

APPENDIX D-4
Beneficiary Eligible Mitigation Action Certification

BENEFICIARY ELIGIBLE MITIGATION ACTION CERTIFICATION

Beneficiary _____

Lead Agency Authorized to Act on Behalf of the Beneficiary _____
(Any authorized person with delegation of such authority to direct the Trustee delivered to the Trustee pursuant to a Delegation of Authority and Certificate of Incumbency)

Action Title:	
Beneficiary's Project ID:	
Funding Request No.	<i>(sequential)</i>
Request Type: (select one or more)	<input type="checkbox"/> Reimbursement <input type="checkbox"/> Advance <input type="checkbox"/> Other (specify): _____
Payment to be made to: (select one or more)	<input type="checkbox"/> Beneficiary <input type="checkbox"/> Other (specify): _____
Funding Request & Direction (Attachment A)	<input type="checkbox"/> Attached to this Certification <input type="checkbox"/> To be Provided Separately

SUMMARY

Eligible Mitigation Action	<input type="checkbox"/> Appendix D-2 item (specify): _____
Action Type	<input type="checkbox"/> Item 10 - DERA Option (5.2.12) (specify and attach DERA Proposal): _____
Explanation of how funding request fits into Beneficiary's Mitigation Plan (5.2.1):	
Detailed Description of Mitigation Action Item Including Community and Air Quality Benefits (5.2.2):	
Estimate of Anticipated NOx Reductions (5.2.3):	
Identification of Governmental Entity Responsible for Reviewing and Auditing Expenditures of Eligible Mitigation Action Funds to Ensure Compliance with Applicable Law (5.2.7.1):	
Describe how the Beneficiary will make documentation publicly available (5.2.7.2).	
Describe any cost share requirement to be placed on each NOx source proposed to be mitigated (5.2.8).	
Describe how the Beneficiary complied with subparagraph 4.2.8, related to notice to U.S. Government Agencies (5.2.9).	

If applicable, describe how the mitigation action will mitigate the impacts of NOx emissions on communities that have historically borne a disproportionate share of the adverse impacts of such emissions (5.2.10).

ATTACHMENTS
(CHECK BOX IF ATTACHED)

- Attachment A Funding Request and Direction.
- Attachment B Eligible Mitigation Action Management Plan Including Detailed Budget and Implementation and Expenditures Timeline (5.2.4).
- Attachment C Detailed Plan for Reporting on Eligible Mitigation Action Implementation (5.2.11).
- Attachment D Detailed cost estimates from selected or potential vendors for each proposed expenditure exceeding \$25,000 (5.2.6). [Attach only if project involves vendor expenditures exceeding \$25,000.]
- Attachment E DERA Option (5.2.12). [Attach only if using DERA option.]
- Attachment F Attachment specifying amount of requested funding to be debited against each beneficiary's allocation (5.2.13). [Attach only if this is a joint application involving multiple beneficiaries.]

CERTIFICATIONS

By submitting this application, the Lead Agency makes the following certifications:

1. This application is submitted on behalf of Beneficiary _____, and the person executing this certification has authority to make this certification on behalf of the Lead Agency and Beneficiary, pursuant to the Certification for Beneficiary Status filed with the Court.
2. Beneficiary requests and directs that the Trustee make the payments described in this application and Attachment A to this Form.
3. This application contains all information and certifications required by Paragraph 5.2 of the Trust Agreement, and the Trustee may rely on this application, Attachment A, and related certifications in making disbursements of trust funds for the aforementioned Project ID.
4. Any vendors were or will be selected in accordance with a jurisdiction's public contracting law as applicable. (5.2.5)
5. Beneficiary will maintain and make publicly available all documentation submitted in

support of this funding request and all records supporting all expenditures of eligible mitigation action funds subject to applicable laws governing the publication of confidential business information and personally identifiable information. (5.2.7.2)

DATED: 12/19/22

Brian Rodewoss, Commissioner
[NAME]
[TITLE]

Indiana Department of Environmental Management

[LEAD AGENCY]

for

State of Indiana

[BENEFICIARY]

Appendix D-4 Summary Details

Eligible Mitigation Action Type:

Category 9: Light Duty EV Infrastructure

Explanation of how funding request fits into Beneficiary's Mitigation Plan (5.2.1):

Indiana will generally fund all eligible mitigation action (EMA) types included in Appendix D-2 of the national consent decree. The Mission Statement and Overall Goals are described below:

In promoting the reduction of emissions of NO_x, the Indiana Volkswagen Environmental Mitigation Trust Fund Program will prioritize sustainable projects that are transformative, positively impacting the environment, enhancing the health and well-being of residents, and promoting Indiana's growing economy.

