

**APPENDIX D-4**  
**Beneficiary Eligible Mitigation Action Certification**

## BENEFICIARY ELIGIBLE MITIGATION ACTION CERTIFICATION

---

Beneficiary Blue Lake Rancheria

Lead Agency Authorized to Act on Behalf of the Beneficiary Blue Lake Rancheria  
*(Any authorized person with delegation of such authority to direct the Trustee delivered to the Trustee pursuant to a Delegation of Authority and Certificate of Incumbency)*

<b>Action Title:</b>	Replacement of two Class 4-7 Diesel Trucks.
<b>Beneficiary's Project ID:</b>	VW 01/075
<b>Funding Request No.</b>	<i>(sequential)</i> 4
<b>Request Type: (select one or more)</b>	<input type="checkbox"/> Reimbursement <span style="float: right;"><input checked="" type="checkbox"/> Advance</span> <input type="checkbox"/> Other (specify): _____
<b>Payment to be made to: (select one or more)</b>	<input checked="" type="checkbox"/> Beneficiary <input type="checkbox"/> Other (specify): _____
<b>Funding Request &amp; Direction (Attachment A)</b>	<input checked="" type="checkbox"/> Attached to this Certification <input type="checkbox"/> To be Provided Separately

### SUMMARY

<b>Eligible Mitigation Action</b>	<input checked="" type="checkbox"/> Appendix D-2 item (specify): <u>Class 4-7 Local Freight Trucks (Medium Trucks)</u>
<b>Action Type</b>	<input type="checkbox"/> Item 10 - DERA Option (5.2.12) (specify and attach DERA Proposal): _____
<b>Detailed Description of Mitigation Action Item Including Community and Air Quality Benefits (5.2.2):</b> See addendum.	
<b>Estimate of Anticipated NOx Reductions (5.2.3):</b> See addendum.	
<b>Identification of Governmental Entity Responsible for Reviewing and Auditing Expenditures of Eligible Mitigation Action Funds to Ensure Compliance with Applicable Law (5.2.7.1):</b> BLR Finance Department, Finance Manager, Kim Norton	
<b>Describe how the Beneficiary will make documentation publicly available (5.2.7.2).</b> See addendum.	
<b>Describe any cost share requirement to be placed on each NOx source proposed to be mitigated (5.2.8).</b> N/A	
<b>Describe how the Beneficiary complied with subparagraph 4.2.8, related to notice to U.S. Government Agencies (5.2.9).</b> The tribe has not been contacted by any government agencies.	

If applicable, describe how the mitigation action will mitigate the impacts of NOx emissions on communities that have historically borne a disproportionate share of the adverse impacts of such emissions (5.2.10).

NA

**ATTACHMENTS**  
**(CHECK BOX IF ATTACHED)**

- |                                     |                     |   |
|-------------------------------------|---------------------|---|
| <input checked="" type="checkbox"/> | <b>Attachment A</b> | <b>Funding Request and Direction.</b>   |
| <input checked="" type="checkbox"/> | <b>Attachment B</b> | <b>Eligible Mitigation Action Management Plan Including Detailed Budget and Implementation and Expenditures Timeline (5.2.4).</b>   |
| <input checked="" type="checkbox"/> | <b>Attachment C</b> | <b>Detailed Plan for Reporting on Eligible Mitigation Action Implementation (5.2.11).</b>   |
| <input checked="" type="checkbox"/> | <b>Attachment D</b> | <b>Detailed cost estimates from selected or potential vendors for each proposed expenditure exceeding \$25,000 (5.2.6). [Attach only if project involves vendor expenditures exceeding \$25,000.]</b> |
| <input type="checkbox"/>            | <b>Attachment E</b> | <b>DERA Option (5.2.12). [Attach only if using DERA option.]</b>  |
| <input type="checkbox"/>            | <b>Attachment F</b> | <b>Attachment specifying amount of requested funding to be debited against each beneficiary's allocation (5.2.13). [Attach only if this is a joint application involving multiple beneficiaries.]</b> |

**CERTIFICATIONS**

By submitting this application, the Lead Agency makes the following certifications:

