

APPENDIX D-4
Beneficiary Eligible Mitigation Action Certification

BENEFICIARY ELIGIBLE MITIGATION ACTION CERTIFICATION

Beneficiary State of Indiana

Lead Agency Authorized to Act on Behalf of the Beneficiary Indiana Department of Environmental Management
(Any authorized person with delegation of such authority to direct the Trustee delivered to the Trustee pursuant to a Delegation of Authority and Certificate of Incumbency)

Action Title:	R5 - Onroad and Nonroad Awards (Lafayette Limo)
Beneficiary's Project ID:	R5 - Lafayette Limo 2 MCs Replacement
Funding Request No.	(sequential) 126
Request Type: (select one or more)	<input checked="" type="checkbox"/> Reimbursement <input type="checkbox"/> Advance <input type="checkbox"/> Other (specify): _____
Payment to be made to: (select one or more)	<input type="checkbox"/> Beneficiary <input checked="" type="checkbox"/> Other (specify): <u>Grantee: Lafayette Limo as detailed in Attachment A</u>
Funding Request & Direction (Attachment A)	<input type="checkbox"/> Attached to this Certification <input checked="" type="checkbox"/> To be Provided Separately

SUMMARY

Eligible Mitigation Action	<input checked="" type="checkbox"/> Appendix D-2 item (specify): <u>Category 2: Transit Buses</u>
Action Type	<input type="checkbox"/> Item 10 - DERA Option (5.2.12) (specify and attach DERA Proposal): _____
Explanation of how funding request fits into Beneficiary's Mitigation Plan (5.2.1): See Appendix D-4 Summary Details attachment.	
Detailed Description of Mitigation Action Item Including Community and Air Quality Benefits (5.2.2): See Appendix D-4 Summary Details attachment.	
Estimate of Anticipated NOx Reductions (5.2.3): See Appendix D-4 Summary Details attachment.	
Identification of Governmental Entity Responsible for Reviewing and Auditing Expenditures of Eligible Mitigation Action Funds to Ensure Compliance with Applicable Law (5.2.7.1): See Appendix D-4 Summary Details attachment.	
Describe how the Beneficiary will make documentation publicly available (5.2.7.2). See Appendix D-4 Summary Details attachment.	
Describe any cost share requirement to be placed on each NOx source proposed to be mitigated (5.2.8). See Appendix D-4 Summary Details attachment.	
Describe how the Beneficiary complied with subparagraph 4.2.8, related to notice to U.S. Government Agencies (5.2.9). See Appendix D-4 Summary Details attachment.	

If applicable, describe how the mitigation action will mitigate the impacts of NOx emissions on communities that have historically borne a disproportionate share of the adverse impacts of such emissions (5.2.10).

See Appendix D-4 Summary Details attachment.

ATTACHMENTS
(CHECK BOX IF ATTACHED)

- | | | |
|-------------------------------------|--------------|--|
| <input checked="" type="checkbox"/> | Attachment A | Funding Request and Direction. |
| <input checked="" type="checkbox"/> | Attachment B | Eligible Mitigation Action Management Plan Including Detailed Budget and Implementation and Expenditures Timeline (5.2.4). |
| <input checked="" type="checkbox"/> | Attachment C | Detailed Plan for Reporting on Eligible Mitigation Action Implementation (5.2.11). |
| <input checked="" type="checkbox"/> | Attachment D | Detailed cost estimates from selected or potential vendors for each proposed expenditure exceeding \$25,000 (5.2.6). [Attach only if project involves vendor expenditures exceeding \$25,000.] |
| <input type="checkbox"/> | Attachment E | DERA Option (5.2.12). [Attach only if using DERA option.] |
| <input type="checkbox"/> | Attachment F | Attachment specifying amount of requested funding to be debited against each beneficiary's allocation (5.2.13). [Attach only if this is a joint application involving multiple beneficiaries.] |

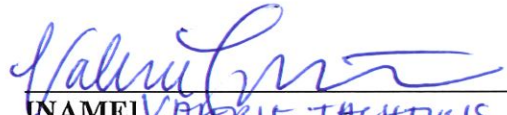
CERTIFICATIONS

By submitting this application, the Lead Agency makes the following certifications:

1. This application is submitted on behalf of Beneficiary State of Indiana, and the person executing this certification has authority to make this certification on behalf of the Lead Agency and Beneficiary, pursuant to the Certification for Beneficiary Status filed with the Court.
2. Beneficiary requests and directs that the Trustee make the payments described in this application and Attachment A to this Form.
3. This application contains all information and certifications required by Paragraph 5.2 of the Trust Agreement, and the Trustee may rely on this application, Attachment A, and related certifications in making disbursements of trust funds for the aforementioned Project ID.
4. Any vendors were or will be selected in accordance with a jurisdiction's public contracting law as applicable. (5.2.5)
5. Beneficiary will maintain and make publicly available all documentation submitted in

support of this funding request and all records supporting all expenditures of eligible mitigation action funds subject to applicable laws governing the publication of confidential business information and personally identifiable information. (5.2.7.2)

DATED: 2/21/2025


[NAME] VALERIE TACHTAKIS
[TITLE] DEPUTY GENERAL COUNSEL

Indiana Department of Environmental Management

[LEAD AGENCY]

for

State of Indiana

[BENEFICIARY]

Appendix D-4 Summary Details

Eligible Mitigation Action Type:

Category 2: Transit Buses

Explanation of how funding request fits into Beneficiary's Mitigation Plan (5.2.1):

Indiana will generally fund all eligible mitigation action (EMA) types included in Appendix D-2 of the national consent decree. The Mission Statement and Overall Goals are described below:

In promoting the reduction of emissions of NO_x, the Indiana Volkswagen Environmental Mitigation Trust Fund Program will prioritize sustainable projects that are transformative, positively impacting the environment, enhancing the health and well-being of residents, and promoting Indiana's growing economy.

The Program will focus on technological change and advancement with resiliency and favoring use of domestic fuel, where possible.

The goals of the Indiana Volkswagen Mitigation Trust Program include:

- Improving air quality across Indiana through cost-effective NO_x emission reduction strategies
- Maximizing diesel emission reductions across Indiana, while considering various categories of sensitive populations as areas of specific focus
- Providing appropriate considerations to projects that have diesel emission reductions that go beyond just NO_x, including PM_{2.5}, hydrocarbons (HC), carbon monoxide (CO), and carbon dioxide (CO₂)
- Encouraging leveraging of project partner funds with VW Trust funds to further the reach of the Indiana program

Detailed Description of Mitigation Action Item Including Community and Air Quality Benefits (5.2.2):

This project is for the purpose of purchase, installation, operation and maintenance of two (2) 2024 model-year, or newer, U.S. EPA emission compliant all-electric-powered motorcoaches that will REPLACE two (2) of the Grantee's model year 1992-2009 existing motorcoaches that will be used for the same purpose. The replacement vehicles will be located and primarily operated in-and-around Lafayette and Indianapolis, Indiana.

All-electric-powered motorcoaches dramatically improve air quality and the quality-of-life conditions for operators, technicians, and citizens in the areas of operation due to notably lower tailpipe emissions in addition to less noisy engine operations.

The lifetime estimated emission reductions of pollutants according to U.S. EPA's Diesel Emission Quantifier (DEQ) include 3.714 tons of nitrogen oxides (NO_x) and 0.124 tons of fine particulate matter (PM_{2.5}).

Estimate of Anticipated NO_x Reductions (5.2.3):

Over the lifetime of these motorcoaches, U.S. EPA's DEQ model estimates this project will result in a NOx reduction of 3.714 tons.

Identification of Governmental Entity Responsible for Reviewing and Auditing Expenditures of Eligible Mitigation Action Funds to Ensure Compliance with Applicable Law (5.2.7.1):

Indiana Department of Environmental Management

Describe how the Beneficiary will make documentation publicly available (5.2.7.2):

In October of 2017, IDEM published a website with specific information on the national mitigation trust as well as the Indiana program. The website included an opportunity to sign up for automated updates to make sure interested parties were always kept apprised of any changes made to the program website. This web portal will be the location for all information related to the Indiana Volkswagen Mitigation Trust Program.

