



Kim Reynolds, Governor
Adam Gregg, Lt. Governor
Scott Marler, Iowa DOT Director

Volkswagen Diesel Emissions Environmental Mitigation Trust for State Beneficiaries, Puerto Rico, and the District of Columbia
c/o Wilmington Trust, N.A. as Trustee
Wilmington Trust, National Association
Rodney Square North
1100 North Market Street
Attn: Capital Markets & Agency Services
Wilmington, DE 19890

State Trust or Trustee:

The Iowa Department of Transportation is the designated beneficiary of the Volkswagen Environmental Mitigation Trust Agreement for State Beneficiaries for the State of Iowa. In accordance with subparagraph 5.3, Beneficiary Reporting Obligations, of the Volkswagen Environmental Mitigation Trust Agreement for State Beneficiaries, this is the fourth semiannual report (no later than six months after receiving the first disbursement of Trust Assets of Item 10 – DERA Option that occurred on March 14, 2019) to be submitted to the Trustee.

This semiannual report is for the six-month reporting period of July 1, 2021 to December 31, 2021 for the 2019 recipients. Should you have any questions regarding this semiannual report, we would be happy to provide more information as needed.

Attestation:

I attest that the information in this Semiannual Report for the 2019 recipients (July 1, 2021 – December 31, 2021) is true and correct and that the submission is made under penalty of perjury.

Scott Marler
Director

2-16-22

Date

Iowa Department of Transportation
Lead Agency

for

State of Iowa
Beneficiary

**Iowa's Volkswagen Settlement Environmental Mitigation Trust
Semi-Annual Report**

Recipient Name	State of Iowa
Project Number	2019
Agreement Number	
Reporting Period	July 1, 2021 to December 31, 2021
Total VW Award	\$3,865,550.00

Question	Answer
What actual accomplishments occurred during the reporting period?	The State of Iowa completed 10 projects from July 1, 2021 to December 31, 2021.
Did you award any rebates or subawards during the reporting period? If so, list the recipients and how much funding they received.	Sunflower Enterprises, Inc. - \$165,900, Burke Corporation - \$166,115, City of Des Moines - \$500,000, Washington CSD - \$115,316, SE Polk CSD - \$75,000, Muscatine CSD - \$78,750, Mount Pleasant CSD - \$107,674, Keota CSD - \$26,250, Forest City CSD - \$68,645.25, Aplington-Parkersburg CSD - \$44,500.
Provide a comparison of actual accomplishments with the anticipated outputs/outcomes and timelines/milestones specified in the project Work Plan.	From all the reports that have been submitted every school or business is making progress. They are all in the last stages of receiving their new vehicle, scrapping their old vehicle, and completing their claim reimbursement paperwork.
If anticipated outputs/outcomes and/or timelines/milestones are not met, why not? Did you encounter any problems during the reporting period which may interfere with meeting the project objectives?	Milestones for the Iowa DOT are to keep all project sponsors moving and to complete the last two remaining projects.
How do you propose to remedy any problems? Identify how and the date you will get back on course to meet the anticipated outputs/outcomes and/or timelines/milestones specified in the	Outside of COVID-19 the Iowa DOT hasn't encountered any problems.
If any cost-shares are reported for this Reporting Period in Table 1 above, identify the source of the funds.	NA
Was any program income generated during the reporting period? Identify amount of program income, how it was generated, and how the program income was/will be used.	NA
Did any public relations events regarding this grant take place during the reporting period?	No.
What is the URL for the state website listing the total number and dollar amount of subawards, rebates, or loans provided, as well as a breakdown of the technologies funded? Please also list any other	https://iowadot.gov/VWSettlement/default.aspx
What project activities are planned for the next reporting period?	For the next reporting period the Iowa DOT would like to have the remaining 2 projects completed to hit the December 2022 deadline. Two project extensions were given to Sysco Iowa, Inc. and Maquoketa CSD due to COVID-19 issues.

Table 3. Subaward Reporting Requirements	
Requirement	Response
Summaries of results of reviews of financial and programmatic	N/A
Summaries of findings from site visits and/or desk reviews to ensure	N/A
Environmental results the subrecipient achieved	N/A
Summaries of audit findings and related pass-through entity	N/A
Actions the pass-through entity has taken to correct deficiencies	N/A



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This semiannual report is for the six-month reporting period of July 1, 2021 to December 31, 2021 for the 2020 recipients. Should you have any questions regarding this semiannual report, we would be happy to provide more information as needed.

Attestation:

I attest that the information in this Semiannual Report for the 2020 recipients (July 1, 2021 – December 31, 2021) is true and correct and that the submission is made under penalty of perjury.

