$27,813, or 15.5%, cost share of the total project costs as part of this application. These funds will be appropriated by Tribal Council to the MPTN Public Works Department (Lead Agency) to support the full cost of this mitigation project [the replacement of (1) eligible class 8 diesel truck]. The amount of cost share is indicated in the section labeled "PROJECT BUDGET" on page 7 of the Appendix D-4.

Describe how the Beneficiary complied with subparagraph 4.2.8, related to notice to U.S. Government Agencies (5.2.9):

Mashantucket Pequot Tribal Nation was not contacted by any U.S. Government Agency within the 30-day period after being notified that we were approved as a Beneficiary of the Trust Settlement.

If applicable, describe how the mitigation action will mitigate the impacts of NOx emissions on communities that have historically borne a disproportionate share of the adverse impacts of such emissions (5.2.10):

Not applicable

Attachment K - Truck to be Replaced

U.S. EPA Diesel Emission Reduction Program
Certificate of Engine/Chassis Destruction

EPA Grantee Name: _____ EPA Grant No.: _____
Subgrantee Name: _____

Vehicle Owner Name: MASHANTUCKET PEQUOT TRIBE
Vehicle Owner Address: 1 MATTS PATH, MASHANTUCKET CT 06338

Old Vehicle/Chassis Information

Make:	INTERNATIONAL	Vehicle ID Number:	1HTXYAPT39J076092
Model:	5900i	Odometer Reading:	56158 miles
Year:	2009		

Old Engine Information

Make:	Cummings	Horsepower:	485
Model:	ISX-500V	ID or Serial No.:	079305793
Year:	2009		

Name of Dismantler: _____
Address of Dismantler: _____
Date Vehicle Accepted by Dismantler: _____
Signature of Dismantler: _____

EPA Grantee/Subgrantee Authorized Representative:

Date engine/chassis disabled: _____

Statement: I certify that within 90 days of replacement, the old engine and chassis (where applicable) have been permanently disabled. Disabling the engine consists of cutting or punching a three inch by three inch (3" x 3") hole in the engine block. Disabling the chassis consists of cutting completely through the frame/frame rails on each side of the vehicle/equipment at a point located between the front and rear axles. If other, pre-approved scrapage methods were used, details and documentation are attached. Photos of the disabled engine/chassis that are required pursuant to the Terms and Conditions of the EPA award agreement are attached to this Certificate of Vehicle/Engine Destruction.

Authorized Name: _____
Print Name

Authorized Signature: _____ Date: _____

Note: Documentation must include JPEG images of the following, with corresponding file names:

- 1) Side profile of vehicle
- 2) VIN
- 3) Engine label
- 4) Chassis rail cut in half
- 5) Engine block, prior to hole
- 6) Engine block, after hole
- 7) Others, as needed

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