APPENDIX D-4 Beneficiary Eligible Mitigation Action Certification

BENEFICIARY ELIGIBLE MITIGATION ACTION CERTIFICATION

Beneficiary Swinomish Indian Tri	ibal Community_						
	Lead Agency Authorized to Act on Behalf of the Beneficiary Office of Tribal Attorney						
	lelegation of such authority to direct the Trustee delivered to the tion of Authority and Certificate of Incumbency)						
Action Title:	Replacement of Medium Trucks and ZEV Supply Equipment Acquisition						
Beneficiary's Project ID:	VW-2020/2021						
Funding Request No.	(sequential)2						
Request Type: (select one or more)	☐ Reimbursement ☐ Advance ☐ Other (specify):						
Payment to be made to: (select one or more)	■ Beneficiary □ Other (specify):						
Funding Request & Direction (Attachment A)	■ Attached to this Certification □ To be Provided Separately						
	SUMMARY						
Eligible Mitigation Action Action Type □ Appendix D-2 item (specify): 6, 9 □ Item 10 - DERA Option (5.2.12) (specify and attach DERA Proposal):							
Detailed Description of Mitigation Action Item Including Community and Air Quality Benefits (5.2.2): See Addendum.							
Estimate of Anticipated NOx This project will result in N	Reductions (5.2.3): Ox reduction of 87.5% (in short tons). See Addendum.						
Identification of Governmental Entity Responsible for Reviewing and Auditing Expenditures of Eligible Mitigation Action Funds to Ensure Compliance with Applicable Law (5.2.7.1): See Addendum.							
Describe how the Beneficiary will make documentation publicly available (5.2.7.2). See Addendum.							
Describe any cost share require Not applicable.	rement to be placed on each NOx source proposed to be mitigated (5.2.8).						
Agencies (5.2.9).	Describe how the Beneficiary complied with subparagraph 4.2.8, related to notice to U.S. Government Agencies (5.2.9). No U.S. Government Agency contacted Swinomish Indian Tribal Community in relation to the Trust.						

If applicable, describe how the mitigation action will mitigate the impacts of NOx emissions on communities that have historically borne a disproportionate share of the adverse impacts of such emissions (5.2.10). Not applicable.

ATTACHMENTS (CHECK BOX IF ATTACHED)

/	Attachment A	Funding Request and Direction.
/	Attachment B	Eligible Mitigation Action Management Plan Including Detailed Budget and Implementation and Expenditures Timeline (5.2.4).
/	Attachment C	Detailed Plan for Reporting on Eligible Mitigation Action Implementation (5.2.11).
	Attachment D	Detailed cost estimates from selected or potential vendors for each proposed expenditure exceeding \$25,000 (5.2.6). [Attach only if project involves vendor expenditures exceeding \$25,000.]
	Attachment E	DERA Option (5.2.12). [Attach only if using DERA option.]
	Attachment F	Attachment specifying amount of requested funding to be debited against each beneficiary's allocation (5.2.13). [Attach only if this is a joint application involving multiple beneficiaries.]
		<u>CERTIFICATIONS</u>
By su	bmitting this applic	ation, the Lead Agency makes the following certifications: Swinomish Indian Tribal Community
1.	This application is	s submitted on behalf of Beneficiary ,
	and the person exc behalf of the Lead	ecuting this certification has authority to make this certification on Agency and Beneficiary, pursuant to the Certification for s filed with the Court.
2.	• •	sts and directs that the Trustee make the payments described in this ttachment A to this Form.
3.	of the Trust Agree	ontains all information and certifications required by Paragraph 5.2 ement, and the Trustee may rely on this application, Attachment A, cations in making disbursements of trust funds for the roject ID.

Any vendors were or will be selected in accordance with a jurisdiction's public

Beneficiary will maintain and make publicly available all documentation submitted in

contracting law as applicable. (5.2.5)

4.

5.

