

To: Wilmington Trust  
ATT: Russell L. Crane, Assistant Vice President, 5<sup>th</sup> Floor  
1100 North Market Street/ Rodney Square North  
Wilmington, DE 19890-0001

Date: 12/4/2020

Dear Mr. Crane,

In order to fulfill the 'Funding Request' requirements outlined on page 25 of Section 5.2 in the Environmental Mitigation Trust Agreement for State Beneficiaries, the Oklahoma Department of Environmental Quality (ODEQ) is submitting the project reimbursement package and D4 for project ID# OK-OnRd-2.

Sincerely,



From: Nykkia L. Harris, CPA, CGFM  
Comptroller, Revenue Management  
Oklahoma Department of Environmental Quality  
707 N. Robinson, PO Box 1677  
Oklahoma City, OK 73101-1677  
405.702.0167 P | 405.702.7120 F  
[nykkia.harris@deq.ok.gov](mailto:nykkia.harris@deq.ok.gov)

**APPENDIX D-4**  
**Beneficiary Eligible Mitigation Action Certification**

## BENEFICIARY ELIGIBLE MITIGATION ACTION CERTIFICATION

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Beneficiary \_\_\_\_\_

Lead Agency Authorized to Act on Behalf of the Beneficiary \_\_\_\_\_  
*(Any authorized person with delegation of such authority to direct the Trustee delivered to the Trustee pursuant to a Delegation of Authority and Certificate of Incumbency)*

<b>Action Title:</b>	
<b>Beneficiary's Project ID:</b>	
<b>Funding Request No.</b>	<i>(sequential)</i>
<b>Request Type:</b> (select one or more)	<input type="checkbox"/> Reimbursement <span style="margin-left: 150px;"><input type="checkbox"/> Advance</span> <input type="checkbox"/> Other (specify): _____
<b>Payment to be made to:</b> (select one or more)	<input type="checkbox"/> Beneficiary <input type="checkbox"/> Other (specify): _____
<b>Funding Request &amp; Direction (Attachment A)</b>	<input type="checkbox"/> Attached to this Certification <input type="checkbox"/> To be Provided Separately

### SUMMARY

<b>Eligible Mitigation Action</b>	<input type="checkbox"/> Appendix D-2 item (specify): _____
<b>Action Type</b>	<input type="checkbox"/> Item 10 - DERA Option (5.2.12) (specify and attach DERA Proposal): _____
<b>Explanation of how funding request fits into Beneficiary's Mitigation Plan (5.2.1):</b>	
<b>Detailed Description of Mitigation Action Item Including Community and Air Quality Benefits (5.2.2):</b>	
<b>Estimate of Anticipated NOx Reductions (5.2.3):</b>	
<b>Identification of Governmental Entity Responsible for Reviewing and Auditing Expenditures of Eligible Mitigation Action Funds to Ensure Compliance with Applicable Law (5.2.7.1):</b>	
<b>Describe how the Beneficiary will make documentation publicly available (5.2.7.2).</b>	
<b>Describe any cost share requirement to be placed on each NOx source proposed to be mitigated (5.2.8).</b>	
<b>Describe how the Beneficiary complied with subparagraph 4.2.8, related to notice to U.S. Government Agencies (5.2.9).</b>	

If applicable, describe how the mitigation action will mitigate the impacts of NOx emissions on communities that have historically borne a disproportionate share of the adverse impacts of such emissions (5.2.10).

**ATTACHMENTS**  
**(CHECK BOX IF ATTACHED)**

- Attachment A Funding Request and Direction.
- Attachment B Eligible Mitigation Action Management Plan Including Detailed Budget and Implementation and Expenditures Timeline (5.2.4).
- Attachment C Detailed Plan for Reporting on Eligible Mitigation Action Implementation (5.2.11).
- Attachment D Detailed cost estimates from selected or potential vendors for each proposed expenditure exceeding \$25,000 (5.2.6). [Attach only if project involves vendor expenditures exceeding \$25,000.]
- Attachment E DERA Option (5.2.12). [Attach only if using DERA option.]
- Attachment F Attachment specifying amount of requested funding to be debited against each beneficiary's allocation (5.2.13). [Attach only if this is a joint application involving multiple beneficiaries.]

