APPENDIX D-4 Beneficiary Eligible Mitigation Action Certification

BENEFICIARY ELIGIBLE MITIGATION ACTION CERTIFICATION

Beneficiary	
Deficition y	
	Act on Behalf of the Beneficiary
	delegation of such authority to direct the Trustee delivered to the tion of Authority and Certificate of Incumbency)
Trustee pursuant to a Detega	nion of Authority and Certificate of Incumbency)
Action Title:	
Beneficiary's Project ID:	
Funding Request No.	(sequential)
Request Type:	□ Reimbursement □ Advance
(select one or more)	☐ Other (specify):
Payment to be made to:	☐ Beneficiary
(select one or more)	☐ Other (specify):
Funding Request &	☐ Attached to this Certification
Direction (Attachment A)	☐ To be Provided Separately
	SUMMARY
Eligible Mitigation Action [Appendix D-2 item (specify):
	Item 10 - DERA Option (5.2.12) (specify and attach DERA Proposal):
	request fits into Beneficiary's Mitigation Plan (5.2.1):
Detailed Description of Mitig	ation Action Item Including Community and Air Quality Benefits (5.2.2):
Estimate of Anticipated NOx	Reductions (5.2.3):
	al Entity Responsible for Reviewing and Auditing Expenditures of Eligible
Mitigation Action Funds to E	Ensure Compliance with Applicable Law (5.2.7.1):
D '1 1 1 1 D C' '	
Describe now the Beneficiary	will make documentation publicly available (5.2.7.2).
Describe any cost share requi	rement to be placed on each NOx source proposed to be mitigated (5.2.8).
Describe how the Reneficiery	complied with subparagraph 4.2.8, related to notice to U.S. Government
Agencies (5.2.9).	complica with subparagraph 4.2.0, related to house to U.S. Government
(- ··/)*	

If applicable, describe how the mitigation action will mitigate the impacts of NOx emissions on communities that have historically borne a disproportionate share of the adverse impacts of such emissions (5.2.10).

ATTACHMENTS (CHECK BOX IF ATTACHED)

	Attachment A	Funding Request and Direction.
	Attachment B	Eligible Mitigation Action Management Plan Including Detailed Budget and Implementation and Expenditures Timeline (5.2.4).
	Attachment C	Detailed Plan for Reporting on Eligible Mitigation Action Implementation (5.2.11).
0	Attachment D	Detailed cost estimates from selected or potential vendors for each proposed expenditure exceeding \$25,000 (5.2.6). [Attach only if project involves vendor expenditures exceeding \$25,000.]
	Attachment E	DERA Option (5.2.12). [Attach only if using DERA option.]
	Attachment F	Attachment specifying amount of requested funding to be debited against each beneficiary's allocation (5.2.13). [Attach only if this is a joint application involving multiple beneficiaries.]
		<u>CERTIFICATIONS</u>
By su	ıbmitting this applica	tion, the Lead Agency makes the following certifications:
1.	and the person exec behalf of the Lead A	submitted on behalf of Beneficiary, enting this certification has authority to make this certification on Agency and Beneficiary, pursuant to the Certification for illed with the Court.
2.	v 1	s and directs that the Trustee make the payments described in this achment A to this Form.
3.	of the Trust Agreen	ntains all information and certifications required by Paragraph 5.2 nent, and the Trustee may rely on this application, Attachment A, ations in making disbursements of trust funds for the oject ID.
4	Any vendors were o	or will be selected in accordance with a jurisdiction's public

Beneficiary will maintain and make publicly available all documentation submitted in

contracting law as applicable. (5.2.5)

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support of this funding request and all records supporting all expenditures of eligible mitigation action funds subject to applicable laws governing the publication of confidential business information and personally identifiable information. (5.2.7.2)

DATED:	12/19/23

Broan Rockness,

[TITLE]

Indiana Department of Environmental Management

[LEAD AGENCY]

for

State of Indiana

[BENEFICIARY]

Appendix D-4 Summary Details

Eligible Mitigation Action Type:

Category 9: Light Duty EV Infrastructure

Explanation of how funding request fits into Beneficiary's Mitigation Plan (5.2.1):

Indiana will generally fund all eligible mitigation action (EMA) types included in Appendix D-2 of the national consent decree. The Mission Statement and Overall Goals are described below:

In promoting the reduction of emissions of NOx, the Indiana Volkswagen Environmental Mitigation Trust Fund Program will prioritize sustainable projects that are transformative, positively impacting the environment, enhancing the health and wellbeing of residents, and promoting Indiana's growing economy.