The Program will focus on technological change and advancement with resiliency and favoring use of domestic fuel, where possible.

The goals of the Indiana Volkswagen Mitigation Trust Program include:

- Improving air quality across Indiana through cost-effective NO_x emission reduction strategies
- Maximizing diesel emission reductions across Indiana, while considering various categories of sensitive populations as areas of specific focus
- Providing appropriate considerations to projects that have diesel emission reductions that go beyond just NO_x, including PM_{2.5}, hydrocarbons (HC), carbon monoxide (CO), and carbon dioxide (CO₂)
- Encouraging leveraging of project partner funds with VW Trust funds to further the reach of the Indiana program

Detailed Description of Mitigation Action Item Including Community and Air Quality Benefits (5.2.2):

This project is for the purchase, installation, operation, and maintenance of one (1) light-duty electric vehicle charging station. The charging stations are located and operated in Huntingburg, Indiana.

The installation of electric vehicle charging stations can dramatically improve air quality and the quality-of-life conditions for drivers, technicians, and citizens in the areas of operation due to the zero-tailpipe-emissions character of the vehicles.

The lifetime estimated emission reductions of pollutants according to U.S. DOE's AFLEET program developed in partnership with Argonne National Labs include 11 pounds of nitrogen oxides (NO_x), 66 tons of greenhouse gases (GHG), and a reduction in petroleum use of 189 barrels.

Estimate of Anticipated NO_x Reductions (5.2.3):

Over the lifetime of these electric vehicle charging stations, U.S. DOE's AFLEET estimates this project will result in a reduction of 11 pounds of nitrogen oxides (NO_x).

Identification of Governmental Entity Responsible for Reviewing and Auditing Expenditures of Eligible Mitigation Action Funds to Ensure Compliance with Applicable Law (5.2.7.1):

Indiana Department of Environmental Management

Describe how the Beneficiary will make documentation publicly available (5.2.7.2):

In October of 2017, IDEM published a website with specific information on the national mitigation trust as well as the Indiana program. The website included an opportunity to sign up for automated updates to make sure interested parties were always kept apprised of any changes made to the program website. This web portal will be the location for all information related to the Indiana Volkswagen Mitigation Trust Program.

Describe any cost share requirement to be placed on each NOx source proposed to be mitigated (5.2.8):

Indiana will use Volkswagen Mitigation Trust funds to reimburse non-government-owned fleet and equipment owners at the levels specified in Appendix D-2 of the national mitigation trust. IDEM will also use Volkswagen Mitigation Trust funds to reimburse government-owned fleets and equipment at the same level as non-government owned fleet and equipment owners, as opposed to the full cost reimbursement permitted by Appendix D-2.

Specifically, for this project, the Indiana Volkswagen Mitigation Trust Program will cover up to 75% of the total project cost while the Grantee and/or other programs covers the remaining 25%.

Describe how the Beneficiary complied with subparagraph 4.2.8, related to notice to U.S. Government Agencies (5.2.9):

The Indiana Department of Environmental Management notified representatives as identified in Appendix D-2 of the national consent decree via email in March of 2018.

If applicable, describe how the mitigation action will mitigate the impacts of NOx emissions on communities that have historically borne a disproportionate share of the adverse impacts of such emissions (5.2.10):

Not applicable to this specific project.

Attachment B Details

Project Schedule and Milestones:

Indiana announces Level 2 and DC Fast charging station funding opportunity via online Request for Proposals (RFP)	June 23, 2020
Deadline for Level 2 and DC Fast charging station program applications	September 23, 2020
Indiana notifies applicants of award decisions	November 18, 2020
Funding Agreement between Indiana and Grantee is fully executed	March 1, 2021
Grantee project implementation	March 1, 2021 to December 31, 2022
Indiana reviews programmatic, financial, and vehicle scrappage materials for compliance with Appendix D-2 of the national consent decree	Ongoing
Indiana submits Appendix D-4 to Trustee to initiate payment to Grantee in accordance with Payee Contact and Wire Information form along with other supporting documentation	December 15, 2022
Indiana coordinates with Trustee on any questions or issues that arise related to the submitted Appendix D-4	December 15, 2022 to D-4 approval
Trustee responds to Indiana Appendix D-4	Within 60 days of submittal
Trustee disburses payment to Grantee	Within 15 days of Appendix D-4 approval