3

support of this funding request and all records supporting all expenditures of eligible mitigation action funds subject to applicable laws governing the publication of confidential business information and personally identifiable information. (5.2.7.2)

	September 22, 2020	Weston LeMay, Staff Attorney
DATED:		[NAME]
	11210	[TITLE] Office of Tribal Attorney
SIGNATUR	E: What Life with the wind with the wind with the window swinomish.nsn.us	- [LEAD AGENCY]
		for Swinomish Indian Tribal Community
		[BENEFICIARY]

[SAMPLE ATTACHMENT B - USE OF THIS FORMAT IS NOT MANDATORY]

PROJECT MANAGEMENT PLAN PROJECT SCHEDULE AND MILESTONES

Milestone	Date
Lead Agency Provides Notice of Availability of Mitigation Action Funds	
Project Sponsor Submits Proposal to Lead Agency	
Lead Agency Provides Written Approval of Project Sponsor's Proposal	
Lead Agency Incorporates Project Sponsor's Proposal into Mitigation Plan	
Trustee Acknowledges Receipt of Project Certification and Funding Direction	10/27/20
Trustee Allocates Share of Funds for Approved Project	11/12/20
Lead Agency Directs Funding (Advance Funded Projects)	
Project Sponsor Obtains Cost Share, Notifies or Certifies to Lead Agency	
Project Sponsor Enters into Contracts, Purchase Orders, etc Start	1/01/21
Project Sponsor Enters into Contracts, Purchase Orders, etc Complete	
Project Installation(s) – Start	4/01/21
Project Installation(s) – Complete	09/30/21
Project Sponsor provides detailed invoices for all claimed project costs, documentation for emission reduction estimates, required certification documents to Lead Agency to support direction to Trustee for Payment (Reimbursement, Direct-to-Vendor) or final accounting (Forward Funded Projects)	-
Lead Agency completes review and certifies payment direction to Trustee (Reimbursement)	
Trustee Acknowledges Receipt of Direction for Payment(s) (Advance Funded, Reimbursement)	-
Project Sponsor Certifies Project Completion	09/30/21
Lead Agency Reports Project Completion	09/30/21

PROJECT BUDGET

Period of Performance: November 2020-September 2021					
Budget Category 1. Equipment Expenditure	Total Approved Budget \$166,739	Share of Total Budget to be Funded by the Trust \$ 166,739	Cost-Share, if applicable (Entity #1)	Cost-Share, if applicable (Entity #2)	
2. Contractor Support (Provide List of Approved Contractors as Attachment with approved funding ceilings)	\$	\$	\$	\$	
3. Subrecipient Support (Provide List of Approved Subrecipients or Grant Awardees as Attachment with approved funding ceilings)	\$	\$	\$	\$	
4. Administrative ¹	\$645	\$645	\$	\$	
Project Totals	_{\$} 167,384	_{\$} 167,384	\$	\$	
Percentage	100 %	100 %	%	%	

¹ Subject to Appendix D-2 15% administrative cap.

PROJECTED TRUST ALLOCATIONS:

	2017	2018	2019	2020	2021
1. Anticipated Annual Project Funding Request to be paid through the Trust	\$	\$	\$	\$ 167,384	\$
2. Anticipated Annual Cost Share	\$	\$	\$	\$ 0	\$
3. Anticipated Total Project Funding by Year (line 1 plus line 2)	\$	\$	\$	\$ 167,384	\$
4. Cumulative Trustee Payments Made to Date Against Cumulative Approved Beneficiary Allocation	\$	\$	\$	\$	\$
5. Current Beneficiary Project Funding to be paid through the Trust (line 1)	\$	\$	\$	\$	\$
6. Total Funding Allocated to for Beneficiary, inclusive of Current Action by Year (line 4 plus line 5)	\$	\$	\$	\$	\$
7. Beneficiary Share of Estimated Funds Remaining in Trust	\$	\$	\$	\$	\$
8. Net Beneficiary Funds Remaining in Trust, net of cumulative Beneficiary Funding Actions (line 7 minus line 6)	\$	\$	\$	\$	\$

Attachment C

Detailed Plan for Reporting on Eligible Mitigation Action Implementation (5.2.11)

