

Iowa's Volkswagen Settlement Environmental Mitigation Trust Program
Volkswagen Diesel Emissions Environmental Mitigation Trust for State Beneficiaries

Semiannual Report (July 1, 2022 – December 31, 2022)



Kim Reynolds, Governor
Adam Gregg, Lt. Governor
Scott C. Marler, Iowa DOT Director

Volkswagen Diesel Emissions Environmental Mitigation Trust for State Beneficiaries, Puerto Rico, and the District of Columbia
c/o Wilmington Trust, N.A. as Trustee
Wilmington Trust, National Association
Rodney Square North
1100 North Market Street
Attn: Capital Markets & Agency Services
Wilmington, DE 19890

State Trust or Trustee:

The Iowa Department of Transportation is the designated beneficiary of the Volkswagen Environmental Mitigation Trust Agreement for State Beneficiaries for the State of Iowa. In accordance with subparagraph 5.3, Beneficiary Reporting Obligations, of the Volkswagen Environmental Mitigation Trust Agreement for State Beneficiaries, this is the eighth semiannual report (no later than six months after receiving the first disbursement of Trust Assets of Item 10 – DERA Option that occurred on March 14, 2019) to be submitted to the Trustee.

This semiannual report is for the six-month reporting period of July 1, 2022 to December 31, 2022 for the 2019 recipients. Should you have any questions regarding this semiannual report, we would be happy to provide more information as needed.

Attestation:

I attest that the information in this Semiannual Report for the 2019 recipients (July 1, 2022 – December 31, 2022) is true and correct and that the submission is made under penalty of perjury.

Scott C. Marler
Director

1-10-23

Date

Iowa Department of Transportation

Lead Agency

for

State of Iowa
Beneficiary

**Iowa's Volkswagen Settlement Environmental Mitigation Trust
Semi-Annual Report**

Recipient Name	State of Iowa
Project Number	2019
Agreement Number	
Reporting Period	July 1, 2022 to December 31, 2022
Total VW Award	\$3,865,550.00

Question	Answer
What actual accomplishments occurred during the reporting period?	The State of Iowa completed 0 projects from January 1, 2022 to June 30, 2022.
Did you award any rebates or subawards during the reporting period? If so, list the recipients and how much funding they received.	
Provide a comparison of actual accomplishments with the anticipated outputs/outcomes and timelines/milestones specified in the project Work Plan.	Sysco Iowa, Inc is the last remaining recipient. They are in the last stages of receiving their new vehicles, scrapping their old vehicles, and completing their claim reimbursement paperwork.
If anticipated outputs/outcomes and/or timelines/milestones are not met, why not? Did you encounter any problems during the reporting period which may interfere with meeting the project objectives?	Complete this last project.
How do you propose to remedy any problems? Identify how and the date you will get back on course to meet the anticipated outputs/outcomes and/or timelines/milestones specified in the	Outside of COVID-19 the Iowa DOT hasn't encountered any problems.
If any cost-shares are reported for this Reporting Period in Table 1 above, identify the source of the funds.	NA
Was any program income generated during the reporting period? Identify amount of program income, how it was generated, and how the program income was/will be used.	NA
Did any public relations events regarding this grant take place during the reporting period?	No.
What is the URL for the state website listing the total number and dollar amount of subawards, rebates, or loans provided, as well as a breakdown of the technologies funded? Please also list any other	https://iowadot.gov/VWSettlement/default.aspx
What project activities are planned for the next reporting period?	Sysco Iowa, Inc was given an extension due to COVID-19 and supply chain related issues. They have completed 4 of the 11 vehicles and are waiting for the other 7 to arrive. Delivery date they were given is October 2023.

Table 3. Subaward Reporting Requirements	
Requirement	Response
Summaries of results of reviews of financial and programmatic	N/A
Summaries of findings from site visits and/or desk reviews to ensure	N/A
Environmental results the subrecipient achieved	N/A
Summaries of audit findings and related pass-through entity	N/A
Actions the pass-through entity has taken to correct deficiencies	N/A

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The Iowa Department of Transportation is the designated beneficiary of the Volkswagen Environmental Mitigation Trust Agreement for State Beneficiaries for the State of Iowa. In accordance with subparagraph 5.3, Beneficiary Reporting Obligations, of the Volkswagen Environmental Mitigation Trust Agreement for State Beneficiaries, this is the fifth semiannual report (no later than six months after receiving the first disbursement of Trust Assets of Item 10 – DERA Option that occurred on March 14, 2019) to be submitted to the Trustee.

This semiannual report is for the six-month reporting period of July 1, 2022 to December 31, 2022 for the 2020 recipients. Should you have any questions regarding this semiannual report, we would be happy to provide more information as needed.

