VW Environmental Mitigation Trust - Semi Annual Report

Beneficiary	New York State
Lead Agency	NYS Department of Environmental Conservation
Reporting Period	January 1, 2020 - June 30, 2020

Funding Totals	Reporting Period	Cumulative
Trust Funds Received	\$ 9,399,500.00	\$ 12,479,500.00
Funds Expended	\$ -	\$ -
Remaining Balance	\$ 9,399,500.00	\$ 12,479,500.00

		Summar	ry of Cumulative Funding	
Project	BMP Budget	Total Funds Received (Equipment & Admin)	Total Funds Expended (Equipment and Admin)	Notes*
EMA 1 - Class 8 Trucks	Up to \$11,500,000	\$ 3,245,000.00	\$ -	
EMA 2 - Buses	At least \$52,400,000	\$ 6,116,000.00	\$ -	
EMA 3 - Freight Switchers	Up to \$8,000,000	\$ -	\$ -	
EMA 4 - Ferries/Tugs	Up to \$3,500,000	\$ -	\$ -	
EMA 5 - OGV Shorepower	\$0	\$ -	\$ -	
EMA 6 - Class 4-7 Trucks	Up to \$8,500,000	\$ 3,118,500.00	\$ -	
EMA 7 - Airport GSE	Up to \$3,200,000	\$ -	\$ -	
EMA 8 - Forklifts and Port Equip	Up to \$1,000,000	\$ -	\$ -	
EMA 9 - Light Duty EVSE	Up to \$19,200,000	\$ -	\$ -	
EMA 10 - DERA Option	Up to \$10,000,000	\$ -	\$ -	
Administrative Costs	Up to \$10,900,000			For all EMAs except for EMA #9
NYS VW Mitigation Fund	\$127,701,807	\$ 12,479,500.00	\$ -	

NYS BPM located at https://www.dec.ny.gov/chemical/109784.html

	Approved Fund	ding Requests - Cumulative		
Project Name	Funding Request #	CA#	Amount	Date Funding Received
NYSERDA Class 8 Trucks	1	11434	\$ 1,320,000.00	12/17/2019
NYSERDA Class 4-7 Trucks	2	11434	\$ 1,760,000.00	12/17/2019
NYSERDA Transit Buses	3	11725	\$ 6,116,000.00	2/27/2020
NYCDOT Class 4-7 Trucks	4	11728	\$ 1,358,500.00	5/14/2020
NYCDOT Class 8 Trucks	5	11728	\$ 1,925,000.00	5/14/2020
Cumulative Approved Funding Requ		\$ 12,479,500.00		
Total Available VW Fund (w/ no inte		\$ 127,701,807.00		
Remaining Available VW Funds			\$ 115,222,307.00	

Total Funding Commitments with Approved Cooperative Agreements							
Project Name CA # Amount							
NYSERDA Class 8 Trucks	11434	\$	3,960,000.00				
NYSERDA Class 4-7 Trucks	11434	\$	5,280,000.00				

NYSERDA Transit Buses	11725	\$	18,348,000.00
NYCDOT Class 4-7 Trucks	11728	\$	4,075,500.00
NYCDOT Class 8 Trucks	11728	\$	5,775,000.00
Total Committed VW Funds	\$	37,438,500.00	
Total Available VW Funds (no interest)			127,701,807.00
Uncommitted VW Funds			90,263,307.00

