

The Volkswagen Diesel Emissions Environmental Mitigation Trust for Indian Tribe Beneficiaries

Third Funding Cycle: Trustee Opens Window for Accepting Participation Notices from Designated Beneficiaries of the Indian Tribe Trust

Wilmington Trust, N.A., as Trustee (the “Trustee”) for the Volkswagen Diesel Emissions Environmental Mitigation Trust for Indian Tribe Beneficiaries (the “Indian Tribe Trust”) hereby gives notice that, in accordance with subparagraph 5.0.5.3.3 of the Indian Trust, any Designated Beneficiaries¹ that wish to participate in the **Third Funding Cycle** must file their Appendix D-6 Designated Beneficiary’s Participation Notice (D-6) with the Trustee by the **June 15, 2020 deadline**, by which date the window for accepting D-6 filings from Beneficiaries will close.

Filings of the D-6 received by the Trustee after June 15, 2020 will be rejected and such Beneficiaries will not be eligible to participate in the Third Funding Cycle.

Submitting the Appendix D-6 Designated Beneficiary’s Participation Notice to the Trustee

Interested Designated Beneficiary Tribes may submit by deadline an executed Appendix D-6 to the Trustee via **either the Intralinks portal** (*preferred method*) **or** via any **Guaranteed Overnight Courier Service** only for this Third Funding Cycle. Only one delivery method to the Trustee—either via Intralinks or physically via courier—is required.

Please Note:

Future Funding Cycles: Designated Beneficiary Tribes interested in subsequent funding cycles may not submit an Appendix D-6 until the Trustee officially opens the window for receiving the Appendix D-6 for each respective Cycle by filing a D-6 Notice for that funding cycle. Expected Trustee D-6 Notice Dates are May 24, 2021 (for the Fourth Funding Cycle) and May 29, 2022 (for a Fifth Funding Cycle, if any – see subparagraph 5.0.5.3.5).

A fillable copy of the Appendix D-6 Designated Beneficiary’s Participation Notice can be directly downloaded by Beneficiary Tribes in the General Information folder in the Intralinks portal.

The Institute for Tribal Environmental Professionals (ITEP) is providing technical assistance to Designated Beneficiaries in connection with completing and uploading the required documentation.

For additional information or assistance, please see below.

¹ Only a Federally-recognized Indian Tribe that has been designated as a Beneficiary of the Indian Tribe Trust via a Trustee filing of a Notice of Beneficiary Designation (“NBD”) with the Court) may submit an Appendix D-6 to the Trustee. To date, the Trustee has filed three NBDs:

- January 29, 2018 - <https://www.vwenvironmentalmitigationtrust.com/TribeNBD1>;
- May 17, 2019 - <https://www.vwenvironmentalmitigationtrust.com/TribeNBD2>; and,
- May 15, 2020 - <https://www.vwenvironmentalmitigationtrust.com/TribeNBD3>.

Contacting the Trustee

You may contact the Trustee using the **Contact Us** tab on this public-facing website at www.vwenvironmentalmitigationtrust.com/contact-us.

Contacting ITEP

ITEP is providing technical assistance to Designated Beneficiaries in connection with completing the required documentation and can be contacted as follows:

Mark Daniels
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928-523-8897

or

Mehrdad Khatibi
mehrdad.khatibi@nau.edu
928-523-0946

BY: Wilmington Trust, N.A., as Trustee for the Volkswagen Diesel Emissions Environmental Mitigation Trust for Indian Tribe Beneficiaries

DATE: May 22, 2020