The Program will focus on technological change and advancement with resiliency and favoring use of domestic fuel, where possible.

The goals of the Indiana Volkswagen Mitigation Trust Program include:

- Improving air quality across Indiana through cost-effective NOx emission reduction strategies
- Maximizing diesel emission reductions across Indiana, while considering various categories of sensitive populations as areas of specific focus
- Providing appropriate considerations to projects that have diesel emission reductions that go beyond just NOx, including PM_{2.5}, hydrocarbons (HC), carbon monoxide (CO), and carbon dioxide (CO₂)
- Encouraging leveraging of project partner funds with VW Trust funds to further the reach of the Indiana program

Detailed Description of Mitigation Action Item Including Community and Air Quality Benefits (5.2.2):

This project is for the purchase, installation, operation, and maintenance of ten (10) light-duty electric vehicle charging stations. The charging station will be located and operated in and around Fort Wayne, Indiana.

The installation of electric vehicle charging stations can dramatically improve air quality and the quality-of-life conditions for drivers, technicians, and citizens in the areas of operation due to the zero-tailpipe-emissions character of the vehicles.

The lifetime estimated emission reductions of pollutants according to U.S. DOE's AFLEET program developed in partnership with Argonne National Labs include 110 pounds of nitrogen oxides (NOx), 660 tons of greenhouse gases (GHG), and a reduction in petroleum use of 950 barrels.

Estimate of Anticipated NOx Reductions (5.2.3):

Over the lifetime of these electric vehicle charging stations, U.S. DOE's AFLEET estimates this project will result in a reduction of 110 pounds of nitrogen oxides (NOx).

Identification of Governmental Entity Responsible for Reviewing and Auditing Expenditures of Eligible Mitigation Action Funds to Ensure Compliance with Applicable Law (5.2.7.1):

Indiana Department of Environmental Management

Describe how the Beneficiary will make documentation publicly available (5.2.7.2):

In October of 2017, IDEM published a website with specific information on the national mitigation trust as well as the Indiana program. The website included an opportunity to sign up for automated updates to make sure interested parties were always kept apprised of any changes made to the program website. This web portal will be the location for all information related to the Indiana Volkswagen Mitigation Trust Program.

Describe any cost share requirement to be placed on each NOx source proposed to be mitigated (5.2.8):

Indiana will use Volkswagen Mitigation Trust funds to reimburse non-government-owned fleet and equipment owners at the levels specified in Appendix D-2 of the national mitigation trust. IDEM will also use Volkswagen Mitigation Trust funds to reimburse government-owned fleets and equipment at the same level as non-government owned fleet and equipment owners, as opposed to the full cost reimbursement permitted by Appendix D-2.

Specifically, for this project, the Indiana Volkswagen Mitigation Trust Program will cover up to 22% of the total project cost while the Grantee and/or other programs covers the remaining 78%.

Describe how the Beneficiary complied with subparagraph 4.2.8, related to notice to U.S. Government Agencies (5.2.9):

The Indiana Department of Environmental Management notified representatives as identified in Appendix D-2 of the national consent decree via email in March of 2018.

If applicable, describe how the mitigation action will mitigate the impacts of NOx emissions on communities that have historically borne a disproportionate share of the adverse impacts of such emissions (5.2.10):

Not applicable to this specific project.

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Attachment B Details

Project Schedule and Milestones:

June 23, 2020
September 23, 2020
November 18, 2020
February 16, 2021
February 16, 2021 to December 31, 2023
Ongoing
December 18, 2023
December 18, 2023 to D-4 approval
Within 60 days of submittal
Within 15 days of Appendix D-4 approval

Project Budget:

Project Description	Indiana VW Mitigation Trust Grant Not to Exceed Total	Grantee Cost Share Not Less than Total	Project Total
Task A The purchase, installation, operation and maintenance of twenty-seven (27) Intertek or Underwriter's Laboratory certified compliant Level 2 electric vehicle charging stations to include at least two (2) SAE J1772 charging connections per station. This electric vehicle charging station shall be networked with capability	\$90,000.00	\$320,351.00	\$410,351.00

charged.	for remote diagnostics, remote control of the equipment, collecting and reporting usage data, and processing payments if fees are to be charged			
Percentage 22% 78% 100%		22%	78%	100%

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State of Indiana Total Trust Allocation:

1) State of Indiana's Total Trust Allocation	\$40,935,880.59
2) Obligated Awards from Previous D-4 Submittals	\$21,317,313.31
3) State of Indiana's Net Remaining Allocation Prior to this D-4 Submittal	\$19,618,567.28
4) Current D-4 Funding Request Total	\$90,000.00
5) State of Indiana's Remaining Allocation After this D-4 Submittal	\$19,528,567.28

