

APPENDIX D-4
Beneficiary Eligible Mitigation Action Certification

BENEFICIARY ELIGIBLE MITIGATION ACTION CERTIFICATION

Beneficiary _____

Lead Agency Authorized to Act on Behalf of the Beneficiary _____
(Any authorized person with delegation of such authority to direct the Trustee delivered to the Trustee pursuant to a Delegation of Authority and Certificate of Incumbency)

Action Title:		
Beneficiary's Project ID:		
Funding Request No.	(sequential)	
Request Type: (select one or more)	<input type="checkbox"/> Reimbursement	<input type="checkbox"/> Advance
Request Type: (select one or more)	<input type="checkbox"/> Other (specify): _____	
Payment to be made to: (select one or more)	<input type="checkbox"/> Beneficiary	
Payment to be made to: (select one or more)	<input type="checkbox"/> Other (specify): _____	
Funding Request & Direction (Attachment A)	<input type="checkbox"/> Attached to this Certification <input type="checkbox"/> To be Provided Separately	

SUMMARY

Eligible Mitigation Action	<input type="checkbox"/> Appendix D-2 item (specify): _____
Action Type	<input type="checkbox"/> Item 10 - DERA Option (5.2.12) (specify and attach DERA Proposal): _____
Explanation of how funding request fits into Beneficiary's Mitigation Plan (5.2.1):	
Detailed Description of Mitigation Action Item Including Community and Air Quality Benefits (5.2.2):	
Estimate of Anticipated NOx Reductions (5.2.3):	
Identification of Governmental Entity Responsible for Reviewing and Auditing Expenditures of Eligible Mitigation Action Funds to Ensure Compliance with Applicable Law (5.2.7.1):	
Describe how the Beneficiary will make documentation publicly available (5.2.7.2):	
Describe any cost share requirement to be placed on each NOx source proposed to be mitigated (5.2.8):	
Describe how the Beneficiary complied with subparagraph 4.2.8, related to notice to U.S. Government Agencies (5.2.9):	

If applicable, describe how the mitigation action will mitigate the impacts of NOx emissions on communities that have historically borne a disproportionate share of the adverse impacts of such emissions (5.2.10).

ATTACHMENTS
(CHECK BOX IF ATTACHED)

- Attachment A** **Funding Request and Direction.**
- Attachment B** **Eligible Mitigation Action Management Plan Including Detailed Budget and Implementation and Expenditures Timeline (5.2.4).**
- Attachment C** **Detailed Plan for Reporting on Eligible Mitigation Action Implementation (5.2.11).**
- Attachment D** **Detailed cost estimates from selected or potential vendors for each proposed expenditure exceeding \$25,000 (5.2.6). [Attach only if project involves vendor expenditures exceeding \$25,000.]**
- Attachment E** **DERA Option (5.2.12). [Attach only if using DERA option.]**
- Attachment F** **Attachment specifying amount of requested funding to be debited against each beneficiary's allocation (5.2.13). [Attach only if this is a joint application involving multiple beneficiaries.]**

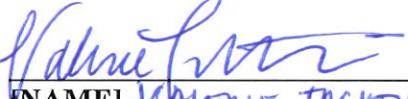
CERTIFICATIONS

By submitting this application, the Lead Agency makes the following certifications:

1. **This application is submitted on behalf of Beneficiary _____, and the person executing this certification has authority to make this certification on behalf of the Lead Agency and Beneficiary, pursuant to the Certification for Beneficiary Status filed with the Court.**
2. **Beneficiary requests and directs that the Trustee make the payments described in this application and Attachment A to this Form.**
3. **This application contains all information and certifications required by Paragraph 5.2 of the Trust Agreement, and the Trustee may rely on this application, Attachment A, and related certifications in making disbursements of trust funds for the aforementioned Project ID.**
4. **Any vendors were or will be selected in accordance with a jurisdiction's public contracting law as applicable. (5.2.5)**
5. **Beneficiary will maintain and make publicly available all documentation submitted in**

support of this funding request and all records supporting all expenditures of eligible mitigation action funds subject to applicable laws governing the publication of confidential business information and personally identifiable information. (5.2.7.2)

DATED: 2/13/2025


[NAME] VALERIE TACHINIS
[TITLE] DEPUTY GENERAL COUNSEL
Indiana Department of Environmental Management

[LEAD AGENCY]

for

State of Indiana

[BENEFICIARY]

Appendix D-4 Summary Details

Eligible Mitigation Action Type:

Category 7: Airport Ground Support Equipment

Explanation of how funding request fits into Beneficiary's Mitigation Plan (5.2.1):

Indiana will generally fund all eligible mitigation action (EMA) types included in Appendix D-2 of the national consent decree. The Mission Statement and Overall Goals are described below:

In promoting the reduction of emissions of NOx, the Indiana Volkswagen Environmental Mitigation Trust Fund Program will prioritize sustainable projects that are transformative, positively impacting the environment, enhancing the health and well-being of residents, and promoting Indiana's growing economy.