1. This application is submitted on behalf of Beneficiary Blue Lake Rancheria, and the person executing this certification has authority to make this certification on behalf of the Lead Agency and Beneficiary, pursuant to the Certification for Beneficiary Status filed with the Court.
2. Beneficiary requests and directs that the Trustee make the payments described in this application and Attachment A to this Form.
3. This application contains all information and certifications required by Paragraph 5.2 of the Trust Agreement, and the Trustee may rely on this application, Attachment A, and related certifications in making disbursements of trust funds for the aforementioned Project ID.
4. Any vendors were or will be selected in accordance with a jurisdiction's public contracting law as applicable. (5.2.5)
5. Beneficiary will maintain and make publicly available all documentation submitted in

**support of this funding request and all records supporting all expenditures of eligible mitigation action funds subject to applicable laws governing the publication of confidential business information and personally identifiable information. (5.2.7.2)**

**DATED:** 8/30/21

**SIGNATURE:** 

David Narum, Resilience Director

[NAME]

[TITLE]

Blue Lake Rancheria

[LEAD AGENCY]

for

Blue Lake Rancheria

[BENEFICIARY]

**[SAMPLE ATTACHMENT B - USE OF THIS FORMAT IS NOT MANDATORY]**

**PROJECT MANAGEMENT PLAN**  
**PROJECT SCHEDULE AND MILESTONES**

Milestone	Date
Lead Agency Provides Notice of Availability of Mitigation Action Funds	see attachment
Project Sponsor Submits Proposal to Lead Agency	
Lead Agency Provides Written Approval of Project Sponsor's Proposal	
Lead Agency Incorporates Project Sponsor's Proposal into Mitigation Plan	
Trustee Acknowledges Receipt of Project Certification and Funding Direction	
Trustee Allocates Share of Funds for Approved Project	
Lead Agency Directs Funding (Advance Funded Projects)	
Project Sponsor Obtains Cost Share, Notifies or Certifies to Lead Agency	
Project Sponsor Enters into Contracts, Purchase Orders, etc. - Start	
Project Sponsor Enters into Contracts, Purchase Orders, etc. - Complete	
Project Installation(s) – Start	
Project Installation(s) – Complete	
Project Sponsor provides detailed invoices for all claimed project costs, documentation for emission reduction estimates, required certification documents to Lead Agency to support direction to Trustee for Payment (Reimbursement, Direct-to-Vendor) or final accounting (Forward Funded Projects)	-
Lead Agency completes review and certifies payment direction to Trustee (Reimbursement)	
Trustee Acknowledges Receipt of Direction for Payment(s) (Advance Funded, Reimbursement)	-
Project Sponsor Certifies Project Completion	
Lead Agency Reports Project Completion	

**PROJECT BUDGET**

Period of Performance: _____				
Budget Category	Total Approved Budget	Share of Total Budget to be Funded by the Trust	Cost-Share, if applicable (Entity #1)	Cost-Share, if applicable (Entity #2)
1. Equipment Expenditure	\$ 118,879	\$ 118,879	\$	\$
2. Contractor Support <i>(Provide List of Approved Contractors as Attachment with approved funding ceilings)</i>	\$	\$	\$	\$
3. Subrecipient Support <i>(Provide List of Approved Subrecipients or Grant Awardees as Attachment with approved funding ceilings)</i>	\$	\$	\$	\$
4. Administrative <sup>1</sup>	\$ 8,282	\$ 8,282	\$	\$
<b>Project Totals</b>	\$ 127,161	\$ 127,161	\$	\$
<b>Percentage</b>	100 %	100 %	%	%

<sup>1</sup> Subject to Appendix D-2 15% administrative cap.

**PROJECTED TRUST ALLOCATIONS:**

	<b>2017</b>	<b>2018</b>	<b>2019</b>	<b>2020</b>	<b>2021</b>
1. Anticipated Annual Project Funding Request to be paid through the Trust	\$	\$	\$	\$	\$ 127,161
2. Anticipated Annual Cost Share	\$	\$	\$	\$	\$
3. Anticipated Total Project Funding by Year (line 1 plus line 2)	\$	\$	\$	\$	\$ 127,161
4. Cumulative Trustee Payments Made to Date Against Cumulative Approved Beneficiary Allocation	\$	\$	\$	\$	\$
5. Current Beneficiary Project Funding to be paid through the Trust (line 1)	\$	\$	\$	\$	\$ 127,161
6. Total Funding Allocated to for Beneficiary, inclusive of Current Action by Year (line 4 plus line 5)	\$	\$	\$	\$	\$ 127,161
7. Beneficiary Share of Estimated Funds Remaining in Trust	\$	\$	\$	\$	\$
8. Net Beneficiary Funds Remaining in Trust, net of cumulative Beneficiary Funding Actions (line 7 minus line 6)	\$	\$	\$	\$	\$