**CERTIFICATIONS**

By submitting this application, the Lead Agency makes the following certifications:

1. This application is submitted on behalf of Beneficiary \_\_\_\_\_, and the person executing this certification has authority to make this certification on behalf of the Lead Agency and Beneficiary, pursuant to the Certification for Beneficiary Status filed with the Court.
2. Beneficiary requests and directs that the Trustee make the payments described in this application and Attachment A to this Form.
3. This application contains all information and certifications required by Paragraph 5.2 of the Trust Agreement, and the Trustee may rely on this application, Attachment A, and related certifications in making disbursements of trust funds for the aforementioned Project ID.
4. Any vendors were or will be selected in accordance with a jurisdiction's public contracting law as applicable. (5.2.5)
5. Beneficiary will maintain and make publicly available all documentation submitted in

**support of this funding request and all records supporting all expenditures of eligible mitigation action funds subject to applicable laws governing the publication of confidential business information and personally identifiable information. (5.2.7.2)**

**DATED:** \_\_\_\_\_

A handwritten signature in blue ink, consisting of several loops and a long horizontal stroke extending to the right, crossing over the signature line.

\_\_\_\_\_  
[NAME]  
[TITLE]

\_\_\_\_\_  
[LEAD AGENCY]

for

\_\_\_\_\_  
[BENEFICIARY]

**ATTACHMENT B**  
**PROJECT SCHEDULE AND MILESTONES**

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**PROJECT MANAGEMENT PLAN**  
**PROJECT SCHEDULE AND MILESTONES:**

<b>Action</b>	<b>Start Date</b>	<b>End Date</b>
<i>Subgrant Program Development</i>	July 15, 2019	July 7, 2020
<i>Announce Funding &amp; Accept Applications</i>	July 7, 2020	September 30, 2020
<i>Score Applications and Develop D4's</i>	October 1, 2020	November 30, 2020
<i>D4's in approval</i>	December 1, 2020	February 28, 2021
<i>Purchase Order Process</i>	March 1, 2021	April 30, 2021
<i>Make Awards</i>	May1, 2021	May 31, 2021
<i>Project Implementation</i>	June 1, 2021	June 30, 2023
<i>Procurement and Installation of Equipment</i>	June 1, 2021	June 30, 2023
<i>Monitoring and Oversight of Project</i>	June 1, 2021	June 30, 2023
<i>Semiannual Reporting from Recipient to DEQ</i>	June 1, 2021	June 30, 2023
<i>Project Completion Date</i>	June 1, 2021	September 30, 2023

**PROJECT BUDGET:**

<b>Project Description</b>	<b>Estimated Project Sub-Total</b>	<b>Amount Funded by Trust</b>	<b>Amount Funded by Project Partner</b>
1 - Class 7 diesel powered dump truck at \$88,265	\$88,265	\$66,198	\$22,067
<b>Administrative</b>	\$13,239	\$13,239	\$0
<b>Project Totals</b>	<b>\$101,504</b>	<b>\$79,437</b>	<b>\$22,067</b>
<b>Percentage</b>	100%	78.26%	21.74%

### PROJECTED TRUST ALLOCATIONS

	<b>2020</b>
1. Anticipated Project Funding Request to be paid through the Trust	\$79,437
2. Anticipated Cost Share	\$22,067
3. Anticipated Total Project Funding (line 1 plus line 2)	\$101,504
4. Cumulative Trustee Payments Made to Date Against Cumulative Approved Beneficiary Allocation*	\$9,975,512.79
5. Cumulative Unused Trustee Payments Returned to Trust from Previous Funding Requests	\$370,843.84
6. Net Trustee Payments Made to Date Against Cumulative Approved Beneficiary Allocation (line 4 minus Line 5)	\$9,604,668.95
7. Current Beneficiary Project Funding to be paid through the Trust (line 1)	\$79,437
8. Total Funding Allocated to Beneficiary, inclusive of Current Action by Year (line 6 plus line 7)	\$9,684,105.95
9. Initial Beneficiary Share of Trust Funds	\$20,922,485.12
10. Beneficiary Share of Estimated Funds Remaining in Trust (line 9 minus line 6)	\$11,317,816.17
11. Net Beneficiary Funds Remaining in Trust, net of cumulative Beneficiary Funding Actions (line 10 minus line 7)	\$11,238,379.17