Project Budget:

Project Description	Indiana VW Mitigation Trust Grant Not to Exceed Total	Grantee Cost Share Not Less than Total	Project Total
The purchase, installation, operation and maintenance of one (1) Intertek or Underwriter’s Laboratory certified compliant Level 2 electric vehicle charging stations to include at least two (2) SAE J1772 charging connections per station. This electric vehicle charging station shall be networked with capability for remote diagnostics, remote control of the equipment, collecting and	\$9,000.00	\$2,971.00	\$11,971.00

reporting usage data, and processing payments if fees are to be charged.			
Percentage	75%	25%	100%

State of Indiana Total Trust Allocation:

1) State of Indiana's Total Trust Allocation	\$40,935,880.59
2) Obligated Awards from Previous D-4 Submittals	\$14,003,343.08
3) State of Indiana's Net Remaining Allocation Prior to this D-4 Submittal	\$26,932,537.51
4) Current D-4 Funding Request Total	\$9,000.00
5) State of Indiana's Remaining Allocation After this D-4 Submittal	\$26,923,537.51

Projected Allocation Totals per Project Type:

	Total per Category	Annually Based on Expected Project Reimbursements
Total Trust	\$40,935,880.59	NA
To EV (15%) over 3 years (2021 to 2023)	\$6,140,382.09	\$2,046,794.03
To Admin (3%) over 4 years (2020 to 2023)	\$1,228,076.42	\$307,019.11
To EMAs (82%) over 3 years (2020 to 2022)	\$33,567,422.08	\$11,189,140.69

Projected Trust Allocations:

	2020	2021	2022	2023
1) Anticipated Annual Project Funding Request to be paid through the Trust	\$11,189,140.69	\$13,235,934.72	\$13,235,934.72	\$2,046,794.03
2) Anticipated Annual Cost Share (Administrative Costs)	\$307,019.11	\$307,019.11	\$307,019.11	\$307,019.11
3) Anticipated Total Project Funding by Year (Line 1 + Line 2)	\$11,496,159.8	\$13,542,953.8	\$13,542,953.8	\$2,353,813.14
4) Cumulative Trustee Payments Made to Date Against Cumulative Approved Beneficiary Allocation	\$3,895,454.40	\$4,710,898.20	\$5,165,086.48	
5) Current Beneficiary Project Funding to be paid through the Trust (Line 1)	\$101,199.00	\$130,705.00	\$9,000.00	
6) Total Funding Allocated to Beneficiary, inclusive of Current Action by Year (Line 4 + Line 5)	\$3,996,653.40	\$4,841,603.20	\$5,174,086.48	
7) Beneficiary Share of Estimated Funds Remaining in Trust at Beginning of Year	\$40,935,880.59	\$36,939,227.19	\$32,097,623.99	
8) Net Beneficiary Funds Remaining in Trust, net of cumulative Beneficiary Funding Actions (Line 7 – Line 6)	\$36,939,227.19	\$32,097,623.99	\$26,923,537.51	

Attachment C Details

Detailed Plan for Reporting on Eligible Mitigation Action Implementation (5.2.11):

The Indiana Department of Environmental Management (IDEM) is committed to meet the reporting requirements as detailed in Subparagraph 5.3 of the Environmental Mitigation Trust Agreement for State Beneficiaries. Specifically, this subparagraph states:

“For each Eligible Mitigation Action, no later than six months after receiving its first disbursement of Trust Assets, and thereafter no later than January 30 (for the preceding six-month period of July 1 to December 31) and July 30 (for the preceding six-month period of January 1 to June 30) of each year, each Beneficiary shall submit to the Trustee a semiannual report describing the progress implementing each Eligible Mitigation Action during the six-month period leading up to the reporting date (including a summary of all costs expended on the Eligible Mitigation Action through the reporting date). Such reports shall include a complete description of the status (including actual or projected termination date), development, implementation, and any modification of each approved Eligible Mitigation Action. Beneficiaries may group multiple Eligible Mitigation Actions and multiple sub-beneficiaries into a single report. These reports shall be signed by an official with the authority to submit the report for the Beneficiary and must contain an attestation that the information is true and correct and that the submission is made under penalty of perjury. To the extent a Beneficiary avails itself of the DERA Option described in Appendix D-2, that Beneficiary may submit its DERA Quarterly Programmatic Reports in satisfaction of its obligations under this Paragraph as to those Eligible Mitigation Actions funded through the DERA Option. The Trustee shall post each semiannual report on the State Trust’s public-facing website upon receipt.”