# Addenda and Attachments, Blue Lake Rancheria Tribe Beneficiary Eligible Mitigation Action Certification

**August 30, 2021**

Included herein:

1. Addenda to Appendix D-4
2. Attachments B, C, and D

## Addenda to Appendix D-4

### Detailed Description of Mitigation Action Item Including Community and Air Quality Benefits (5.2.2)

During the fourth funding cycle of the Volkswagen Settlement Environmental Mitigation Trust for Indian Tribes, the eligible “Class 4-7 Local Freight Trucks (Medium Trucks)” vehicles the Blue Lake Rancheria (BLR) will replace include:

1. Tribal government-owned 2008 F-450 SD REGULAR CAB & CHASSIS, VIN # 1FDXF47R68ED97748
2. Tribal government-owned 2005 Ford F350, VIN # 1FDAF57PX5ED35727

The eligible vehicles will be replaced with:

1. 2022 Ford F-250: \$58,440
2. 2022 Ford F-350: \$60,439

Total cost of replacement vehicles: \$118,879

Administration costs: \$8,282 (wages: \$6,767, indirect: \$1,015, office supplies: \$500)

Total: \$127,161

The Community and Air Quality Benefits of the project include: 1) improved public health and air quality through reduced emissions, 2) fuel cost savings put toward community benefitting activities, 3) savings for the tribe on old vehicle maintenance and the cost of replacement vehicles, and 4) more reliable vehicles reducing staff down time and consequent scheduling issues while vehicles are in the maintenance shop.

## Estimate of Anticipated NOx Reductions (5.2.3):



# Emission Results and Health Benefits for Project: Blue Lake Rancheria 2021

Emission Results    Health Benefits

## Emission Results ?

Here are the combined results for all groups and upgrades entered for your project.<sup>1</sup>

<b>Annual Results (short tons)<sup>2</sup></b>	<b>NO<sub>x</sub></b>	<b>PM2.5</b>	<b>HC</b>	<b>CO</b>	<b>CO<sub>2</sub></b>	<b>Fuel<sup>3</sup></b>
Baseline for Upgraded Vehicles/Engines	0.134	0.007	0.014	0.062	29.2	2,600
Amount Reduced After Upgrades	0.121	0.007	0.013	0.041	0.0	0
Percent Reduced After Upgrades	90.1%	99.2%	96.0%	66.5%	0.0%	0.0%

<b>Lifetime Results (short tons)<sup>2</sup></b>	<b>NO<sub>x</sub></b>	<b>PM2.5</b>	<b>HC</b>	<b>CO</b>	<b>CO<sub>2</sub></b>	<b>Fuel<sup>3</sup></b>
Baseline for Upgraded Vehicles/Engines	0.670	0.036	0.070	0.311	146.2	13,000
Amount Reduced After Upgrades	0.603	0.036	0.067	0.207	0.0	0
Percent Reduced After Upgrades	90.1%	99.2%	96.0%	66.5%	0.0%	0.0%

<b>Lifetime Cost Effectiveness (\$/short ton reduced)</b>						
<b>Capital</b> Cost Effectiveness <sup>4</sup> (unit & labor costs only)	\$0	\$0	\$0	\$0	\$0	
<b>Total</b> Cost Effectiveness <sup>4</sup> (includes all project costs)	\$0	\$0	\$0	\$0	\$0	

<sup>1</sup> Emissions from the electrical grid are not included in the results.

<sup>2</sup> 1 short ton = 2000 lbs.

<sup>3</sup> In gallons; fuels other than ULSD have been converted to ULSD-equivalent gallons.

<sup>4</sup> Cost effectiveness estimates include only the costs which you have entered.

## Remaining Life

<b>2005 F550:</b> Municipal   Short Haul - Single Unit   Class 4-5 (Utility) Vehicle Replacement - ULSD (diesel)	5 years
<b>2008 F450:</b> Municipal   Short Haul - Single Unit   Class 4-5 (Utility) Vehicle Replacement - ULSD (diesel)	5 years





### Appendix D-4, Section (5.2.7.2):

The Blue Lake Rancheria will post a notice on its webpage (bluelakerancheria-nsn.gov) informing members of the public of the availability of information and documents that related to the funding request, funding received, and expenditure of funds from the VW Settlement Trust. Documentation will be available at the Tribal Administration Office during regular business hours, or through written or electronic request.