Scott Marler
Director

2-16-22

Date

Iowa Department of Transportation
Lead Agency

for

State of Iowa
Beneficiary

**Iowa's Volkswagen Settlement Environmental Mitigation Trust
Semi-Annual Report**

Recipient Name	State of Iowa
Project Number	2020
Agreement Number	
Reporting Period	July 1, 2021 to December 31, 2021
Total VW Award	\$3,914,614.00

Question	Answer
What actual accomplishments occurred during the reporting period?	The State of Iowa completed 16 projects from July 1, 2021 to December 31, 2021.
Did you award any rebates or subawards during the reporting period? If so, list the recipients and how much funding they received.	City of Sioux City - \$15,000, Rock Rapids Municipal Utilities - \$9,000, City of Des Moines(5th Ave) - \$15,000, City of Des Moines(2nd Ave) - \$15,000, City of Ames(Isaac Newton Drive) - \$108,931.10, City of Ames(Hayward Ave) - \$12,200, Ar-We-Va CSD - \$24,287.25, Benton CSD - \$84,429, Clear Lake CSD - \$50,000, Harlan CSD - \$24,968.25, Indianola CSD - \$75,000, Mid-Prairie CSD - \$54,000, North Scott CSD - \$109,663.50, Norwalk CSD - \$25,000, Union CSD - \$25,000, Waverly-Shell Rock CSD - \$27,714.75.
Provide a comparison of actual accomplishments with the anticipated outputs/outcomes and timelines/milestones specified in the project Work Plan.	Comparing actual accomplishments with anticipated outcomes all expectations were met or exceeded. Although COVID-19 may have led to delays of some items, many claims for reimbursement were received.
If anticipated outputs/outcomes and/or timelines/milestones are not met, why not? Did you encounter any problems during the reporting period which may interfere with meeting the project objectives?	Milestones for the Iowa DOT are to keep all project sponsors moving and to understand tasks may take longer than normal due to COVID-19.
How do you propose to remedy any problems? Identify how and the date you will get back on course to meet the anticipated outputs/outcomes and/or timelines/milestones specified in the	Keep in constant communication with all sponsors and be available to identify any solutions to problems that may come up.
If any cost-shares are reported for this Reporting Period in Table 1 above, identify the source of the funds.	NA
Was any program income generated during the reporting period? Identify amount of program income, how it was generated, and how the program income was/will be used.	NA
Did any public relations events regarding this grant take place during the reporting period?	No
What is the URL for the state website listing the total number and dollar amount of subawards, rebates, or loans provided, as well as a breakdown of the technologies funded? Please also list any other	https://iowadot.gov/VWSettlement/default.aspx
What project activities are planned for the next reporting period?	For the next reporting period the Iowa DOT would like to see 10-15 projects reimbursed. Using the approach of a constant 10-15 projects getting reimbursed every six months will easily allow us to hit our deadline of December 2022.

Table 3. Subaward Reporting Requirements

Requirement	Response
Summaries of results of reviews of financial and programmatic	N/A
Summaries of findings from site visits and/or desk reviews to ensure	N/A
Environmental results the subrecipient achieved	N/A
Summaries of audit findings and related pass-through entity	N/A
Actions the pass-through entity has taken to correct deficiencies	N/A



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This semiannual report is for the six-month reporting period of July 1, 2021 to December 31, 2021 for the 2021 recipients. Should you have any questions regarding this semiannual report, we would be happy to provide more information as needed.

Attestation:

I attest that the information in this Semiannual Report for the 2021 recipients (July 1, 2021 – December 31, 2021) is true and correct and that the submission is made under penalty of perjury.

Scott Marler
Director

2-16-22

Date

Iowa Department of Transportation
Lead Agency

for

State of Iowa
Beneficiary

**Iowa's Volkswagen Settlement Environmental Mitigation Trust
Semi-Annual Report**

Recipient Name	State of Iowa
Project Number	2021
Agreement Number	
Reporting Period	July 1, 2021 to December 31, 2021
Total VW Award	\$5,308,287.00

Question	Answer
What actual accomplishments occurred during the reporting period?	The State of Iowa completed 3 projects from July 1, 2021 to December 31, 2021.
Did you award any rebates or subawards during the reporting period? If so, list the recipients and how much funding they received.	Boone CSD - \$31,800, Sigourney CSD - \$42,600, Coon Rapids Municipal Utilities - \$7,266.
Provide a comparison of actual accomplishments with the anticipated outputs/outcomes and timelines/milestones specified in the project Work Plan.	Comparing actual accomplishments with anticipated outcomes all expectations were met or exceeded. Awards were announced in early 2021 and to have three reimbursements was beyond my expectations.
If anticipated outputs/outcomes and/or timelines/milestones are not met, why not? Did you encounter any problems during the reporting period which may interfere with meeting the project objectives?	Milestones for the Iowa DOT are to keep all project sponsors moving and to understand tasks may take longer than normal due to COVID-19.
How do you propose to remedy any problems? Identify how and the date you will get back on course to meet the anticipated outputs/outcomes and/or timelines/milestones specified in the	Keep in constant communication with all sponsors and be available to identify any solutions to problems that may come up.
If any cost-shares are reported for this Reporting Period in Table 1 above, identify the source of the funds.	NA
Was any program income generated during the reporting period? Identify amount of program income, how it was generated, and how the program income was/will be used.	NA
Did any public relations events regarding this grant take place during the reporting period?	No
What is the URL for the state website listing the total number and dollar amount of subawards, rebates, or loans provided, as well as a breakdown of the technologies funded? Please also list any other	https://iowadot.gov/VWSettlement/default.aspx
What project activities are planned for the next reporting period?	For the next reporting period the Iowa DOT would like to see 10-15 projects reimbursed. Using the approach of a constant 10-15 projects getting reimbursed every six months will easily allow us to hit our deadline of December 2023.

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Actions the pass-through entity has taken to correct deficiencies	N/A