

**Iowa's Volkswagen Settlement Environmental Mitigation Trust Program**  
**Volkswagen Diesel Emissions Environmental Mitigation Trust for State Beneficiaries**

**Semiannual Report (January 1, 2023 – June 30, 2023)**



Kim Reynolds, Governor  
Adam Gregg, Lt. Governor  
Scott C. Marler, Iowa DOT Director

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Volkswagen Diesel Emissions Environmental Mitigation Trust for State Beneficiaries, Puerto Rico, and the District of Columbia  
c/o Wilmington Trust, N.A. as Trustee  
Wilmington Trust, National Association  
Rodney Square North  
1100 North Market Street  
Attn: Capital Markets & Agency Services  
Wilmington, DE 19890

State Trust or Trustee:

The Iowa Department of Transportation is the designated beneficiary of the Volkswagen Environmental Mitigation Trust Agreement for State Beneficiaries for the State of Iowa. In accordance with subparagraph 5.3, Beneficiary Reporting Obligations, of the Volkswagen Environmental Mitigation Trust Agreement for State Beneficiaries, this is the ninth semiannual report (no later than six months after receiving the first disbursement of Trust Assets of Item 10 – DERA Option that occurred on March 14, 2019) to be submitted to the Trustee.

This semiannual report is for the six-month reporting period of January 1, 2023 to June 30, 2023 for the 2019 recipients. Should you have any questions regarding this semiannual report, we would be happy to provide more information as needed.

Attestation:

I attest that the information in this Semiannual Report for the 2019 recipients (January 1, 2023 – June 30, 2023) is true and correct and that the submission is made under penalty of perjury.

  
\_\_\_\_\_  
Scott C. Marler  
Director

  
\_\_\_\_\_  
Date

Iowa Department of Transportation  
**Lead Agency**

for

State of Iowa  
**Beneficiary**

**Iowa's Volkswagen Settlement Environmental Mitigation Trust  
Semi-Annual Report**

<b>Recipient Name</b>	<b>State of Iowa</b>
<b>Project Number</b>	<b>2019</b>
<b>Agreement Number</b>	
<b>Reporting Period</b>	<b>January 1, 2023 to June 30, 2023</b>
<b>Total VW Award</b>	<b>\$3,865,550.00</b>

Question	Answer
What actual accomplishments occurred during the reporting period?	The State of Iowa completed 0 projects from January 1, 2023 to June 30, 2023.
Did you award any rebates or subawards during the reporting period? If so, list the recipients and how much funding they received.	No.
Provide a comparison of actual accomplishments with the anticipated outputs/outcomes and timelines/milestones specified in the project Work Plan.	No reimbursements were processed the period. Sysco Iowa, Inc. submitted all documentation after this reporting period.
If anticipated outputs/outcomes and/or timelines/milestones are not met, why not? Did you encounter any problems during the reporting period which may interfere with meeting the project objectives?	Complete the last project.
How do you propose to remedy any problems? Identify how and the date you will get back on course to meet the anticipated outputs/outcomes and/or timelines/milestones specified in the	Outside of COVID-19 the Iowa DOT hasn't encountered any problems.
If any cost-shares are reported for this Reporting Period in Table 1 above, identify the source of the funds.	NA
Was any program income generated during the reporting period? Identify amount of program income, how it was generated, and how the program income was/will be used.	NA
Did any public relations events regarding this grant take place during the reporting period?	No.
What is the URL for the state website listing the total number and dollar amount of subawards, rebates, or loans provided, as well as a breakdown of the technologies funded? Please also list any other	<a href="https://iowadot.gov/VWSettlement/default.aspx">https://iowadot.gov/VWSettlement/default.aspx</a>
What project activities are planned for the next reporting period?	Complete the last project.

Table 3. Subaward Reporting Requirements	
Requirement	Response
Summaries of results of reviews of financial and programmatic	N/A
Summaries of findings from site visits and/or desk reviews to ensure	N/A
Environmental results the subrecipient achieved	N/A
Summaries of audit findings and related pass-through entity	N/A
Actions the pass-through entity has taken to correct deficiencies	N/A

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The Iowa Department of Transportation is the designated beneficiary of the Volkswagen Environmental Mitigation Trust Agreement for State Beneficiaries for the State of Iowa. In accordance with subparagraph 5.3, Beneficiary Reporting Obligations, of the Volkswagen Environmental Mitigation Trust Agreement for State Beneficiaries, this is the sixth semiannual report (no later than six months after receiving the first disbursement of Trust Assets of Item 10 – DERA Option that occurred on March 14, 2019) to be submitted to the Trustee.

This semiannual report is for the six-month reporting period of January 1, 2023 to June 30, 2023 for the 2020 recipients. Should you have any questions regarding this semiannual report, we would be happy to provide more information as needed.

