

APPENDIX D-4
Beneficiary Eligible Mitigation Action Certification

BENEFICIARY ELIGIBLE MITIGATION ACTION CERTIFICATION

Beneficiary _____

Lead Agency Authorized to Act on Behalf of the Beneficiary _____
(Any authorized person with delegation of such authority to direct the Trustee delivered to the Trustee pursuant to a Delegation of Authority and Certificate of Incumbency)

Action Title:	
Beneficiary's Project ID:	
Funding Request No.	<i>(sequential)</i>
Request Type: (select one or more)	<input type="checkbox"/> Reimbursement <input type="checkbox"/> Advance <input type="checkbox"/> Other (specify): _____
Payment to be made to: (select one or more)	<input type="checkbox"/> Beneficiary <input type="checkbox"/> Other (specify): _____
Funding Request & Direction (Attachment A)	<input type="checkbox"/> Attached to this Certification <input type="checkbox"/> To be Provided Separately

SUMMARY

Eligible Mitigation Action <input type="checkbox"/> Appendix D-2 item (specify): _____ Action Type <input type="checkbox"/> Item 10 - DERA Option (5.2.12) (specify and attach DERA Proposal): _____
Explanation of how funding request fits into Beneficiary's Mitigation Plan (5.2.1):
Detailed Description of Mitigation Action Item Including Community and Air Quality Benefits (5.2.2):
Estimate of Anticipated NOx Reductions (5.2.3):
Identification of Governmental Entity Responsible for Reviewing and Auditing Expenditures of Eligible Mitigation Action Funds to Ensure Compliance with Applicable Law (5.2.7.1):
Describe how the Beneficiary will make documentation publicly available (5.2.7.2).
Describe any cost share requirement to be placed on each NOx source proposed to be mitigated (5.2.8).
Describe how the Beneficiary complied with subparagraph 4.2.8, related to notice to U.S. Government Agencies (5.2.9).

If applicable, describe how the mitigation action will mitigate the impacts of NOx emissions on communities that have historically borne a disproportionate share of the adverse impacts of such emissions (5.2.10).

ATTACHMENTS
(CHECK BOX IF ATTACHED)

- Attachment A Funding Request and Direction.
- Attachment B Eligible Mitigation Action Management Plan Including Detailed Budget and Implementation and Expenditures Timeline (5.2.4).
- Attachment C Detailed Plan for Reporting on Eligible Mitigation Action Implementation (5.2.11).
- Attachment D Detailed cost estimates from selected or potential vendors for each proposed expenditure exceeding \$25,000 (5.2.6). [Attach only if project involves vendor expenditures exceeding \$25,000.]
- Attachment E DERA Option (5.2.12). [Attach only if using DERA option.]
- Attachment F Attachment specifying amount of requested funding to be debited against each beneficiary's allocation (5.2.13). [Attach only if this is a joint application involving multiple beneficiaries.]

CERTIFICATIONS

By submitting this application, the Lead Agency makes the following certifications:

1. This application is submitted on behalf of Beneficiary _____, and the person executing this certification has authority to make this certification on behalf of the Lead Agency and Beneficiary, pursuant to the Certification for Beneficiary Status filed with the Court.
2. Beneficiary requests and directs that the Trustee make the payments described in this application and Attachment A to this Form.
3. This application contains all information and certifications required by Paragraph 5.2 of the Trust Agreement, and the Trustee may rely on this application, Attachment A, and related certifications in making disbursements of trust funds for the aforementioned Project ID.
4. Any vendors were or will be selected in accordance with a jurisdiction's public contracting law as applicable. (5.2.5)
5. Beneficiary will maintain and make publicly available all documentation submitted in

support of this funding request and all records supporting all expenditures of eligible mitigation action funds subject to applicable laws governing the publication of confidential business information and personally identifiable information. (5.2.7.2)

DATED: December 1, 2025


[NAME] Valerie Jachura
[TITLE] Deputy Attorney General

Indiana Department of Environmental Management

[LEAD AGENCY]

for

State of Indiana

[BENEFICIARY]