The first semi-annual report will be submitted by May 12, 2021, no later than six months after the Tribe receives its first disbursement of Trust Assets. Thereafter, semi-annual reporting will be done no later than six months after receipt of the first disbursement of Trust Assets and thereafter no later than January 30 (for the preceding six month period of July 1 through December 31) and July 30 (for the preceding six month period of January 1 through June 30) of each year will submit to the Trustee a semi-annual report describing the progress of implementing each EMA during the six month period leading up to the reporting date including a summary of all costs expended on the EMA through the reporting date. Such reports shall include a complete description of the status including actual or projected termination date, development, implementation and any modifications of each proposed EMA. The reports will be signed by an official with authority to submit the report and will contain an attestation that the information is true and correct and that the submission is made under penalty of perjury.

Attachment D

Detailed cost estimates from selected or potential vendors for each proposed expenditure exceeding \$25,000 (5.2.6)

Bickford Ford provided the replacement vehicle quote; the add on options are provided by Northend through Bickford Ford. The <u>first vehicle</u> comes with the flatbed, snow plow and spreader options, totaling **\$78,818**:

Truck Base Price \$52,443 9' Flatbed Option \$ 8,488 Snow Plow Option \$ 7,692 Spreader Option \$10,195

Added to this vehicle are the following features, to preserve the vehicle, equipment, and roadway while plowing, as well as line the bed of the truck to prevent salt and chemical rust, totaling **\$980**:

Cast Iron Plow Shoes \$125 Rubber Snow Deflector \$257 Boss Urethane Cutting Edge Bed Liner \$598

The <u>second vehicle</u> comes with *just* the flatbed option, totaling **\$60,931**:

Truck Base Price \$52,443 9' Flatbed Option \$ 8,488

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		2021 F-SERIES	SD		Page: 1 of 2
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ord PEP: 6	60A Cust/Flt Name	: STOCK	PO	Number:	
and the second second	RETAIL			RETAI	L
F5H F550 4	X4 CHAS/C \$43800 HEELBASE WHITE 0/20/40 EARTH GR QUIP PKG IM	54	K TELE TT	MIR-PWR N	IC .
145" W	HEELBASE		FRT LICE	ENSE BKT N	IC.
Z1 OXFORD	WHITE		LESS TPN	1 S	
A VNYL 4 S MEDIUM	0/20/40		18000# 0	SVWR PKG	
S MEDIUM	EARTH GR	43	LH ENG BLK	HEATER 10	00
660A PREF E	QUIP PKG	4:	LP SKID PLA	TES 10	
.XL TR	IM	47	25 50 STATE	E EMISS N	IC
DIE MILIC	OMPT LTOMEK MC	47	3 SNOW PLC	W PKG 25	0
	MP3/CLK				
99T 6.7L V	8 DIESEL 9325	TO	TAL BASE AND	OPTIONS 5804	-0
	AUTOMATC NC	TO	TAL	5804	0
TGK 225 MA	X TRAC 215	****	IIS IS NOT A	N INVOICE*	
	TD SLIP 360				
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F4=Submit	UIP GROUP 865 F2=Re F5=Add to Libra	ry			
5006 - MORE	DATA IS AVAILABLE	•			QC086801

TRUCK PRICE: \$52,443

CNGP530

VEHIC 9' FLAT BED: \$ 8,488

SNOW PLOW: \$ 7,692

Order No: D001 Priority: L
Ord PEP: 660A CUST/FIT Name: SPREADER: \$ 10,195

SPREADER: \$ 10,195

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QC086801

May 29, 2020

TO: DAN HUDSON BICKFORD FORD

RE: SWINOMISH TRIBE

RE: PRICING ON ONE (1 EA.) NTE FLATBED BODY, BOSS VBX SPREADER AND 9' SUPER TO FIT A 2021 FORD F-550 4X4 FLATBED W/ DIESEL ENGINE. AND 60" CAB TO AXLE