Describe any cost share requirement to be placed on each NOx source proposed to be mitigated (5.2.8):

Indiana will use Volkswagen Mitigation Trust funds to reimburse non-government-owned fleet and equipment owners at the levels specified in Appendix D-2 of the national mitigation trust. IDEM will also use Volkswagen Mitigation Trust funds to reimburse government-owned fleets and equipment at the same level as non-government owned fleet and equipment owners, as opposed to the full cost reimbursement permitted by Appendix D-2.

Specifically, for this project, the Indiana Volkswagen Mitigation Trust Program will cover up to 75% of the total project cost while the Grantee and/or other programs covers the remaining 25%.

Describe how the Beneficiary complied with subparagraph 4.2.8, related to notice to U.S. Government Agencies (5.2.9):

The Indiana Department of Environmental Management notified representatives as identified in Appendix D-2 of the national consent decree via email in March of 2018.

If applicable, describe how the mitigation action will mitigate the impacts of NOx emissions on communities that have historically borne a disproportionate share of the adverse impacts of such emissions (5.2.10):

Not applicable to this specific project.

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Attachment B Details

Project Schedule and Milestones:

Indiana announces Round 5 - Onroad and Nonroad funding opportunity via online Request for Proposals (RFP)	February 16, 2024
Deadline for Round 5 – Onroad and Nonroad program applications	April 30, 2024
Indiana notifies applicants of award decisions	June 18, 2024
Funding Agreement between Indiana and Grantee is fully executed	November 4, 2024
Grantee project implementation	November 4, 2024 to September 30, 2025
Indiana reviews programmatic, financial, and other materials for compliance with Appendix D-2 of the national consent decree	Ongoing
Indiana submits Appendix D-4 to Trustee to initiate payment to Grantee in accordance with Payee Contact and Wire Information form along with other supporting documentation	February 19, 2025
Indiana coordinates with Trustee on any questions or issues that arise related to the submitted Appendix D-4	February 19, 2025 to D-4 approval
Trustee responds to Indiana Appendix D-4	Within 60 days of submittal
Trustee disburses payment to Grantee	Within 15 days of Appendix D-4 approval

Project Budget:

Project Description	Indiana VW Mitigation Trust Grant Not to Exceed Total	Grantee Cost Share Not Less than Total	Project Total
Task A The purchase, installation, operation and maintenance of two (2) 2024 2020 model-year, or newer, U.S. EPA emission compliant all-electric-powered motor coach buses that will	\$965,466.00	\$321,823.00	\$1,287,289.00

REPLACE two (2) of the Grantee's model year 1992-2009 existing diesel-powered motor coach buses that will be used for the same purpose. The replacement vehicles will be located and primarily operated in-and-around Lafayette and Indianapolis, Indiana.			
Percentage	75%	25%	100%

State of Indiana Total Trust Allocation:

1) State of Indiana's Total Trust Allocation	\$40,935,880.59
2) Obligated Awards from Previous D-4 Submittals	\$28,240,462.15
3) State of Indiana's Net Remaining Allocation Prior to this D-4 Submittal	\$12,695,418.44
4) Current D-4 Funding Request Total	\$965,466.00
5) State of Indiana's Remaining Allocation After this D-4 Submittal	\$11,729,952.44

Projected Allocation Totals per Project Type:

	Total per Category	Annually Based on Expected Project Reimbursements
Total Trust	\$40,935,880.59	NA
To EV (15%) over 5 years (2021 to 2025)	\$6,140,382.09	\$2,046,794.03
To Admin (3%) over 5 years (2020 to 2025)	\$1,228,076.42	\$307,019.11
To EMAs (82%) over 5 years (2020 to 2025)	\$33,567,422.08	\$11,189,140.69

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Projected Trust Allocations:

	2020	2021	2022	2023	2024
1) Anticipated Annual Project Funding Request to be paid through the Trust	\$3,996,653.40	\$4,841,603.20	\$5,174,086.48	\$7,563,755.37	\$15,000,000.00
2) Anticipated Annual Cost Share (Administrative Costs)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
3) Anticipated Total Project Funding by Year (Line 1 + Line 2)	\$3,996,653.40	\$4,841,603.20	\$5,174,086.48	\$7,563,755.37	\$15,000,000.00
4) Cumulative Trustee Payments Made to Date Against Cumulative Approved Beneficiary Allocation	\$3,895,454.40	\$4,710,898.20	\$5,165,086.48	\$7,494,846.85	\$6,664,363.70
5) Current Beneficiary Project Funding to be paid through the Trust (Line 1)	\$101,199.00	\$130,705.00	\$9,000.00	\$68,908.52	\$965,466.00
6) Total Funding Allocated to Beneficiary, inclusive of Current Action by Year (Line 4 + Line 5)	\$3,996,653.40	\$4,841,603.20	\$5,174,086.48	\$7,563,755.37	\$7,629,829.70
7) Beneficiary Share of Estimated Funds Remaining in Trust at Beginning of Year	\$40,935,880.59	\$36,939,227.19	\$32,097,623.99	\$26,923,537.51	\$19,359,782.14
8) Net Beneficiary Funds Remaining in Trust, net of cumulative Beneficiary Funding Actions (Line 7 – Line 6)	\$36,939,227.19	\$32,097,623.99	\$26,923,537.51	\$19,359,782.14	\$11,729,952.44

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Attachment C Details

Detailed Plan for Reporting on Eligible Mitigation Action Implementation (5.2.11):

The Indiana Department of Environmental Management (IDEM) is committed to meet the reporting requirements as detailed in Subparagraph 5.3 of the Environmental Mitigation Trust Agreement for State Beneficiaries. Specifically, this subparagraph states:

“For each Eligible Mitigation Action, no later than six months after receiving its first disbursement of Trust Assets, and thereafter no later than January 30 (for the preceding six-month period of July 1 to December 31) and July 30 (for the preceding six-month period of January 1 to June 30) of each year, each Beneficiary shall submit to the Trustee a semiannual report describing the progress implementing each Eligible Mitigation Action during the six-month period leading up to the reporting date (including a summary of all costs expended on the Eligible Mitigation Action through the reporting date). Such reports shall include a complete description of the status (including actual or projected termination date), development, implementation, and any modification of each approved Eligible Mitigation Action. Beneficiaries may group multiple Eligible Mitigation Actions and multiple sub-beneficiaries into a single report. These reports shall be signed by an official with the authority to submit the report for the Beneficiary and must contain an attestation that the information is true and correct and that the submission is made under penalty of perjury. To the extent a Beneficiary avails itself of the DERA Option described in Appendix D-2, that Beneficiary may submit its DERA Quarterly Programmatic Reports in satisfaction of its obligations under this Paragraph as to those Eligible Mitigation Actions funded through the DERA Option. The Trustee shall post each semiannual report on the State Trust’s public-facing website upon receipt.”

IDEM will meet these obligations in coordination with our project partners. Project partners are obligated to provide IDEM the necessary information for reports to the Trustee through the Funding Agreements between IDEM and each project partner. This language states:

“4. Implementation and Reporting Requirements

A. The Grantee shall implement and complete the Project in accordance with **Attachment A** and the plans and specifications contained in its Funding Application. Modification of the Project shall require prior written approval from IDEM. If IDEM determines that the Grantee is not making adequate progress in implementation of the approved Project in accordance with **Attachment A**, IDEM may rescind the award.

B. The Grantee shall submit to IDEM written progress reports until the completion of the Project. These reports shall be submitted in accordance with the reporting schedule contained in **Attachment C** and shall contain such detail of progress or performance on the Project as is required under the terms of the Volkswagen Diesel Emissions Environmental Mitigation Trust. If additional documentation is required for IDEM to meet reporting obligations under the

Volkswagen Diesel Emissions Environmental Mitigation Trust, IDEM may request such documentation as necessary at any time during the term of this Agreement.”