Eligible Mitigation Action #	Beneficiary Plan Project Title	Reporting Period Project Summary
1	NYSERDA NYT-VIP Class 8 Truck Replacements	NYSDEC and NYSERDA have a Cooperative Agreement to direct \$3,960,000 VW Trust funds to the NYSERDA New York Truck Voucher Incentive Program (NYTVIP) for Class 8 Trucks Replacements. Funding will be directed to NYSERDA in thirds as milestones are met. The first payment of \$1,320,000 was received by NYSERDA on 12/17/19. No Class 8 truck replacements projects were completed during this reporting period.
6	NYSERDA NYT-VIP Class 4-7 Truck Replacements	NYSDEC and NYSERDA have a Cooperative Agreement to direct \$5,280,000 of VW Trust funds to the NYSERDA NYTVIP for Class 4-7 Trucks Replacements. Funding will be directed to NYSERDA in thirds as milestones are met. The first payment of \$1,760,000 was received by NYSERDA on 12/17/19. No Class 4-7 truck replacements projects were completed during this reporting period.
2	NYSERDA NYT-VIP Transit Bus Replacements (all-electric)	NYSDEC and NYSERDA signed a Cooperative Agreement to direct \$18,348,000 of VW Trust funds to the NYSERDA NYTVIP for transit bus replacements. Funding will be directed to NYSERDA in thirds as milestones are met. The first payment of \$6,116,000 was received by NYSERDA on 2/27/2020. No transit bus replacements projects were completed during this reporting period.
6	NYCDOT CTP Class 4-7 Truck Replacements	NYSDEC and NYCDOT signed a Cooperative Agreement to direct \$4,075,500 of VW Trust funds to the NYCDOT CTP for Class 4-7 Trucks Replacements. Funding will be directed to NYSERDA in thirds as milestones are met. The first payment of \$1,358,500 was received by NYCDOT on 5/14/2020. No Class 4-7 truck replacements projects were completed during this reporting period.
1	NYCDOT CTP Class 8 Truck Replacements	NYSDEC and NYCDOT signed a Cooperative Agreement to direct \$5,775,000 of VW Trust funds to the NYCDOT CTP for Class 8 Trucks Replacements. Funding will be directed to NYCDOT in thirds as milestones are met. The first payment of \$1,925,000 was received by NYCDOT on 5/14/2020. No Class 8 truck replacements projects were completed during this reporting period.

I attest that the information contained in this Semi Annual Report is true and correct and that this submission is made under penalty of perjury. Also, I am signing this document as an official from New York State with the authority to submit this report for the beneficiary.

James Clyne, P.E.

Director, Bureau of Mobile Sources and Technology Development

Division of Air Resources

New York State Department of Environmental Conservation

NYSERDA NYT Voucher Incentive Program - EMA 1 Class 8 Truck Replacements

Beneficiary	New York State
Project Sponsor	NYSERDA
Cooperative Agreement	CA11434
Reporting Period	January 1, 2020 - June 30, 2020

Equipment & Administrative Funding	Reporting Period		Cumulative
Trust Funds Received	\$	1,320,000.00	\$ 1,320,000.00
Funds Expended	\$	-	\$ -
Remaining Balance	\$	1,320,000.00	\$ 1,320,000.00

	Summary for Reporting Period									
Class 8 Truck Replacement Category	Number of Trucks Replaced	Funds Expended (Equip + Admin)	Equipment Funds Expended	Administrative Funds Expended	Notes					
All Electric	0	\$ -	\$ -	\$ -						
CNG/Propane	0	\$ -	\$ -	\$ -						
Plug-In Hybrid	0	\$ -	\$ -	\$ -						
Hybrid	0	\$ -	\$ -	\$ -						
TOTALS	0	\$ -	\$ -	\$ -						

	Replacement (new or newer) Vehicles								
Number	VIN	Total Cost	VW Funds	Cost Share	Date of Invoicing	Engine Model Year	Fuel Type		

	Scrapped (old) Vehicles								
Number	VIN	Total Cost	VW Funds	Cost Share	Date of Invoicing	Engine Model Year	Fuel Type		

NYSERDA NYT Voucher Incentive Program - EMA 6 Class 4-7 Truck Replacements

Beneficiary	New York State
Project Sponsor	NYSERDA
Cooperative Agreement	CA11434
Reporting Period	January 1, 2020 - June 30, 2020

Equipment & Administrative Funding	Re	Reporting Period		Cumulative
Trust Funds Received	\$	1,760,000.00	\$	1,760,000.00
Funds Expended	\$	-	\$	-
Remaining Balance	\$	1,760,000.00	\$	1,760,000.00

	Summary for Reporting Period								
Class 4-7 Truck Replacement Category	Number of Trucks Replaced	Funds Expended (Equip + Admin)	Equipment Funds Expended	Administrative Funds Expended	Notes				
All Electric	0	\$ -	\$ -	\$ -					
CNG/Propane	0	\$ -	\$ -	\$ -					
Plug-In Hybrid	0	\$ -	\$ -	\$ -					
Hybrid	0	\$ -	\$ -	\$ -					
TOTALS	0	\$ -	\$ -	\$ -					