LOWS:
9' STRUCTURAL STEEL FLATBED
9' STRUCTURAL STEEL FLATBED
3" "C" CHANNEL CROSSMEMBERS ON 16" CENTERS
"C" CHANNELS LONG SILLS
3" X 5" X 1/4" ANGLE PERIMETER
2" X 6" T&G FIR DECKING - SEALED
FOUR (4) POST, 2" ABOVE CAB HEIGHT, 1/2 & 1/2 HEADBOARD
STAKEPOCKETS - 3" CHANNEL
HEADBOARD HIGH ALUMINUM SLATED SIDES AND REAR. TWO SECTIONS PER SIDE, AND HEADBOARD HIGH ALUMINUM SLATED SIDES AND REAR. TWO SE TWO AT REAR. 2" RECEIVER HITH W/ D-RINGS AND PLUG-IN ALL LEGAL LIGHTS, REFLECTORS, & MUDFLAPS PER DOT REG.S' HEAVY DUTY ICC DOCK BUMPER PRIMED PAINTED ONE COLOR -- BLACK

PRICE F.O.B. BICKFORD FORD
PRICE DOES NOT INCLUDE ANY APPLICABLE TAXES.

\$ 8,488.00

ONE (1 EA.) BOSS 9' SUPER DUTY STEEL STRAIGHT BLADE SNOW PLOW ELECTRIC HYDRAULIC W/ SMART TOUCH CONTROLS QUICK RELEASE W/ RT3, W SMART HITCH 2 HEATED / LED HEADLIGHTS W/ INTERGAL DIRECTIONAL LIGHTS COMPLETE KIT INSTALLED AND OPERATIONAL

PRICE F.O.B. BICKFORD FORD PRICE DOES NOT INCLUDE ANY APPLICABLE TAXES.

\$7,692.00

OPTIONS AT TIME OF ORDERING ONLY: CAST IRON PLOW SHOES RUBBER SNOW DEFLECTOR BOSS URETHANE CUTTING EDGE

ADD \$ 125.00 ADD \$ 257.00 ADD \$ 598.00 First vehicle (only) additional accessories

PAGE ONE

BOSS VBX 9000 HOPPER SPREADER WITH THE FOLLOWING:
9' LONG - 3.0 CUBIC YARD CAPACITY, POLY
3/4 H.P. STAINLESS STEEL FEED MOTOR
Completely enclosed IN CAB LCD DISPLAY CONTROLLER
DOUBLE WALL POLYETHELENE HOPPER

- DOUBLE WALL POLYETHELENE HOPPER
 304 STAINLESS STEEL TROUGH
 ADJUSTABLE SPINNER HEIGHT.
 STANDARD W/ TIE-DOWNS
 AUGER SPREADER W/ AUTO REVERSING MOTORS.
 INSTALLED & OPERATIONAL

APPROXIMATE WEIGHT 760 LBS.

PRICE F.O.B. BICKFORD FORD PRICE DOES NOT INCLUDE ANY APPLICABLE TAXES.

\$ 10,195.00

<u>OPTIONS AT TIME OF ORDERING ONLY:</u> BOSS VBS15135 HARD "PRO-LID" FOR HOPPER PINTLE CHAIN IN LIEU OF AUGER MSC20100 60 GALLON PRE-WET KIT

ADD \$ 1,465.00 ADD \$ N/C ADD \$ 1,876.00

TOTAL PACKAGE PRICE LESS SALES TAX OR ADDITIONAL OPTIONS. \$ 26,375.00

THANKS AGAIN,
CHAD LITTLE
CONFIRMING P.O. #_____ SIGNATURE
BICKFORD SWINOMISH FB AND BOSS PACKAGE 5 29 2020

PAGE TWO

ADDENDUM

Detailed Description of Mitigation Action Item Including Community and Air Quality Benefits (5.2.2)

Building on the successful implementation of Eligible Mitigation Actions (EMA) during the 2019/2020 funding cycle, Swinomish Indian Tribal Community (SITC) will continue this work by completing three related EMAs during the 2020/2021 cycle: (1) replace two diesel-powered tribally-owned Class 4-7 Local Freight Trucks with two modern, low-emissions diesel trucks, (2) purchase, install, and operate Light Duty Zero Emission Vehicle (ZEV) Supply Equipment, and (3) fund Administrative Expenditures.