Attestation:

I attest that the information in this Semiannual Report for the 2020 recipients (January 1, 2023 – June 30, 2023) is true and correct and that the submission is made under penalty of perjury.

Scott C. Marler  
Director

Sept. 26, 2023  
Date

Iowa Department of Transportation  
**Lead Agency**

for

State of Iowa  
**Beneficiary**



**Iowa's Volkswagen Settlement Environmental Mitigation Trust  
Semi-Annual Report**

<b>Recipient Name</b>	<b>State of Iowa</b>
<b>Project Number</b>	<b>2020</b>
<b>Agreement Number</b>	
<b>Reporting Period</b>	<b>January 1, 2023 to June 30, 2023</b>
<b>Total VW Award</b>	<b>\$3,914,614.00</b>

Question	Answer
What actual accomplishments occurred during the reporting period?	The State of Iowa completed 1 project from January 1, 2023 to June 30, 2023.
Did you award any rebates or subawards during the reporting period? If so, list the recipients and how much funding they received.	City of Des Moines - \$60,000.
Provide a comparison of actual accomplishments with the anticipated outputs/outcomes and timelines/milestones specified in the project Work Plan.	Comparing actual accomplishments with anticipated outcomes all expectations were met or exceeded. Supply chain and workforce issues are delaying delivery of vehicles and equipment.
If anticipated outputs/outcomes and/or timelines/milestones are not met, why not? Did you encounter any problems during the reporting period which may interfere with meeting the project objectives?	Milestones for the Iowa DOT are to keep all project sponsors moving and to understand tasks may take longer than normal due to supply chain and workforce issues.
How do you propose to remedy any problems? Identify how and the date you will get back on course to meet the anticipated outputs/outcomes and/or timelines/milestones specified in the	Keep in constant communication with all sponsors and be available to identify any solutions to problems that may come up.
If any cost-shares are reported for this Reporting Period in Table 1 above, identify the source of the funds.	NA
Was any program income generated during the reporting period? Identify amount of program income, how it was generated, and how the program income was/will be used.	NA
Did any public relations events regarding this grant take place during the reporting period?	No
What is the URL for the state website listing the total number and dollar amount of subawards, rebates, or loans provided, as well as a breakdown of the technologies funded? Please also list any other	<a href="https://iowadot.gov/VWSettlement/default.aspx">https://iowadot.gov/VWSettlement/default.aspx</a>
What project activities are planned for the next reporting period?	Five projects currently remain active for the 2020 awardees. From the information I've been given by the awardees, they all plan to submit their claim reimbursement by December 31, 2023.

**Table 3. Subaward Reporting Requirements**

Requirement	Response
Summaries of results of reviews of financial and programmatic	N/A
Summaries of findings from site visits and/or desk reviews to ensure	N/A
Environmental results the subrecipient achieved	N/A
Summaries of audit findings and related pass-through entity	N/A
Actions the pass-through entity has taken to correct deficiencies	N/A

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This semiannual report is for the six-month reporting period of January 1, 2023 to June 30, 2023 for the 2021 recipients. Should you have any questions regarding this semiannual report, we would be happy to provide more information as needed.

Attestation:

I attest that the information in this Semiannual Report for the 2021 recipients (January 1, 2023 – June 30, 2023) is true and correct and that the submission is made under penalty of perjury.

  
\_\_\_\_\_  
Scott C. Marler  
Director

  
\_\_\_\_\_  
Date

Iowa Department of Transportation  
**Lead Agency**

for

State of Iowa  
**Beneficiary**



**Iowa's Volkswagen Settlement Environmental Mitigation Trust  
Semi-Annual Report**

<b>Recipient Name</b>	<b>State of Iowa</b>
<b>Project Number</b>	<b>2021</b>
<b>Agreement Number</b>	
<b>Reporting Period</b>	<b>January 1, 2023 to June 30, 2023</b>
<b>Total VW Award</b>	<b>\$5,308,287.00</b>