The Program will focus on technological change and advancement with resiliency and favoring use of domestic fuel, where possible.

The goals of the Indiana Volkswagen Mitigation Trust Program include:

- Improving air quality across Indiana through cost-effective NOx emission reduction strategies
- Maximizing diesel emission reductions across Indiana, while considering various categories of sensitive populations as areas of specific focus
- Providing appropriate considerations to projects that have diesel emission reductions that go beyond just NOx, including PM_{2.5}, hydrocarbons (HC), carbon monoxide (CO), and carbon dioxide (CO₂)
- Encouraging leveraging of project partner funds with VW Trust funds to further the reach of the Indiana program

Detailed Description of Mitigation Action Item Including Community and Air Quality Benefits (5.2.2):

This project is for the purpose of purchase, installation, operation and maintenance of one (1) 2024 model-year, or newer, U.S. EPA emission compliant all-electric-powered runway sweeper that will REPLACE one (1) of the Grantee's model year 1992-2009 existing runway sweepers that will be used for the same purpose. The replacement vehicles will be located and primarily operated in Gary, Indiana.

All-electric-powered runway sweepers dramatically improve air quality and the quality-of-life conditions for operators, technicians, and citizens in the areas of operation due to notably lower tailpipe emissions in addition to less noisy engine operations.

The lifetime estimated emission reductions of pollutants according to U.S. EPA's Diesel Emission Quantifier (DEQ) include 1.338 tons of nitrogen oxides (NOx) and 0.140 tons of fine particulate matter (PM2.5).

Estimate of Anticipated NOx Reductions (5.2.3):

Over the lifetime of this runway sweeper, U.S. EPA's DEQ model estimates this project will result in a NOx reduction of 1.338 tons.

Identification of Governmental Entity Responsible for Reviewing and Auditing Expenditures of Eligible Mitigation Action Funds to Ensure Compliance with Applicable Law (5.2.7.1):

Indiana Department of Environmental Management

Describe how the Beneficiary will make documentation publicly available (5.2.7.2):

In October of 2017, IDEM published a website with specific information on the national mitigation trust as well as the Indiana program. The website included an opportunity to sign up for automated updates to make sure interested parties were always kept apprised of any changes made to the program website. This web portal will be the location for all information related to the Indiana Volkswagen Mitigation Trust Program.

Describe any cost share requirement to be placed on each NOx source proposed to be mitigated (5.2.8):

Indiana will use Volkswagen Mitigation Trust funds to reimburse non-government-owned fleet and equipment owners at the levels specified in Appendix D-2 of the national mitigation trust. IDEM will also use Volkswagen Mitigation Trust funds to reimburse government-owned fleets and equipment at the same level as non-government owned fleet and equipment owners, as opposed to the full cost reimbursement permitted by Appendix D-2.

Specifically, for this project, the Indiana Volkswagen Mitigation Trust Program will cover up to 75% of the total project cost while the Grantee and/or other programs covers the remaining 25%.

Describe how the Beneficiary complied with subparagraph 4.2.8, related to notice to U.S. Government Agencies (5.2.9):

The Indiana Department of Environmental Management notified representatives as identified in Appendix D-2 of the national consent decree via email in March of 2018.

If applicable, describe how the mitigation action will mitigate the impacts of NOx emissions on communities that have historically borne a disproportionate share of the adverse impacts of such emissions (5.2.10):

Not applicable to this specific project.