Replacing two older, higher-emissions diesel vehicles that exclusively operate in and around the Swinomish community with new, lower-emission diesel vehicles reduces NOx exposure to Swinomish Reservation residents, both Tribal and non-Tribal. Due to its location in close proximity to a major federal freeway, state highway, and coastal shipping routes, SITC continues to bear a disproportionate share of the burden associated with transportation emissions.

<u>Mitigation Action 1 - Medium Truck Replacement</u>: SITC proposes replacement of two vehicles in the Public Works Department. The Public Works Department provides maintenance for roadways and streets in Swinomish Village, in addition to maintaining Swadabs Park, the Swinomish Casino & Lodge, Pull and Be Damned Road, the waste oil facility, and cemetery. The Department staff also assist with after- hour gatherings and special events, help with community cleanups, and assist at facilities in other areas when called upon. Replacing the two vehicles will result in improved ambient air quality conditions by limiting diesel air emission. The vehicles in the Tribal fleet that SITC proposes to replace are:

Original Vehicle	Class	VIN
2005 Ford 550	6	3FRNF65Y75V169035
2005 GMC	4	J8DC4B16857009090

The F-550 and GMC will be replaced with two Class 5 2021 F-550 diesel-powered vehicles. The newer technologies in these 2021 vehicles will reduce on-road and idling emissions from the Tribal fleet.

Eligible Mitigation Action	Cost	Quantity	Total
2020 F-550 (with 9' flatbed with			
lining/snow plow & spreader	\$79,798	1	\$79,798
modifications)			
2020 F-550 (with 9' flatbed	¢60 021	1	\$60,931
modification) \$60,931		1	\$60,951
		Total	\$140,729

<u>Mitigation Action 2 - Light Duty ZEV</u>: Utilizing Trust funds from its 2019/2020 allocation, SITC successfully installed Light Duty ZEV chargers in close proximity to the cluster of buildings that includes SITC's seat of government (Administrative Building), Planning Building, and Social Services Building. SITC's first charger became operational in January 2020 and has remained in uninterrupted service since that time.

SITC now proposes to take the next step towards EVSE infrastructure development on the Swinomish Reservation. Building on the 2019/2020 EMA and incorporating lessons learned from the location identification and installation process, SITC will install additional Level 2 electric vehicle chargers at strategic locations on the Reservation. All installed EVSE will service publicly-accessible Tribal buildings or areas and be available to the general public.

SITC has obtained cost estimates from a qualified electrician with experience installing EVSE, and propose to use industry-standard Level 2 chargers (Clipper Creek brand or equivalent). Preference will be given to chargers with energy use metering capability and/or dual charging cables, allowing for simultaneous charging. Doubling the charging capacity for each installed charger allows for efficient utilization of Trust funds, as well as reducing the management burden on SITC and allowing for greater user convenience.

Estimated cost for each station is roughly \$6,500, including installation and equipment. Costs can vary depending on installation location, for example depending on whether trenching is required and the distance to the nearest breaker box. In the event of unexpected cost overruns, Tribal funds can be requested.

Item	Cost	Quantity	Total
Level 2 EV charger	\$6,502.50	4	\$ 26,010

<u>Mitigation Action 3 – Administrative Expenditures</u>: The administrative costs to implement these projects include personnel, fringe, and indirect expenses for the Planning Department Project Manager and the Air Quality Analyst. The Project Manager will coordinate the purchase of new vehicles, disposal of the vehicles that are being replaced, and installation of EVSE infrastructure. The Air Quality Analyst will assist with reporting responsibilities and public disclosure requirements.

Category	Description	Calculation	Quantity	Total
	Project Manager	\$35.68/hour	6 hours	\$214.08
Personnel	Air Quality Analyst	\$28.04/hour	5 hours	\$140.20
			Subtotal	\$354.28
Fringe	45%	\$354.28	Each	\$159.43
Indirect	2020 Approved Rate - 37.04%	\$354.28	Each	\$131.23
			Total	\$645

Estimate of Anticipated NOx Reductions (5.2.3)

NOx and reduction of other pollutants were estimated by utilizing the Environmental Protection Agency's Diesel Emissions Quantifier at https://cfpub.epa.gov/quantifier. The estimated annual NOx reduction (in short tons) is 87.5%; the NOx reduction over the replacement vehicle's lifetime is also 87.5%.

