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Attorneys for the Trustee of the Volkswagen Diesel Emissions Environmental Mitigation Trust for Indian Tribe Beneficiaries

IN THE UNITED STATES DISTRICT COURT

FOR THE NORTHERN DISTRICT OF CALIFORNIA

SAN FRANCISCO DIVISION

IN RE: VOLKSWAGEN "CLEAN
DIESEL" MARKETING, SALES
PRACTICES, AND PRODUCTS
LIABILITY LITIGATION

Relates to:

[United States v. Volkswagen AG, et al., No. 16-cv-295 (N.D. Cal.)]

Case No. MDL 2672 CRB (JSC)

NOTICE OF BENEFICIARY DESIGNATION UNDER THE VOLKSWAGEN DIESEL EMISSIONS ENVIRONMENTAL MITIGATION TRUST FOR INDIAN TRIBE BENEFICIARIES

Judge:

Hon. Charles R. Breyer

PLEASE TAKE NOTICE THAT Wilmington Trust, N.A. as Trustee (the "Trustee") of the Volkswagen Diesel Emissions Environmental Mitigation Trust for Indian Tribe Beneficiaries (the "Indian Tribe Trust"), by and through its counsel Reed Smith, respectfully files the attached Notice of Beneficiary Designation under the Volkswagen Diesel Emissions Environmental Mitigation Trust for Indian Tribe Beneficiaries in accordance with subparagraph 4.0.2 thereof.

Dated: January 29, 2018

Respectfully submitted,

<u>/s/Michele D. Ross</u> Michele D. Ross, partner Reed Smith LLP

CERTIFICATE OF SERVICE

I hereby certify that, on January 29, 2018, I caused to be served true copies of the Notice of Beneficiary Designation under the Volkswagen Diesel Emissions Environmental Mitigation Trust for Indian Tribe Beneficiaries in accordance with subparagraph 4.0.2 thereof by electronic means by filing such documents through the Court's Electronic Case Filing System.

> <u>/s/ Michele D. Ross</u> Michele D. Ross Attorney for the Trustee of the Volkswagen Diesel Emissions Environmental Mitigation Trust for Indian Tribe Beneficiaries

Notice of Beneficiary Designation for the Volkswagen Diesel Emissions Environmental Mitigation Trust for Indian Tribe Beneficiaries in accordance with subparagraph 4.0.2 of the Indian Tribe Trust

In accordance with subparagraph 4.0.2 of the Volkswagen Diesel Emissions Environmental Mitigation Trust for Indian Tribe Beneficiaries (the "Indian Tribe Trust"), Wilmington Trust, N.A. as Trustee of the Indian Tribe Trust hereby certifies as follows:

In accordance with Paragraph 4.0 of the Indian Tribe Trust, each of the following Certifying Entities filed its Appendix D-3 with the U.S. District Court for the Northern District of California (the "Court") on or prior to 90 days after the Trust Effective Date:

LIST OF DESIGNATED BENEFICIARIES UNDER THE INDIAN TRIBE TRUST

- 1. Beaver Village
- 2. Bishop Paiute Tribe
- 3. Blue Lake Rancheria, California
- 4. Cherokee Nation
- 5. Cheyenne River Sioux Tribe of the Cheyenne River Reservation, South Dakota
- 6. The Chickasaw Nation
- 7. Confederated Tribes and Bands of the Yakama Nation
- 8. Confederated Tribes of Coos, Lower Umpqua and Siuslaw Indians
- 9. Coquille Indian Tribe
- 10. Minnesota Chippewa Tribe Fond du Lac Band
- 11. Fort Independence Indian Community of Paiute Indians of the Fort Independence Reservation, California
- 12. Gila River Indian Community of the Gila River Indian Reservation, Arizona
- 13. Hoopa Valley Tribe, California
- 14. Lower Brule Sioux Tribe of the Lower Brule Reservation, South Dakota
- 15. Mashantucket Pequot Indian Tribe

- 16. Mohegan Tribe of Indians of Connecticut
- 17. The Muscogee (Creek) Nation
- 18. Native Village of Stevens
- 19. Oglala Sioux Tribe
- 20. Pala Band of Mission Indians
- 21. Pueblo of Isleta
- 22. The Quapaw Tribe of Indians
- 23. Salt River Pima-Maricopa Indian Community of the Salt River Reservation, Arizona
- 24. San Manuel Band of Mission Indians, California
- 25. Shoshone- Bannock Tribes of the Fort Hall Reservation
- 26. Southern Ute Indian Tribe
- 27. Swinomish Indian Tribal Community
- 28. Three Affiliated Tribes of the Fort Berthold Reservation, North Dakota
- 29. White Mountain Apache Tribe of the Fort Apache Reservation, Arizona

In accordance with subparagraph 4.0.2.1 of the Indian Tribe Trust, no notices of objection were filed to the Appendix D-3 filings with the Court by any of the Certifying Entities listed above. Therefore, in accordance with the terms of the Indian Tribe Trust, each such Certifying Entity listed above is now designated a "Beneficiary" under the Indian Tribe Trust.

Any Certifying Entity that filed its Appendix D-3 with the Court after January 2, 2018 will be considered for the next funding cycle.

Each of the Certifying Entities listed above in the "List of Designated Beneficiaries" met the deadline of January 2, 2018, relating to filing its Appendix D-3 with the Court. However, in order to participate in the first funding cycle under the Indian Tribe Trust, these potential Beneficiaries were also required to file their Appendix D-3 and Appendix D-4 certifications with the Trustee in accordance with Paragraph 4.0 and subparagraph 5.0.5.2 of the Indian Tribe Trust by the January 2, 2018 deadline.

If a potential Beneficiary met both of these deadlines and its Appendix D-3 and Appendix D-4 certifications were properly completed and filed with the Trustee by the January 2, 2018 deadline, it will be considered for participation in the first funding cycle.

Case 3:15-md-02672-CRB Document 4701 Filed 01/29/18 Page 5 of 5

Potential Beneficiaries that submitted a DERA Notice of Intent must meet both the filing deadline with the Court and the filing deadline with the Trustee, and must also receive a DERA Award from the U.S. Environmental Protection Agency to be eligible to participate in the first funding cycle.

The following two Certifying Entities listed below did not file their Appendix D-3 and Appendix D-4 certifications with the Trustee in accordance with Paragraph 4.0 and subparagraph 5.0.5.2 of the Indian Tribe Trust by the January 2, 2018 deadline and are not eligible to participate in the first funding cycle:

- Southern Ute Indian Tribe
- Swinomish Indian Tribal Community

If a Certifying Entity was deemed a Beneficiary under the Indian Tribe Trust because it filed its Appendix D-3 with the Court by the January 2, 2018 deadline, but failed to meet the January 2, 2018 deadline for filing its Appendix D-3 and Appendix D-4 with the Trustee, that Beneficiary will be eligible to participate in a subsequent funding cycle provided that it files its Appendix D-3 and Appendix D-4 with the Trustee by the deadline for that subsequent funding cycle.

The deadline for the next funding cycle is September 1, 2018. All of the Certifying Entities that are now designated Beneficiaries of the Indian Tribe Trust listed in the "List of Designated Beneficiaries" above are eligible to participate in any one of the subsequent funding cycles.

Wilmington Trust, N.A. as Trustee of the Volkswagen Diesel Emissions Environmental Mitigation Trust for Indian Tribe Beneficiaries

By: <u>/s/David A. Vanaskey Jr.</u> Name: David A. Vanaskey Jr. Title: Administrative Vice President