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Attachment B Details

Project Schedule and Milestones:

Indiana announces Round 5 - Onroad and Nonroad funding opportunity via online Request for Proposals (RFP)	February 16, 2024
Deadline for Round 5 – Onroad and Nonroad program applications	April 30, 2024
Indiana notifies applicants of award decisions	June 18, 2024
Funding Agreement between Indiana and Grantee is fully executed	July 24, 2024
Grantee project implementation	July 24, 2024 to September 30, 2025
Indiana reviews programmatic, financial, and other materials for compliance with Appendix D-2 of the national consent decree	Ongoing
Indiana submits Appendix D-4 to Trustee to initiate payment to Grantee in accordance with Payee Contact and Wire Information form along with other supporting documentation	February 5, 2025
Indiana coordinates with Trustee on any questions or issues that arise related to the submitted Appendix D-4	February 5, 2025 to D-4 approval
Trustee responds to Indiana Appendix D-4	Within 60 days of submittal
Trustee disburses payment to Grantee	Within 15 days of Appendix D-4 approval

Project Budget:

Project Description	Indiana VW Mitigation Trust Grant Not to Exceed Total	Grantee Cost Share Not Less than Total	Project Total
Task A The purchase, installation, operation and maintenance of one (1) 2024 model-year, or newer, U.S. EPA emission compliant all-electric-powered runway sweeper that will REPLACE one (1) of	\$422,416.50	\$140,805.50	\$563,222.00

the Grantee's model year 1992-2009 existing diesel-powered runway sweepers that will be used for the same purpose. The replacement vehicles will be located and primarily operated in Gary, Indiana.			
Percentage	75%	25%	100%

State of Indiana Total Trust Allocation:

1) State of Indiana's Total Trust Allocation	\$40,935,880.59
2) Obligated Awards from Previous D-4 Submittals	\$27,394,045.65
3) State of Indiana's Net Remaining Allocation Prior to this D-4 Submittal	\$13,117,834.94
4) Current D-4 Funding Request Total	\$422,416.50
5) State of Indiana's Remaining Allocation After this D-4 Submittal	\$12,695,418.44

Projected Allocation Totals per Project Type:

	Total per Category	Annually Based on Expected Project Reimbursements
Total Trust	\$40,935,880.59	NA
To EV (15%) over 5 years (2021 to 2025)	\$6,140,382.09	\$2,046,794.03
To Admin (3%) over 5 years (2020 to 2025)	\$1,228,076.42	\$307,019.11
To EMAs (82%) over 5 years (2020 to 2025)	\$33,567,422.08	\$11,189,140.69

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Projected Trust Allocations:

	2020	2021	2022	2023	2024
1) Anticipated Annual Project Funding Request to be paid through the Trust	\$3,996,653.40	\$4,841,603.20	\$5,174,086.48	\$7,563,755.37	\$15,000,000.00
2) Anticipated Annual Cost Share (Administrative Costs)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
3) Anticipated Total Project Funding by Year (Line 1 + Line 2)	\$3,996,653.40	\$4,841,603.20	\$5,174,086.48	\$7,563,755.37	\$15,000,000.00
4) Cumulative Trustee Payments Made to Date Against Cumulative Approved Beneficiary Allocation	\$3,895,454.40	\$4,710,898.20	\$5,165,086.48	\$7,494,846.85	\$6,241,947.20
5) Current Beneficiary Project Funding to be paid through the Trust (Line 1)	\$101,199.00	\$130,705.00	\$9,000.00	\$68,908.52	\$422,416.50
6) Total Funding Allocated to Beneficiary, inclusive of Current Action by Year (Line 4 + Line 5)	\$3,996,653.40	\$4,841,603.20	\$5,174,086.48	\$7,563,755.37	\$6,664,363.70
7) Beneficiary Share of Estimated Funds Remaining in Trust at Beginning of Year	\$40,935,880.59	\$36,939,227.19	\$32,097,623.99	\$26,923,537.51	\$19,359,782.14
8) Net Beneficiary Funds Remaining in Trust, net of cumulative Beneficiary Funding Actions (Line 7 – Line 6)	\$36,939,227.19	\$32,097,623.99	\$26,923,537.51	\$19,359,782.14	\$12,695,418.44

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Attachment C Details

Detailed Plan for Reporting on Eligible Mitigation Action Implementation (5.2.11):

The Indiana Department of Environmental Management (IDEM) is committed to meet the reporting requirements as detailed in Subparagraph 5.3 of the Environmental Mitigation Trust Agreement for State Beneficiaries. Specifically, this subparagraph states:

“For each Eligible Mitigation Action, no later than six months after receiving its first disbursement of Trust Assets, and thereafter no later than January 30 (for the preceding six-month period of July 1 to December 31) and July 30 (for the preceding six-month period of January 1 to June 30) of each year, each Beneficiary shall submit to the Trustee a semiannual report describing the progress implementing each Eligible Mitigation Action during the six-month period leading up to the reporting date (including a summary of all costs expended on the Eligible Mitigation Action through the reporting date). Such reports shall include a complete description of the status (including actual or projected termination date), development, implementation, and any modification of each approved Eligible Mitigation Action. Beneficiaries may group multiple Eligible Mitigation Actions and multiple sub-beneficiaries into a single report. These reports shall be signed by an official with the authority to submit the report for the Beneficiary and must contain an attestation that the information is true and correct and that the submission is made under penalty of perjury. To the extent a Beneficiary avails itself of the DERA Option described in Appendix D-2, that Beneficiary may submit its DERA Quarterly Programmatic Reports in satisfaction of its obligations under this Paragraph as to those Eligible Mitigation Actions funded through the DERA Option. The Trustee shall post each semiannual report on the State Trust’s public-facing website upon receipt.”

IDEM will meet these obligations in coordination with our project partners. Project partners are obligated to provide IDEM the necessary information for reports to the Trustee through the Funding Agreements between IDEM and each project partner. This language states:

“4. Implementation and Reporting Requirements

- A. The Grantee shall implement and complete the Project in accordance with **Attachment A** and the plans and specifications contained in its Funding Application. Modification of the Project shall require prior written approval from IDEM. If IDEM determines that the Grantee is not making adequate progress in implementation of the approved Project in accordance with **Attachment A**, IDEM may rescind the award.
- B. The Grantee shall submit to IDEM written progress reports until the completion of the Project. These reports shall be submitted in accordance with the reporting schedule contained in **Attachment C** and shall contain such detail of progress or performance on the Project as is required under the terms of the Volkswagen Diesel Emissions Environmental Mitigation Trust. If additional documentation is required for IDEM to meet reporting obligations under the

Volkswagen Diesel Emissions Environmental Mitigation Trust, IDEM may request such documentation as necessary at any time during the term of this Agreement.”

Attachment D Details

Detailed cost estimates from selected or potential vendors for each proposed expenditure exceeding \$25,000 (5.2.6):

Detailed in the following pages:

Invoice #INV1894

Date: 12/31/2024
 Due Date: 12/31/2024
 Terms:

Total
USD 422,416.50

Bill To:
Indiana Department of Environmental Management
 Shawn Seals
 IDEM
 Office of Air Quality - Mail Code 61-50
 100 North Senate Avenue
 Indianapolis IN 46204-2251

Ship To:
 Shawn Seals
 IDEM
 Office of Air Quality - Mail Code 61-50
 100 North Senate Avenue
 Indianapolis IN 46204-2251

Item	Description	Memo	Quantity	Rate	Amount
22 Local Grant Funding	Reimbursement for Purchase of 2024 Bucher VR50E Electric Sweeper from McCann Industries	Reimbursement for VW Project Funding Agreement ONR5-006	0.75	556,550.00	417,412.50
22 Local Grant Funding	Reimbursement for Supplies for Wall Charger Installation	Reimbursement for VW Project Funding Agreement ONR5-006	0.75	1,321.20	990.90
22 Local Grant Funding	Reimbursement for Supplies for Wall Charger Installation	Reimbursement for VW Project Funding Agreement ONR5-006	0.75	640.80	480.60
22 Local Grant Funding	Labor & Equipment for Wall Charger Installation	Reimbursement for VW Project Funding Agreement ONR5-006	0.75	4,710.00	3,532.50
Subtotal					422,416.50
Tax					0.00
Total					USD 422,416.50

Contact Information

Email: finance@gciairport.com
 Phone: (219) 949-4903



Remit To:
McCann Industries, Inc.
PO Box 5609
Carol Stream, IL 60197-5609

Exceeding Expectations

Equipment · Supplies · Service · Rentals

McCannOnline.com

Ship To: GARY CHICAGO INTERNATIONAL AIRPORT
6001 AIRPORT ROAD
GARY IN 46406

Invoice To: GARY/CHICAGO INTERNATIONAL AIRPORT
6001C AIRPORT RD
GARY IN 46406

Branch		
08 - SCHERERVILLE, IN		
Date	Time	Page
07/31/2024	11:32:50 (O)	1
Account No	Phone No	Inv No 00
GARY003	2199494902	E00303
Freight Terms	Purchase Order/Job Number	
DELIVERED	PO1449	
Tax ID No	Payment Terms	
	UPON RECEIPT	
	Salesperson	
JOHN SELLS	JSE / JSE	