IDEM will meet these obligations in coordination with our project partners. Project partners are obligated to provide IDEM the necessary information for reports to the Trustee through the Funding Agreements between IDEM and each project partner. This language states:

“4. Implementation and Reporting Requirements

A. The Grantee shall implement and complete the Project in accordance with **Attachment A** and the plans and specifications contained in its Funding Application. Modification of the Project shall require prior written approval from IDEM. If IDEM determines that the Grantee is not making adequate progress in implementation of the approved Project in accordance with **Attachment A**, IDEM may rescind the award.

B. The Grantee shall submit to IDEM written progress reports until the completion of the Project. These reports shall be submitted in accordance with the reporting schedule contained in **Attachment C** and shall contain such detail of progress or performance on the Project as is required under the terms of the Volkswagen Diesel Emissions Environmental Mitigation Trust. If additional documentation is required for IDEM to meet reporting obligations under the

Volkswagen Diesel Emissions Environmental Mitigation Trust, IDEM may request such documentation as necessary at any time during the term of this Agreement.”

Attachment D Details

Detailed cost estimates from selected or potential vendors for each proposed expenditure exceeding \$25,000 (5.2.6):

Detailed in the following pages:

EVunited

6605 Longshore Street Suite 240
 Dublin, OH 43017 US
 (614) 504-3803
 operations@evunited.com
 www.evunited.com



INVOICE

BILL TO

John Reutepohler
 City of Huntingburg, IN
 P.O. Box 10
 Huntingburg, IN 47542

SHIP TO

John Reutepohler
 City of Huntingburg, IN
 508 N. Van Buren Street
 Huntingburg, IN 47542

INVOICE # 1282**DATE 07/28/2021****DUE DATE 08/27/2021****TERMS Net 30**

DATE	ACTIVITY	DESCRIPTION	QTY	RATE	AMOUNT
	CT4021-GW1	Dual Output, Gateway Option USA, Bollard Unit - 208/240V @ 30A w/ Cord Management	1	5,768.00	5,768.00T
	CT4001-CCM	Bollard Mounting Kit	1	95.00	95.00T
	CPCLD-COMMERCIAL-5	ChargePoint Commercial Cloud Service Plan 5 year Pre-Paid, per port	2	1,319.00	2,638.00T
	CT4000-ASSURE5	5 years of Assure Coverage	1	2,495.00	2,495.00T
	CPSUPPORT-SITEVALID	On-site Validation	1	0.00	0.00T
	CPSUPPORT-ACTIVE	Station Activation and Configuration Service	1	0.00	0.00T
	EV-SHIP	Shipping and Handling	1	375.00	375.00T
	EV-INSTALL-COM	EV - Certified Installation / secure mounting of EV Charging Station(s) on customer provided cement pad with mounting screws or similar structure (does not include site make-ready electrical or other site prep work construction). See "Statement of Work" on next page for more detailed information.	1	600.00	600.00T

Network - Includes network pinpointing, ChargePoint Network authentication, & remote verification.

Remaining 50% install (\$600) due once install is complete.

SUBTOTAL	11,971.00
TAX	0.00
TOTAL	11,971.00
BALANCE DUE	\$11,971.00

City of Huntingburg

Office of Clerk-Treasurer

PO Box 10 • 508 E 4th St
 Huntingburg, IN 47542
 P 812.683.2211 • F 812.683.4672
 ct@huntingburg-in.gov

Fixed Asset Purchase/Disposal/Transfer Form

Utility Fixed Asset Threshold: $\geq \$1,000$


Non-Utility Fixed Asset Threshold: $\geq \$5,000$

Fixed Asset Purchase: **Print Clearly**

Department(s):	Electric
Item Description:	Electric Vehicle Charging Station
Item In-Service Location Or Stock/Inventory:	City Office Parking lot
Vendor Name:	EVunited
Date of Purchase:	7.28.2021
Cost of Fixed Asset:	* 11,977.00
Manufacturer Name:	EVunited
Model Year/Model #/Serial #:	CT 4021 - 6W1
Was this item removed from inventory?	
Date Placed In-Service:	No, fall of 2021
Does this Item Need to be Added to the City's Insurance Policy?	____ Yes* <input checked="" type="checkbox"/> No
*If yes, what value would you like to insure this item? \$ _____	
Was an Item traded-in, transferred, or disposed of?	____ Yes* <input checked="" type="checkbox"/> No
*If yes, please complete the Trade-in/Disposal/Transfer section, so the item can be removed from Fixed Assets!	