\* This line item includes the sum of cost requests from the following submitted D-4 funding requests, regardless of whether or not funds have been received by DEQ: Funding Requests #1, #2, #3, #4, #5, #6, #7, #8, and #9.

## ATTACHMENT C

### DETAILED PLAN FOR REPORTING ON ELIGIBLE MITIGATION ACTION IMPLEMENTATION

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Per Subparagraph 5.2.11 of the Environmental Trust Agreement for State Beneficiaries (“Trust Agreement”), Beneficiaries must set forth a “detailed plan for reporting on Eligible Mitigation Action implementation” to be included in an Appendix D-4 funding request. The Oklahoma Department of Environmental Quality (DEQ) plans to report per 5.3 of the Trust Agreement and Sections H and I of DEQ’s DERA Cooperative Agreement with EPA.

DEQ is committed to meeting reporting requirements consistent with Subparagraph 5.3 of the Trust Agreement, as set forth below:

*For each Eligible Mitigation Action, no later than six months after receiving its first disbursement of Trust Assets, and thereafter no later than January 30 (for the preceding six-month period of July 1 to December 31) and July 30 (for the preceding six-month period of January 1 to June 30) of each year, each Beneficiary shall submit to the Trustee a semiannual report describing the progress implementing each Eligible Mitigation Action during the six-month period leading up to the reporting date (including a summary of all costs expended on the Eligible Mitigation Action through the reporting date). Such reports shall include a complete description of the status (including actual or projected termination date), development, implementation, and any modification of each approved Eligible Mitigation Action. Beneficiaries may group multiple Eligible Mitigation Actions and multiple sub-beneficiaries into a single report. These reports shall be signed by an official with the authority to submit the report for the Beneficiary and must contain an attestation that the information is true and correct and that the submission is made under penalty of perjury. To the extent a Beneficiary avails itself of the DERA Option described in Appendix D-2, that Beneficiary may submit its DERA Quarterly Programmatic Reports in satisfaction of its obligations under 15 of 43 this Paragraph as to those Eligible Mitigation Actions funded through the DERA Option. The Trustee shall post each semiannual report on the State Trust’s public-facing website upon receipt.*



**ATTACHMENT D**

**Detailed cost estimates from potential vendors for each proposed expenditure exceeding \$25,000.**

The following vendor quote is based on applicants' market research at the time of application. Actual costs will be determined by vendor cost proposals during the procurement process, but may not exceed this original estimate.

<b>Applicant</b>	<b>Vehicle</b>	<b>Fuel</b>	<b>Cost</b>
City of Stroud	2021 International MV607 Dump Truck	Diesel	\$88,265

Summit Truck Group of Tulsa | 1023 N Garnett Rd Tulsa, OK 74116 | 918-438-2000

Jim Patchen | 918-284-2297 | jim.patchen@summittruckgroup.com



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### Body/Style

Color: White

Trim Package: Classic

Body: Dump Body

Liftgate: No

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### Axles

Single

Front: 12,000

Rear: 21,000

Ratio: 6.17

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### Fuel/Tanks

Fuel Type: 1

Tank 1: Aluminum @ 70

DEF: Yes

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### Engine

Cummins B6.7

300 HP

Engine Brake: No

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### Transmission

Allison XMSN 2500\_RDS 6-SP W/PTO L/RETR

Automatic

6 Speed

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### Other

Wheelbase: 154

GVW: 25,999

Rear Suspension: Spring

Wheels: Aluminum / Steel

Brakes: Air - Drum

Exhaust: Horizontal

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### Comments

Truck comes with a 5yr/100k engine and after treatment coverage