EQUIPMENT INVOICE

Description	Amount
Stock #: 015301	Serial #: TEBC50B11PZV03145
Jew 2024 BH VR50E	556550.00
Jew 2024 BUCHER VR50E VR50E ELECTRIC SWEEPER	
DDP073124	
	Subtotal: 556550.00
	Total (ON ACCOUNT): 556550.00

543 S. Rohlwing Road
Addison, IL 60101
(630) 627-0000

250 E. Frontage Road
Bellingsbrook, IL 60440
(630) 739-7770

2350 S. Laffin Street
Chicago, IL 60608
(312) 942-9200

4701 W. 137th Street
Crestwood, IL 60445
(708) 597-3110

X
Received By
1064 Franklin Street
Barrne, MI 49435
(616) 371-7250

23821 S. Northern Illinois Drive
Channah, IL 60410
(815) 467-4480

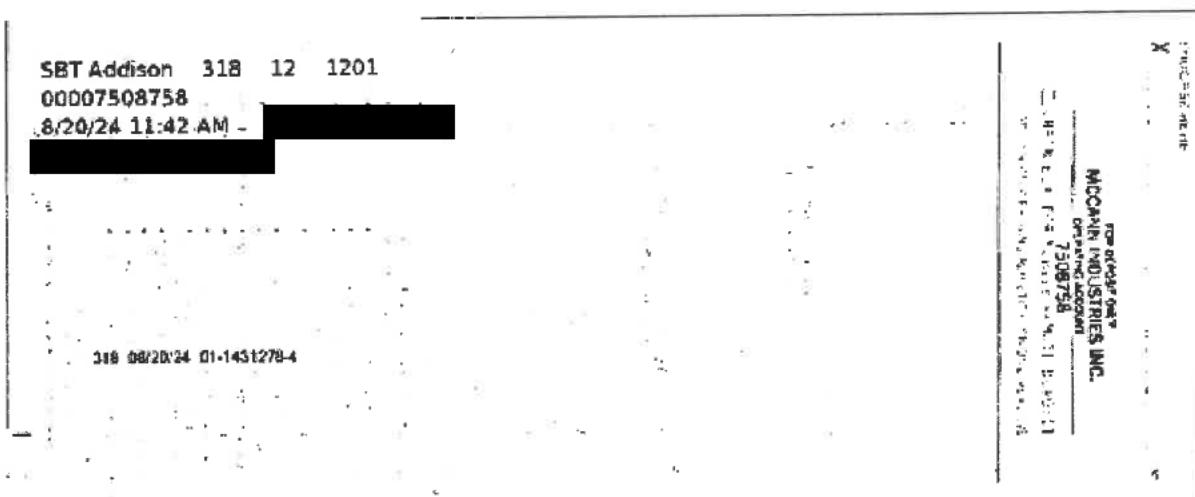
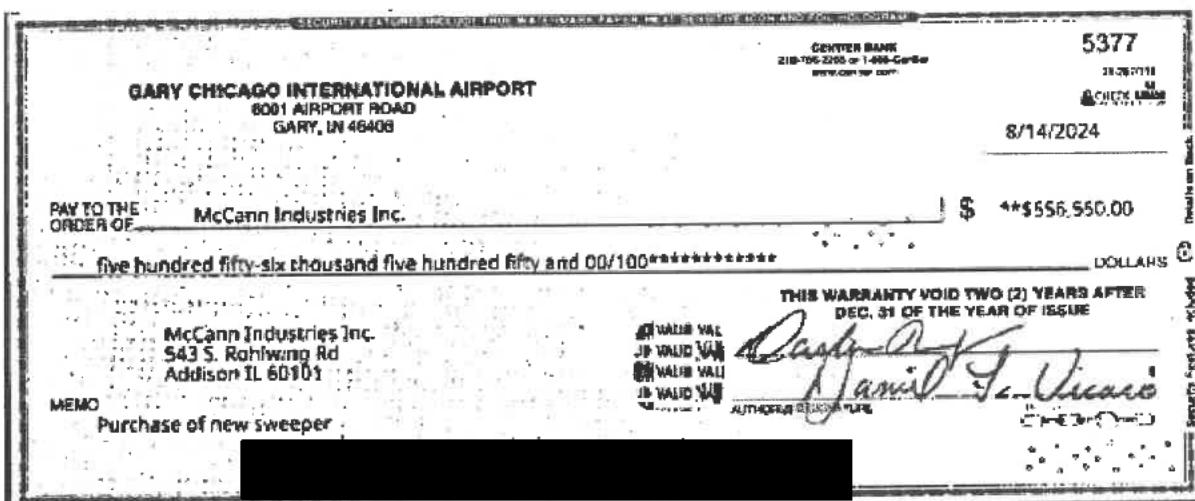
1380 N. Rand Road
Wauconda, IL 60084
(847) 526-9444