Fixed Asset Trade-in/Disposal/Transfer: **Print Clearly**

Department(s):	
Item Description/In-Service Location:	
Estimated Date of Purchase:	
Trade-in/Disposal/Transfer Value:	
Manufacturer Name:	
Model Year/Model #/Serial #:	
Does this Item Need to be Removed from the City's Insurance Policy?	____ Yes ____ No
Notes/Explanation:	

Purchasing Agent Signature: 

Please attach completed form to the Claim and Invoice for payment!

For any Fixed Asset with a Trade-in, please provide this completed form to the Clerk-Treasurer prior to Purchasing!

Fixed Asset Addition: Date: _____ FA#: _____ Initial Completed: _____ CT0102920

Fixed Asset Purchase-Disposal

Application Views

- Invoice Entry
- Check Entry
- Tables: Invoices
- Program Setup

Quick Search

Invoice Number F4
 Check Number F5
 Invoice Ref # F6

Notifications

Recurring Invoices Waiting
 None by amount
 None by percent
 View All Recurring Invoices
Unjournalized Invoices/Checks
 135 Invoices... No Checks
Unposted Journal Entries:
 None
Open Check Requests:
 None
Invoices On Hold:
 None

Notice: This invoice has been paid. You may only edit the 1099, Approval List and the Notes fields.

Vendor Code: 0004557 **Bank Code:** GENL
Vendor Info. Name: EVUNITED
Journalized: Yes
Status: Paid
Reference #: 117158

Invoice Details

Invoice #: 1282 **Desc:** E - ELECTRIC VEHICLE CHARGING STATION
Invoice Date: 07/28/2021 **Post Date:** 08/10/2021 **1099** **Separate Check**
Expected Ck Run: / / **Due Date:** 08/10/2021 **Hold** **Check Memo:**
PO #: **Pay By:** Paper Check **Amount:** \$11,971.00 **Approval List**
Flag As Asset **View Linked Asset**

GL Number	Item Description	Amount	Enc
6301-000-164.0120	POLES, TOWERS & FIXTURES	11,971.00	E I

Balance To Apply: \$0.00

Amt Paid: \$11,971.00 **Date:** 08/10/2021 **Check:** 92288

Meeting: **User Field 2:**
User Field 3: **User Field 4:**

Viewing Existing Invoice (Press Escape to return to the Invoices table.) Help Video...

Save Cancel



RETAIN FOR YOUR RECORDS

FORM APPROVED BY STATE BOARD OF ACCOUNTS
FOR CITY OF HUNTINGBURG - 1984

CITY OF HUNTINGBURG
508 EAST FOURTH STREET • P.O. BOX 10
HUNTINGBURG, INDIANA 47542

92288

GERMAN AMERICAN BANK
HUNTINGBURG, INDIANA

PAY EXACTLY



71-4567839

FUND	CHECK NO.	DATE	AMOUNT
	92288	08/10/21	11,971.00

Eleven Thousand Nine Hundred Seventy-One and NO/100 Dollars**

THIS CHECK VOID TWO (2) YEARS
AFTER DEC. 31 OF YEAR OF ISSUE.

PAY TO THE
ORDER OF

EVUNITED
6605 LONGSHORE STREET SUITE 240
DUBLIN OH 43017

Thomas A. Dippel

CLERK - TREASURER

The security features listed below, as well as those not listed, exceed industry guidelines.

Security Features:

- MicroPrint Line
- Chemically Sensitive Paper
- Security Screen

Results of document alteration:

- Small type in line appears as dotted line when photocopied
- Stains or spots may appear with chemical alteration
- Absence of "Original Document" watermark on back of check

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FEDERAL RESERVE BOARD OF GOVERNORS REG. CC

ENDORSE HERE

Kevin - Everside
For mobile deposit
through the app

DO NOT WRITE, STAMP OR SIGN BELOW THIS LINE
RESERVED FOR FINANCIAL INSTITUTION USE