The Blue Lake Rancheria will follow all applicable laws governing the access and distribution of information and publication of confidential business and personally identifiable information. The Blue Lake Rancheria will endeavor to respond to any such requests for information within ten (10) business days and, when documents are requested, will advise the individual within that time frame of the procedure for reviewing such documents.

## Attachment B. Project Schedule and Milestones

Milestone	Date
Trustee Provides Notice of Availability of Round 4 Mitigation Funds	6/30/21
Project Sponsor Submits Proposal to Lead Agency	8/30/21
Trustee Acknowledges Receipt of Project Certification and Funding Direction	10/27/21
Trustee Allocates Share of Funds for Approved Project	11/12/21
Lead Agency Directs Funding	11/30/21
Preferred Vehicle Purchased	12/01/21
Old Vehicle Decommissioned and Documentation Provided	1/11/22
All certifications, invoices, and documentation provided to Trustee	3/24/22
Project Completion	5/31/22

## Attachment C. Detailed Plan for Reporting on Eligible Mitigation Action Implementation (5.2.11):

**Blue Lake Rancheria Reporting Obligations.** For each eligible mitigation action, no later than 6 months after receiving its first disbursement of trust assets, and thereafter no later than January 30 (for the preceding 6-month period of July 1 to December 31), and July 30 (for the preceding 6-month period of January 1 to June 30), BLR will submit to the Trustee a semi-annual report describing the progress implementing each eligible mitigation action during the 6-month period leading up to the reporting date (including a summary of all costs expended on each eligible mitigation action through the reporting date. Reports will include a complete description of the status (including actual or projected termination date), development, implementation, and any modification of each approved eligible mitigation action. The report will be signed by the Tribal Administrator and contain an attestation that the information submitted is true and correct, under penalty of perjury.

## Attachment D. Detailed cost estimates from selected or potential vendors for each proposed expenditure exceeding \$25,000 (5.2.6).

BLR contact numerous vendors to solicit quotes for new replacement vehicles. Final vehicle selection will be negotiated after the selection of a preferred vehicle. Below are representative vendor quotes:

CORNING FORD

Source:		Salesperson:	KELLY BREEDLOVE
Price	57,821.17	Trade	0.00
Taxable A.M.O.	0.00	Payoff	0.00
Document Processing Charge	85.00	Net Trade	0.00
Emissions Testing Charge	0.00	Cash Down	0.00
Sales Tax	0.00	Deferred Down	0.00
Non-Tax A.M.O.	0.00	Rebate	0.00
Service Contract	0.00	Total Down	0.00
Subtotal	57,906.17		
DMV Fees	525.00	APR	0.00
State Emissions Certification or Exemption Fee	0.00	Term	1
California Tire Fee	8.75	Monthly Payment	58,439.92
Electronic Veh Reg or Transfer Charge	0.00	Final Payment of	
Total Insurance	0.00		
Total	58,439.92		
Amount Financed			
Finance Charges	0.00		
Total of Payments	58,439.92		

*TOTAL*  
*\$58,439.92*

*** BUYER ***	*** CO-BUYER ***
BLUE LAKE RANCHERIA PO BX 428 BLUE LAKE, CA 95525	
Date of Birth: _____	Date of Birth: _____
Home Phone Number: (707) 499-5315	Home Phone Number: _____
Work Phone Number: _____	Work Phone Number: _____
County: HUMBOLDT	County: _____
Email: tsanderson@bluelakerancheria-nsn.gov	Email: _____

*** PURCHASE ***	*** TRADE 1 ***	*** TRADE 2 ***
Stock Number	60216	
Year	2021	
Make	FORD	
Model	F250	
Body Style	PK	
Color	SILVER	
Trim	GRAY CLOTH	
Key 1 Number		
Key 2 Number	10578	
Weight	7,021	
License		
Odometer	5	
VIN	1FT7W2BT9MED80870	
Cylinders	8	
Vehicle Type	NEW	

*** BANK ***	*** INSURANCE ***
NONE	SELF-INSURED
CA	