Projected Allocation Totals per Project Type:

	Total per Category	Annually Based on Expected Project Reimbursements
Total Trust	\$40,935,880.59	NA
To EV (15%) over 3 years (2021 to 2023)	\$6,140,382.09	\$2,046,794.03
To Admin (3%) over 4 years (2020 to 2023)	\$1,228,076.42	\$307,019.11
To EMAs (82%) over 3 years (2020 to 2022)	\$33,567,422.08	\$11,189,140.69

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Projected Trust Allocations:

	2020	2021	2022	2023
1) Anticipated Annual Project Funding Request to be paid through the Trust	\$11,189,140.69	\$13,235,934.72	\$13,235,934.72	\$2,046,794.03
2) Anticipated Annual Cost Share (Administrative Costs)	\$307,019.11	\$307,019.11	\$307,019.11	\$307,019.11
3) Anticipated Total Project Funding by Year (Line 1 + Line 2)	\$11,496,159.8	\$13,542,953.8	\$13,542,953.8	\$2,353,813.14
4) Cumulative Trustee Payments Made to Date Against Cumulative Approved Beneficiary Allocation	\$3,895,454.40	\$4,710,898.20	\$5,165,086.48	\$7,304,970.23
5) Current Beneficiary Project Funding to be paid through the Trust (Line 1)	\$101,199.00	\$130,705.00	\$9,000.00	\$90,000.00
6) Total Funding Allocated to Beneficiary, inclusive of Current Action by Year (Line 4 + Line 5)	\$3,996,653.40	\$4,841,603.20	\$5,174,086.48	\$7,394,970.23
7) Beneficiary Share of Estimated Funds Remaining in Trust at Beginning of Year	\$40,935,880.59	\$36,939,227.19	\$32,097,623.99	\$26,923,537.51
8) Net Beneficiary Funds Remaining in Trust, net of cumulative Beneficiary Funding Actions (Line 7 – Line 6)	\$36,939,227.19	\$32,097,623.99	\$26,923,537.51	\$19,528,567.28

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Attachment C Details

Detailed Plan for Reporting on Eligible Mitigation Action Implementation (5.2.11):

The Indiana Department of Environmental Management (IDEM) is committed to meet the reporting requirements as detailed in Subparagraph 5.3 of the Environmental Mitigation Trust Agreement for State Beneficiaries. Specifically, this subparagraph states:

"For each Eligible Mitigation Action, no later than six months after receiving its first disbursement of Trust Assets, and thereafter no later than January 30 (for the preceding sixmonth period of July 1 to December 31) and July 30 (for the preceding six-month period of January 1 to June 30) of each year, each Beneficiary shall submit to the Trustee a semiannual report describing the progress implementing each Eligible Mitigation Action during the sixmonth period leading up to the reporting date (including a summary of all costs expended on the Eligible Mitigation Action through the reporting date). Such reports shall include a complete description of the status (including actual or projected termination date), development, implementation, and any modification of each approved Eligible Mitigation Action. Beneficiaries may group multiple Eligible Mitigation Actions and multiple sub-beneficiaries into a single report. These reports shall be signed by an official with the authority to submit the report for the Beneficiary and must contain an attestation that the information is true and correct and that the submission is made under penalty of perjury. To the extent a Beneficiary avails itself of the DERA Option described in Appendix D-2, that Beneficiary may submit its DERA Quarterly Programmatic Reports in satisfaction of its obligations under this Paragraph as to those Eligible Mitigation Actions funded through the DERA Option. The Trustee shall post each semiannual report on the State Trust's public-facing website upon receipt."

IDEM will meet these obligations in coordination with our project partners. Project partners are obligated to provide IDEM the necessary information for reports to the Trustee through the Funding Agreements between IDEM and each project partner. This language states:

"4. Implementation and Reporting Requirements

A. The Grantee shall implement and complete the Project in accordance with **Attachment A** and the plans and specifications contained in its Funding Application. Modification of the Project shall require prior written approval from IDEM. If IDEM determines that the Grantee is not

making adequate progress in implementation of the approved Project in accordance with **Attachment A**, IDEM may rescind the award.

B. The Grantee shall submit to IDEM written progress reports until the completion of the Project. These reports shall be submitted in accordance with the reporting schedule contained in **Attachment C** and shall contain such detail of progress or performance on the Project as is required under the terms of the Volkswagen Diesel Emissions Environmental Mitigation Trust. If additional documentation is required for IDEM to meet reporting obligations under the Volkswagen Diesel Emissions Environmental Mitigation Trust, IDEM may request such documentation as necessary at any time during the term of this Agreement."