Attachment D Details

Detailed cost estimates from selected or potential vendors for each proposed expenditure exceeding \$25,000 (5.2.6):

Detailed in the following pages:

Lafayette Limo, Inc
2525 Klondike Rd,
West Lafayette, IN 47906



To: Indiana Department of Environmental Management
Attn: Shawn M. Seals
Office of Air Quality -Mail Code 61-50
100 N Senate Ave
Indianapolis, IN 46204-2251

RE: IN VW Settlement Round 5-009a

Amount: \$965,466.00

Total Paid:	\$1,287,289.00 (Two Class 8 Electric Transit Buses)
Grant Request:	\$965,466.00
Cost Share:	\$321,823.00

Bank:	First Merchants Bank
ABA/Routing Number:	[REDACTED]
SWIFT:	[REDACTED]
Account Number:	[REDACTED]
Bank Phone #:	765.423.7124
Name on Account:	Lafayette Limo, Inc.
Bank Address:	250 Main St, Lafayette, IN 47901

Temsa North America, INC.
404 Zell Dr
Orlando, Orange County, FL 32824
www.temsa.com



INVOICE

PURCHASER:
Lafayette Limo, Inc.
2525 Klondike Road
West Lafayette, IN 47906

INVOICE NUMBER | LL120624
INVOICE DATE | January 31, 2025 REV2
PA NUMBER | LAFAYETTET110424

TERMS | Due Upon Receipt

LIENHOLDER:

SHIP TO:
Lafayette Limo, Inc.
2525 Klondike Road
West Lafayette, IN 47906

QUANTITY	DESCRIPTION	UNIT PRICE	AMOUNT
1	2020 Model Year TS45E VIN NLTEJXZZ3L1000003	\$387,389.00	\$387,389.00
1	2025 Model Year TS45E VIN NLTEJXZZ6S1000009	\$899,900.00	\$899,900.00
		SUBTOTAL	1,287,289.00
		TAX	
		TOTAL	\$1,287,289.00
		Down Payment	(\$1,327,289.00)
		Total Due	(\$40,000.00)
		USD	PAY THIS AMOUNT

Make all checks payable to **Temsa North America, INC.**
Please send ACH payments/wire transfers to:
Bank Name: BANK OF AMERICA MERRILL LYNCH
Account Number: XXXXXXXXXX
Routing Number: XXXXXX

Transaction Details



Date 31-Jan-2025
Account number 4451300067
Bank ID
Transaction Incoming Money Transfer Credit(195)
Currency USD
Amount 387,389.00
Credits & Debits Credit
Customer Ref # 0000000000
Bank reference [REDACTED]
Value Date
Immediate Avail
1 Day Float
2+ Day Float
Text WIRE TYPE:WIRE IN DATE:013125 TIME:1650 ET
TRN:2025013100633490 SNDR REF:310125FMB0000169
SERVICE REF:20250131MMQFMPTY000460
RELATED REF:
ORIG:OUTGOING WIRES ID:[REDACTED]
ORG BK: ID:
INS BK: ID:
SND BK:FIRST MERCHANTS BANK ID:[REDACTED]
BNF:TEMSA NORTH AMERICA INC. 949 APPLING HARLEM ROAD
HARLEM GA 30814 COLUMBIA COUNTY ID:4451300067
BNF BK: ID:
PAYMENT DETAILS:
INVOICE #LLI120624 LAFAYETTE LIMO INC. 2525 KLONDIKE ROAD
WEST LAFAYETTE IN 47906 WIRE PROCEEDS - TO PURCHASE A 2020
MODEL YEAR TS45E

Transaction Details



Date 31-Jan-2025
Account number 4451300067
Bank ID
Transaction Incoming Money Transfer Credit(195)
Currency USD
Amount 899,900.00
Credits & Debits Credit
Customer Ref # 0000000000
Bank reference [REDACTED]
Value Date
Immediate Avail
1 Day Float
2+ Day Float
Text WIRE TYPE:WIRE IN DATE:013125 TIME:1646 ET
TRN:2025013100631259 SNDR REF:310125FMB0000162
SERVICE REF:20250131MMQFMPTY000458
RELATED REF:
ORIG:OUTGOING WIRES ID:[REDACTED]
ORG BK: ID:
INS BK: ID:
SND BK:FIRST MERCHANTS BANK ID:[REDACTED]
BNF:TEMSA NORTH AMERICA INC. 949 APPLING HARLEM ROAD
HARLEM GA 30814 COLUMBIA COUNTY ID:4451300067
BNF BK: ID:
PAYMENT DETAILS:
INVOICE #LLI120624
LAFAYETTE LIMO INC.
2525 KLONDIKE ROAD
WEST LAFAYETTE IN 47906
PURCHASE OF A 2025 MODEL YEAR TS45E