	Replacement (new or newer) Vehicles									
Number	VIN	Total Cost	VW Funds	Cost Share	Date of Invoicing	Engine Model Year	Fuel Type			

	Scrapped (old) Vehicles								
Number	VIN	Total Cost	VW Funds	Cost Share	Date of Invoicing	Engine Model Year	Fuel Type		

NYSERDA NYT Voucher Incentive Program - EMA 2 Transit Bus Replacements (all-electric)

Beneficiary	New York State
Project Sponsor	NYSERDA
Cooperative Agreement	11725
Reporting Period	January 1, 2020 - June 30, 2020

Equipment & Administrative Funding	Re	porting Period	Cumulative
Trust Funds Received	\$	6,116,000.00	\$ 6,116,000.00
Funds Expended	\$	-	\$ -
Remaining Balance	\$	6,116,000.00	\$ 6,116,000.00

Summary for Reporting Period								
Transit Bus Category	Number of Transit Buses Replaced	Funds Expended (Equip + Admin)	Equipment Funds Expended	Administrative Funds Expended	Notes			
All Electric	0	\$ -	\$ -	\$ -				
TOTALS	0	\$ -	\$ -	\$ -				

	Replacement (new) Transit Buses									
Number	VIN	Total Cost	VW Funds	Cost Share	Date of Invoicing	Engine Model Year	Fuel Type			

	Scrapped (old) Transit Buses								
Number	VIN	Total Cost	VW Funds	Cost Share	Date of Invoicing	Engine Model Year	Fuel Type		

NYCDOT Clean Truck Program - EMA 6 Class 4-7 Trucks

Beneficiary	New York State
Project Sponsor	NYCDOT
Cooperative Agreement	11728
Reporting Period	January 1, 2020 - June 30, 2020

Equipment & Administrative	ı	Reporting Period	Cumulative
Trust Funds Received	\$	1,358,500.00	\$ 1,358,500.00
Funds Expended	\$	-	\$ -
Remaining Balance	\$	1,358,500.00	\$ 1,358,500.00

Summary for Reporting Period								
Transit Bus Category	Number of Trucks	Funds Expended (Equip	Equipment	Administrative	Notes			
	Replaced	+ Admin)	Funds Expended	Funds Expended	Notes			
All Electric	0	\$ -	\$ -	\$ -				
Diesel	0	\$ -	\$ -	\$ -				
CNG	0	\$ -	\$ -	\$ -				
Hybrid	0	\$ -	\$ -	\$ -				
TOTALS	0	\$ -	\$ -	\$ -				

	Replacement (new) Trucks								
Number	VIN	Total Cost	VW Funds	Cost Share	Date of Invoicing	Engine Model Year	Fuel Type		

NYCDOT Clean Truck Program - EMA 1 Class 8 Trucks

Beneficiary	New York State
Project Sponsor	NYCDOT
Cooperative Agreement	11728
Reporting Period	January 1, 2020 - June 30, 2020

Equipment & Administrative	Reporting Period Cumulativ		Cumulative	
Trust Funds Received	\$	1,925,000.00	\$	1,925,000.00
Funds Expended	\$	-	\$	-
Remaining Balance	\$	1,925,000.00	\$	1,925,000.00

Summary for Reporting Period								
Transit Bus Category	Number of Trucks	Funds Expended (Equip	Equipment	Administrative	Notes			
	Replaced	+ Admin)	Funds Expended	Funds Expended	Notes			
All Electric	0	\$ -	\$ -	\$ -				
Diesel	0	\$ -	\$ -	\$ -				
CNG	0	\$ -	\$ -	\$ -				
Hybrid	0	\$ -	\$ -	\$ -				
TOTALS	0	\$ -	\$ -	\$ -				

	Replacement (new) Trucks								
Number	VIN	Total Cost	VW Funds	Cost Share	Date of Invoicing	Engine Model Year	Fuel Type		

	Scrapped (old) Trucks							
Number	VIN	Total Cost	VW Funds	Cost Share	Date of Invoicing	Engine Model Year	Fuel Type	