Attestation:

I attest that the information in this Semiannual Report for the 2020 recipients (July 1, 2022 – December 31, 2022) is true and correct and that the submission is made under penalty of perjury.

Scott C. Marler
Director

1-10-23

Date

Iowa Department of Transportation
Lead Agency

for

State of Iowa
Beneficiary

**Iowa's Volkswagen Settlement Environmental Mitigation Trust
Semi-Annual Report**

Recipient Name	State of Iowa
Project Number	2020
Agreement Number	
Reporting Period	July 1, 2022 to December 31, 2022
Total VW Award	\$3,914,614.00

Question	Answer
What actual accomplishments occurred during the reporting period?	The State of Iowa completed 3 projects from July 1, 2022 to December 31, 2022.
Did you award any rebates or subawards during the reporting period? If so, list the recipients and how much funding they received.	Dike-New Hartford CSD - \$50,000, Henry County - \$30,000, Matteson Marine Services Inc. - \$231,796.
Provide a comparison of actual accomplishments with the anticipated outputs/outcomes and timelines/milestones specified in the project Work Plan.	Comparing actual accomplishments with anticipated outcomes all expectations were met or exceeded. COVID-19 and supply chain issues are delaying are delivery of some vehicles.
If anticipated outputs/outcomes and/or timelines/milestones are not met, why not? Did you encounter any problems during the reporting period which may interfere with meeting the project objectives?	Milestones for the Iowa DOT are to keep all project sponsors moving and to understand tasks may take longer than normal due to COVID-19 and supply chain issues.
How do you propose to remedy any problems? Identify how and the date you will get back on course to meet the anticipated outputs/outcomes and/or timelines/milestones specified in the	Keep in constant communication with all sponsors and be available to identify any solutions to problems that may come up.
If any cost-shares are reported for this Reporting Period in Table 1 above, identify the source of the funds.	NA
Was any program income generated during the reporting period? Identify amount of program income, how it was generated, and how the program income was/will be used.	NA
Did any public relations events regarding this grant take place during the reporting period?	No
What is the URL for the state website listing the total number and dollar amount of subawards, rebates, or loans provided, as well as a breakdown of the technologies funded? Please also list any other	https://iowadot.gov/VWSettlement/default.aspx
What project activities are planned for the next reporting period?	Four projects requested and were granted project completion extension dates. For the next reporting period the Iowa DOT would like to see the a couple of those projects completed.

Table 3. Subaward Reporting Requirements

Requirement	Response
Summaries of results of reviews of financial and programmatic	N/A
Summaries of findings from site visits and/or desk reviews to ensure	N/A
Environmental results the subrecipient achieved	N/A
Summaries of audit findings and related pass-through entity	N/A
Actions the pass-through entity has taken to correct deficiencies	N/A

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This semiannual report is for the six-month reporting period of July 1, 2022 to December 31, 2022 for the 2021 recipients. Should you have any questions regarding this semiannual report, we would be happy to provide more information as needed.

Attestation:

I attest that the information in this Semiannual Report for the 2021 recipients (July 1, 2022 – December 31, 2022) is true and correct and that the submission is made under penalty of perjury.

Scott C. Marler
Director

1-10-23

Date

Iowa Department of Transportation
Lead Agency

for

State of Iowa
Beneficiary

**Iowa's Volkswagen Settlement Environmental Mitigation Trust
Semi-Annual Report**

Recipient Name	State of Iowa
Project Number	2021
Agreement Number	
Reporting Period	July 1, 2022 to December 31, 2022
Total VW Award	\$5,308,287.00