1133 Indianapolis Boulevard
Schererville, IN 46375
(219) 665-6545

14077 Esther Ave
Mishawaka, IN 46545
(574) 406-6800

8900 Louisiana St
Merrillville, IN 46410
(219) 576-7800

Post Date	Number	Amount	Bank Code /	Account
08/21/2024	0000005377	556,550.00	Number	Number
			071902878	104970855



CALUMET ELECTRIC SUPPLY CORP
PO BOX 540
456 EAST CHICAGO AVENUE
EAST CHICAGO, IN 46312

Invoice

Date	Invoice #
8/8/2024	111550

Bill To GARY-CHICAGO INTERNATIONAL AIRPORT 6001 AIRPORT ROAD GARY IN 46406 Finance@GCLAirport.com	Ship To WILL CALL
--	---------------------------------

Phone #	Fax #
219-397-2820	219-397-2837

**EFFECTIVE 2-1-2022
PAST DUE ACCOUNTS
SUBJECT TO
1.5% MONTHLY FINANCE FEE
18% ANNUAL FINANCE FEE**

CALUMET ELECTRIC SUPPLY CORP
PO BOX 540
456 EAST CHICAGO AVENUE
EAST CHICAGO, IN 46312

Invoice

Date	Invoice #
7/31/2024	111523

Bill To
GARY-CHICAGO INTERNATIONAL AIRPORT
6001 AIRPORT ROAD
GARY IN 46406
Finance@GCIAirport.com

Ship To

WILL CALL

Phone #	Fax #
219-397-2820	219-397-2837

**EFFECTIVE 2-1-2022
PAST DUE ACCOUNTS
SUBJECT TO
1.5% MONTHLY FINANCE FEE
18% ANNUAL FINANCE FEE**

Post Date	Number	Amount	Bank Code /	Account
08/20/2024	0000061150	2,554.50	Number	Number

GARY CHICAGO INTERNATIONAL AIRPORT 6001 AIRPORT ROAD GARY, IN 46408		61150 11-782715 88 8/14/2024
<p>PAY TO THE ORDER OF <u>Calumet Electric Supply Corporation</u></p> <p><u>two thousand five hundred fifty-four and 50/100</u> DOLLARS</p>		
<p>Calumet Electric Supply Corporation 456 East Chicago Avenue East Chicago Indiana 46312</p> <p>REMO Repair parts for Sweeper charger</p> <p>THIS WARRANTY VOID TWO (2) YEARS AFTER DEC. 31 OF THE YEAR OF ISSUE <i>Spangler David F. Vicari</i></p>		

16042 HE

3. "OPENING
THIS VICTORY BANK
WEEKEND."

1991 Datasheet Only
CALL MELTEC ELECTRONIC SUPPLIES
0002367603

FMS 0603 20240819 01185 00047 074900657

INTERNAL WORK ORDER

Client Name	Airport Vehicle Maintenance	Work Order Date	Work Order Number
Client Phone		7/26/2024	EPA-1
Client Email		Expected Start Date	Expected End Date
Order Received By		8-1-2024	8-15-2024
Service Location	Maintenance Garage		

Job Description	Install ABB vehicle charger, place conduit, pull feed.
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LABOR DESCRIPTION	PRIORITY	HOURS	AMOUNT
Place 150' of new conduit in overhead	1	20	2100.00
Install 125-amp circuit	2	6	630.00
Install unit and connect	3	6	630.00
			LABOR TOTAL 3360.00

MATERIAL DESCRIPTION	QUANTITY	PRICE PER UNIT	AMOUNT
Conduit and clamps			PO 1487
Wire for circuit, CB for panel			PO 1501
Mounting materials			350.00
Use of high lift per day	4	250.00	1000.00
		MATERIAL TOTAL	1350.00

	LABOR TOTAL	3360.00
	MATERIAL TOTAL	1350.00
	TOTAL	4710.00