

Kim Reynolds, Governor Adam Gregg, Lt. Governor Scott Marler, Iowa DOT Director

Volkswagen Diesel Emissions Environmental Mitigation Trust for State Beneficiaries, Puerto Rico, and the District of Columbia c/o Wilmington Trust. N.A. as Trustee

c/o Wilmington Trust, N.A. as Trustee Wilmington Trust, National Association Rodney Square North 1100 North Market Street Attn: Capital Markets & Agency Services Wilmington, DE 19890

State Trust or Trustee:

The Iowa Department of Transportation is the designated beneficiary of the Volkswagen Environmental Mitigation Trust Agreement for State Beneficiaries for the State of Iowa. In accordance with subparagraph 5.3, Beneficiary Reporting Obligations, of the Volkswagen Environmental Mitigation Trust Agreement for State Beneficiaries, this is the second semiannual report (no later than six months after receiving the first disbursement of Trust Assets of Item 10 – DERA Option that occurred on March 14, 2019) to be submitted to the Trustee.

This semiannual report is for the six-month reporting period of January 1, 2021 to June 30, 2021 for the 2020 recipients. Should you have any questions regarding this semiannual report, we would be happy to provide more information as needed.

Attestation:

I attest that the information in this Semiannual Report for the 2020 recipients (January 1, 2021 – June 30, 2021) is true and correct and that the submission is made under penalty of perjury.

Scott Marler

Director

<u>Iowa Department of Transportation</u> **Lead Agency**

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for

State of Iowa Beneficiary



July 29, 2021

Iowa's Volkswagen Settlement Environmental Mitigation Trust Semi-Annual Report

Recipient Name	State of Iowa
Project Number	
Agreement Number	
Reporting Period	Jan. 1, 2021 to June 30, 2021
Total VW Award	\$3,914,614.00

Question	Answer
What actual accomplishments occurred during the reporting period?	The State of Iowa completed 8 projects from January 1, 2021 to June 30, 2021.
Did you award any rebates or subawards during the reporting period? If so, list the recipients and how much funding they received.	Belle Plaine CSD - \$25,000, Riverside CSD - \$25,000, Estes Express Lines - \$121,747, J. Pettiecord, Inc \$60,000, Iowa 80 Truckstop - \$159,313.69, Kum & Go(Coralville) - \$140,966.71, Kum & Go(Northwood) - \$150,620.23, Waverly Utilities - \$12,432.21.
Provide a comparison of actual accomplishments with the anticipated outputs/outcomes and timelines/milestones specified in the project Work Plan.	Comparing actual accomplishments with anticipated outcomes all expectations were met or exceeded. Although COVID-19 may have led to delays of some items, many claims for reimbursement were received.
If anticipated outputs/outcomes and/or timelines/milestones are not met, why not? Did you encounter any problems during the reporting period which may interfere with meeting the project objectives?	
How do you propose to remedy any problems? Identify how and the date you will get back on course to meet the anticipated outputs/outcomes and/or timelines/milestones specified in the	Keep in constant communication with all sponsors and be available to identify any solutions to problems that may come up.
If any cost-shares are reported for this Reporting Period in Table 1 above, identify the source of the funds.	NA .
Was any program income generated during the reporting period? Identify amount of program income, how it was generated, and how the program income was/will be used.	NA .
Did any public relations events regarding this grant take place during the reporting period?	No
What is the URL for the state website listing the total number and dollar amount of subawards, rebates, or loans provided, as well as a breakdown of the technologies funded? Please also list any other	https://iowadot.gov/WSettlement/default.aspx
What project activities are planned for the next reporting period?	For the next reporting period the Iowa DOT would like to see 10-15 projects reimbursed. Using the approach of a constant 10-15 projects getting reimbursed every six months will easily allow us to hit our deadline of December 2022.

Table 3. Subaward Reporting Requirements		
Requirement	Response	
Summaries of results of reviews of financial and programmatic	N/A	
Summaries of findings from site visits and/or desk reviews to ensure	N/A	
Environmental results the subrecipient achieved	N/A	
Summaries of audit findings and related pass-through entity	N/A	
Actions the pass-through entity has taken to correct deficiencies	N/A	