Question	Answer
What actual accomplishments occurred during the reporting period?	The State of Iowa completed 18 projects from July 1, 2022 to December 31, 2022.
Did you award any rebates or subawards during the reporting period? If so, list the recipients and how much funding they received.	AHSTW CSD - \$31,800, Benton CSD - \$134,387, Central City CSD - \$43,629, East Sac CSD - \$87,191, Gladbrook-Reinbeck CSD - \$63,690, Linn Mar CSD - \$133,982, New Hampton CSD - \$84,078, North Tama CSD - \$32,669, OABCIG CSD - \$31,050, Union CSD - \$88,000, Keokuk County - \$60,000, City of Pleasant Hill - \$54,351, Freight Equipment Leasing, LLC - \$339,654, Blink Charging Company - \$14,000, City of Cresco - \$12,462, City of Washington - \$12,028, Coon Rapids Municipal Utilities - \$7,266, Muscatine Power and Water - \$15,000.
Provide a comparison of actual accomplishments with the anticipated outputs/outcomes and timelines/milestones specified in the project Work Plan.	Comparing actual accomplishments with anticipated outcomes all expectations were met or exceeded. With the supply chain issues happening, the completion timeline taking a few months longer is expected.
If anticipated outputs/outcomes and/or timelines/milestones are not met, why not? Did you encounter any problems during the reporting period which may interfere with meeting the project objectives?	Milestones for the Iowa DOT are to keep all project sponsors moving and to understand tasks may take longer than normal due to COVID-19 and the supply chain issues.
How do you propose to remedy any problems? Identify how and the date you will get back on course to meet the anticipated outputs/outcomes and/or timelines/milestones specified in the	Keep in constant communication with all sponsors and be available to identify any solutions to problems that may come up.
If any cost-shares are reported for this Reporting Period in Table 1 above, identify the source of the funds.	NA
Was any program income generated during the reporting period? Identify amount of program income, how it was generated, and how the program income was/will be used.	NA
Did any public relations events regarding this grant take place during the reporting period?	No
What is the URL for the state website listing the total number and dollar amount of subawards, rebates, or loans provided, as well as a breakdown of the technologies funded? Please also list any other	https://iowadot.gov/VWSettlement/default.aspx
What project activities are planned for the next reporting period?	For the next reporting period the Iowa DOT would like to see 10-15 projects reimbursed. Using the approach of a constant 10-15 projects getting reimbursed every six months will easily allow us to hit our deadline of December 2023.

Table 3. Subaward Reporting Requirements

Requirement	Response
Summaries of results of reviews of financial and programmatic	N/A
Summaries of findings from site visits and/or desk reviews to ensure	N/A
Environmental results the subrecipient achieved	N/A
Summaries of audit findings and related pass-through entity	N/A
Actions the pass-through entity has taken to correct deficiencies	N/A

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This semiannual report is for the six-month reporting period of July 1, 2022 to December 31, 2022 for the 2022 recipients. Should you have any questions regarding this semiannual report, we would be happy to provide more information as needed.

Attestation:

I attest that the information in this Semiannual Report for the 2022 recipients (July 1, 2022 – December 31, 2022) is true and correct and that the submission is made under penalty of perjury.

Scott C. Marler
Director

1-10-23

Date

Iowa Department of Transportation

Lead Agency

for

State of Iowa

Beneficiary

**Iowa's Volkswagen Settlement Environmental Mitigation Trust
Semi-Annual Report**

Recipient Name	State of Iowa
Project Number	2022
Agreement Number	
Reporting Period	July 1, 2022 to December 31, 2022
Total VW Award	\$1,027,369.00

Question	Answer
What actual accomplishments occurred during the reporting period?	The State of Iowa completed 0 projects from July 1, 2022 to December 31, 2022.
Did you award any rebates or subawards during the reporting period? If so, list the recipients and how much funding they received.	NA
Provide a comparison of actual accomplishments with the anticipated outputs/outcomes and timelines/milestones specified in the project Work Plan.	Awards were announced early July with the awardees completing the project agreement and starting the process of completing the charging station.
If anticipated outputs/outcomes and/or timelines/milestones are not met, why not? Did you encounter any problems during the reporting period which may interfere with meeting the project objectives?	Milestones for the Iowa DOT are to keep all project sponsors moving and to understand tasks may take longer than normal due to COVID-19 and the supply chain issues.
How do you propose to remedy any problems? Identify how and the date you will get back on course to meet the anticipated outputs/outcomes and/or timelines/milestones specified in the	Keep in constant communication with all sponsors and be available to identify any solutions to problems that may come up.
If any cost-shares are reported for this Reporting Period in Table 1 above, identify the source of the funds.	NA
Was any program income generated during the reporting period? Identify amount of program income, how it was generated, and how the program income was/will be used.	NA
Did any public relations events regarding this grant take place during the reporting period?	No
What is the URL for the state website listing the total number and dollar amount of subawards, rebates, or loans provided, as well as a breakdown of the technologies funded? Please also list any other	https://iowadot.gov/VWSettlement/default.aspx
What project activities are planned for the next reporting period?	For the next reporting period the Iowa DOT would like to see 4-8 projects reimbursed. Using the approach of a constant 4-8 projects getting reimbursed every six months will easily allow us to hit our deadline of December 2023.

Table 3. Subaward Reporting Requirements

Requirement	Response
Summaries of results of reviews of financial and programmatic	N/A
Summaries of findings from site visits and/or desk reviews to ensure	N/A
Environmental results the subrecipient achieved	N/A
Summaries of audit findings and related pass-through entity	N/A
Actions the pass-through entity has taken to correct deficiencies	N/A