Attachment D Details

Detailed cost estimates from selected or potential vendors for each proposed expenditure exceeding \$25,000 (5.2.6):

Detailed in the following pages:





INVOICE

Invoice Date	Invoice No.
11/16/2023	23640012
Custome	er Number
Invoice	Total Due
\$	90,000.00
Amou	ınt Paid
Ċ	

Due upon receipt

Customer Name: Indiana Department of Environmental Mgmt

Office of Air Quality (OAQ)

100 North Senate Avenue, IGCN 1003

Indianapolis, IN 46204-2251

Attn: Shawn Seals, Senior Environmental Manager

Description	Amount Due
Per Funding Agreement VWL2-010	\$ 90,000.00

Total Project Cost\$410,351.00Total Costs covered by COFW\$410,351.00Reimbursement Request\$90,000.00

Invoice Total: \$ 90,000.00

Additional Information - Specific to this Invoice

REMIT INFORMATION:

Public Works

200 E. Berry St, Suite 210 Fort Wayne, IN 46802

Attn: Angela Erpelding - Finance Manager

MAKE CHECK PAYABLE TO:

City of Fort Wayne

OR

Wells Fargo Bank

ACH Routing # Wire Routing #

Acct #

City of Fort Wayne-General Deposits

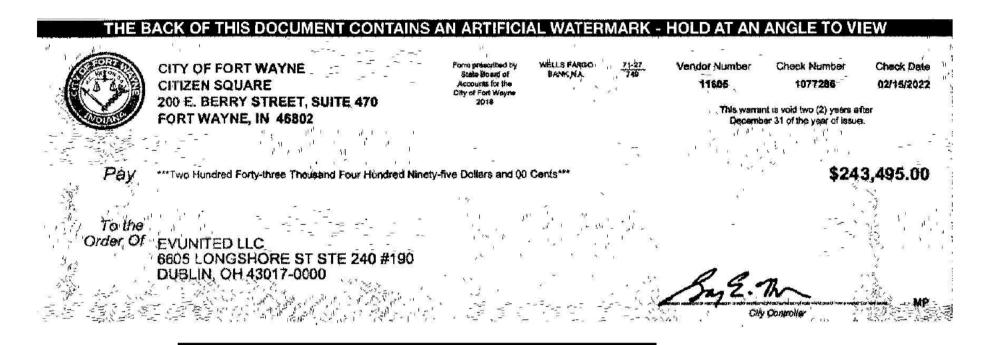
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Print Images

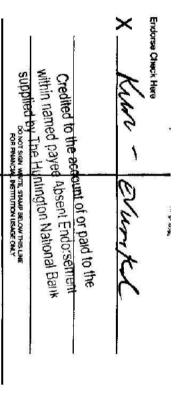
Date/Time Printed: 11/15/2023 12:02 PM PDT

Check 1077286 - 243,495.00 USD



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20220222009404379477



Item Details

Account Number

Account Name CC Checking

Check 1077286

Amount 243,495.00 USD Debit

Status Check Paid

Posting Date 02/22/2022

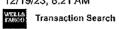
As of Date 02/22/2022

Item Sequence Number 008883699874

Bank ID 074900275

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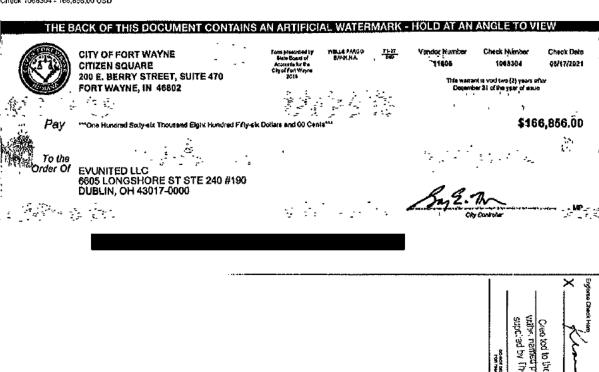
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Print Images

Date/Time Printed: 12/19/2023 5:21 AM PDT

Check 1068304 - 166,856,00 USD



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Item Details			
Account Number	Item Se	equence Number	008685558579
Account Name	CC Checking	Bank ID	074900275
Check	1068304		
Amount	166,658.00 USD Debit		
Status	Check Paid		
Posting Date	05/21/2021		
As of Date	05/21/2021		