Our estimated annual NOx emissions of the original fleet is 0.138 short tons. The estimated lifetime NOx emissions of this fleet is 0.691 short tons NOx. After vehicle fleet replacement with MY 2020 vehicles, annual NOx emissions are estimated to be 0.121 short tons NOx and lifetime NOx emission are estimated to be 0.604 short tons NOx. The estimated lifetime NOx emission reduction, based on default usage, is 0.976 short tons NOx.

Details follow.

Emission Results and Health Benefits for Project: VW Settlement 2020 V2

Emission Results

Here are the combined results for all groups and upgrades entered for your project.¹

Annual Results (short tons) ²	NO _x	PM2.5	нс	со	CO ₂	Fuel ³
Baseline for Upgraded Vehicles/Engines	0.138	0.008	0.016	0.061	33.6	2,984
Amount Reduced After Upgrades	0.121	0.008	0.014	0.053	0.0	4
Percent Reduced After Upgrades	87.5%	96.9%	87.4%	87.2%	0.1%	0.1%

<u>Lifetime Results (short tons)</u> ²								
Baseline for Upgraded Vehicles/Engines	0.691	0.041	0.082	0.306	167.9	14,920		
Amount Reduced After Upgrades	0.604	0.039	0.071	0.267	0.2	20		
Percent Reduced After Upgrades	87.5%	96.9%	87.4%	87.2%	0.1%	0.1%		

Lifetime Cost Effectiveness (\$/short ton reduced)								
Capital Cost Effectiveness ⁴ (unit & labor costs only)	\$176,552	\$2,709,786	\$1,495,607	\$399,815	\$474,231			
Total Cost Effectiveness ⁴ (includes all project costs)	\$140,643	\$2,158,646	\$1,191,418	\$318,497	\$377,778			

Remaining Life

F550 - 2008: Municipal Short Haul - Single Unit Class 4-5 (Utility) Vehicle Replacement - ULSD (diesel)	5 years
GMC - 2005: Municipal Short Haul - Single Unit Class 4-5 (Utility) Vehicle Replacement - ULSD (diesel)	5 years

Identification of Governmental Entity Responsible for Reviewing and Auditing Expenditures of Eligible Mitigation Action Funds to Ensure Compliance with Applicable Law (5.2.7.1)

The Swinomish Grants Department, with support from the Swinomish Accounting Department and the Office of Tribal Attorney, will monitor the expenditure of EMA funds to ensure compliance with the applicable Federal and Tribal laws and requirements. The Swinomish Indian Tribal Community is a federally-recognized Indian Tribe organized pursuant to Section 16 of the Indian Reorganization Act of 1935 (25 U.S.C. § 5123) and is located in Skagit County, Washington. SITC is organized under a constitution and bylaws originally drafted by the Tribe on November 16, 1935, and approved by the Secretary of the Interior on January 27, 1936, and as most recently amended and ratified by the Tribe on May 23, 2017 and approved by the Secretary of the Interior on July 7, 2017.

Describe how the Beneficiary will make documentation publicly available (5.2.7.2)

Swinomish Indian Tribal Community has established a webpage dedicated to the funding received from the VW Settlement Trust, which includes a link for members of the public to request additional information and documents related to the funding request and expenditure of funds. The Swinomish Tribe will endeavor to respond to such requests within ten (10) business days and, when documents are requested, will advise the individual within that timeframe of the procedure for reviewing such documents. The Swinomish Tribe follows the Constitution and Bylaws of the Swinomish Indian Tribal Community for matters governing the publication of confidential business information and personally identifiable information, and exemptions thereto.

¹ Emissions from the electrical grid are not included in the results.

 $^{^{2}}$ 1 short ton = 2000 lbs.

³ In gallons; fuels other than ULSD have been converted to ULSD-equivalent gallons.

⁴ Cost effectiveness estimates include only the costs which you have entered.