Question	Answer
What actual accomplishments occurred during the reporting period?	The State of Iowa completed 11 projects from January 1, 2023 to June 30, 2023.
Did you award any rebates or subawards during the reporting period? If so, list the recipients and how much funding they received.	Cedar Falls CSD - \$43,200, Central CSD - \$130,116, Edgewood Colesburg CSD - \$132,251, Grundy Center CSD - \$63,607.50, Indianola CSD - \$112,500, North Polk CSD - \$33,600, Northwood-Kensett CSD - \$46,000, West Delaware CSD - \$30,569, Iowa Steel & Wire Company - \$117,603, Matteson Marine Services - \$263,940, First Avenue Mini Mall - \$12,136.80.
Provide a comparison of actual accomplishments with the anticipated outputs/outcomes and timelines/milestones specified in the project Work Plan.	Comparing actual accomplishments with anticipated outcomes all expectations were met or exceeded. With the supply chain issues happening, the completion timeline taking a few months longer is expected.
If anticipated outputs/outcomes and/or timelines/milestones are not met, why not? Did you encounter any problems during the reporting period which may interfere with meeting the project objectives?	Milestones for the Iowa DOT are to keep all project sponsors moving and to understand tasks may take longer than normal due to supply chain and workforce issues.
How do you propose to remedy any problems? Identify how and the date you will get back on course to meet the anticipated outputs/outcomes and/or timelines/milestones specified in the	Keep in constant communication with all sponsors and be available to identify any solutions to problems that may come up.
If any cost-shares are reported for this Reporting Period in Table 1 above, identify the source of the funds.	NA
Was any program income generated during the reporting period? Identify amount of program income, how it was generated, and how the program income was/will be used.	NA
Did any public relations events regarding this grant take place during the reporting period?	No
What is the URL for the state website listing the total number and dollar amount of subawards, rebates, or loans provided, as well as a breakdown of the technologies funded? Please also list any other	<a href="https://iowadot.gov/VWSettlement/default.aspx">https://iowadot.gov/VWSettlement/default.aspx</a>
What project activities are planned for the next reporting period?	For the next reporting period the Iowa DOT would like to see final 12 projects reimbursed.

Table 3. Subaward Reporting Requirements	
Requirement	Response
Summaries of results of reviews of financial and programmatic	N/A
Summaries of findings from site visits and/or desk reviews to ensure	N/A
Environmental results the subrecipient achieved	N/A
Summaries of audit findings and related pass-through entity	N/A
Actions the pass-through entity has taken to correct deficiencies	N/A

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This semiannual report is for the six-month reporting period of January 1, 2023 to June 30, 2023 for the 2022 recipients. Should you have any questions regarding this semiannual report, we would be happy to provide more information as needed.

Attestation:

I attest that the information in this Semiannual Report for the 2022 recipients (January 1, 2023 – July 30, 2023) is true and correct and that the submission is made under penalty of perjury.

  
\_\_\_\_\_  
Scott C. Marler  
Director

  
\_\_\_\_\_  
Date

Iowa Department of Transportation  
**Lead Agency**

for

State of Iowa  
**Beneficiary**

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<b>Recipient Name</b>	<b>State of Iowa</b>
<b>Project Number</b>	<b>2022</b>
<b>Agreement Number</b>	
<b>Reporting Period</b>	<b>January 1, 2023 to June 30, 2023</b>
<b>Total VW Award</b>	<b>\$1,027,369.00</b>

Question	Answer
What actual accomplishments occurred during the reporting period?	The State of Iowa completed 5 projects from January 1, 2023 to June 30, 2023.
Did you award any rebates or subawards during the reporting period? If so, list the recipients and how much funding they received.	Algona Municipal Utilities - \$15,000, City of Des Moines - \$15,000, City of Des Moines - \$15,000, Manning Municipal Utilities - \$11,000, Wartburg College - \$11,837.07.
Provide a comparison of actual accomplishments with the anticipated outputs/outcomes and timelines/milestones specified in the project Work Plan.	Awards were announced early July with the awardees completing the project agreement and starting the process of completing the charging station.
If anticipated outputs/outcomes and/or timelines/milestones are not met, why not? Did you encounter any problems during the reporting period which may interfere with meeting the project objectives?	Milestones for the Iowa DOT are to keep all project sponsors moving and to understand tasks may take longer than normal due to the supply chain and workforce issues.
How do you propose to remedy any problems? Identify how and the date you will get back on course to meet the anticipated outputs/outcomes and/or timelines/milestones specified in the	Keep in constant communication with all sponsors and be available to identify any solutions to problems that may come up.
If any cost-shares are reported for this Reporting Period in Table 1 above, identify the source of the funds.	NA
Was any program income generated during the reporting period? Identify amount of program income, how it was generated, and how the program income was/will be used.	NA
Did any public relations events regarding this grant take place during the reporting period?	No
What is the URL for the state website listing the total number and dollar amount of subawards, rebates, or loans provided, as well as a breakdown of the technologies funded? Please also list any other	<a href="https://lowadot.gov/VWSettlement/default.aspx">https://lowadot.gov/VWSettlement/default.aspx</a>
What project activities are planned for the next reporting period?	For the next reporting period the Iowa DOT would like to see the remaining 6 level 2 projects reimbursed. All the remaining DCFC projects have requested an extension due to supply chain and workforce issues.

**Table 3. Subaward Reporting Requirements**

Requirement	Response
Summaries of results of reviews of financial and programmatic	N/A
Summaries of findings from site visits and/or desk reviews to ensure	N/A
Environmental results the subrecipient achieved	N/A
Summaries of audit findings and related pass-through entity	N/A
Actions the pass-through entity has taken to correct deficiencies	N/A