Appendix D-4 Summary Details

Eligible Mitigation Action Type:

Category 2: School Buses

Explanation of how funding request fits into Beneficiary's Mitigation Plan (5.2.1):

Indiana will generally fund all eligible mitigation action (EMA) types included in Appendix D-2 of the national consent decree. The Mission Statement and Overall Goals are described below:

In promoting the reduction of emissions of NOx, the Indiana Volkswagen Environmental Mitigation Trust Fund Program will prioritize sustainable projects that are transformative, positively impacting the environment, enhancing the health and well-being of residents, and promoting Indiana's growing economy.

The Program will focus on technological change and advancement with resiliency and favoring use of domestic fuel, where possible.

The goals of the Indiana Volkswagen Mitigation Trust Program include:

- Improving air quality across Indiana through cost-effective NOx emission reduction strategies
- Maximizing diesel emission reductions across Indiana, while considering various categories of sensitive populations as areas of specific focus
- Providing appropriate considerations to projects that have diesel emission reductions that go beyond just NOx, including PM_{2.5}, hydrocarbons (HC), carbon monoxide (CO), and carbon dioxide (CO₂)
- Encouraging leveraging of project partner funds with VW Trust funds to further the reach of the Indiana program

Detailed Description of Mitigation Action Item Including Community and Air Quality Benefits (5.2.2):

This project is for the purpose of purchase, installation, operation and maintenance of two (2) 2024 model-year, or newer, U.S. EPA emission compliant propane-powered school buses that will REPLACE two (2) of the Grantee's model year 1992-2009 existing diesel-powered school buses that will be used for the same purpose. The replacement vehicles will be located and primarily operated in East Chicago, Indiana.

Propane-powered school buses dramatically improve air quality and the quality-of-life conditions for operators, technicians, and citizens in the areas of operation due to notably lower tailpipe emissions in addition to less noisy engine operations.

The lifetime estimated emission reductions of pollutants according to U.S. EPA's Diesel Emission Quantifier (DEQ) include 0.290 tons of nitrogen oxides (NOx) and 0.018 tons of fine particulate matter (PM2.5).

Estimate of Anticipated NOx Reductions (5.2.3):

Over the lifetime of these school buses, U.S. EPA's DEQ model estimates this project will result in a NOx reduction of 0.290 tons.

Identification of Governmental Entity Responsible for Reviewing and Auditing Expenditures of Eligible Mitigation Action Funds to Ensure Compliance with Applicable Law (5.2.7.1):

Indiana Department of Environmental Management

Describe how the Beneficiary will make documentation publicly available (5.2.7.2):

In October of 2017, IDEM published a website with specific information on the national mitigation trust as well as the Indiana program. The website included an opportunity to sign up for automated updates to make sure interested parties were always kept apprised of any changes made to the program website. This web portal will be the location for all information related to the Indiana Volkswagen Mitigation Trust Program.

Describe any cost share requirement to be placed on each NOx source proposed to be mitigated (5.2.8):

Indiana will use Volkswagen Mitigation Trust funds to reimburse non-government-owned fleet and equipment owners at the levels specified in Appendix D-2 of the national mitigation trust. IDEM will also use Volkswagen Mitigation Trust funds to reimburse government-owned fleets and equipment at the same level as non-government owned fleet and equipment owners, as opposed to the full cost reimbursement permitted by Appendix D-2.

Specifically, for this project, the Indiana Volkswagen Mitigation Trust Program will cover up to 25% of the total project cost while the Grantee and/or other programs covers the remaining 75%.

Describe how the Beneficiary complied with subparagraph 4.2.8, related to notice to U.S. Government Agencies (5.2.9):

The Indiana Department of Environmental Management notified representatives as identified in Appendix D-2 of the national consent decree via email in March of 2018.

If applicable, describe how the mitigation action will mitigate the impacts of NOx emissions on communities that have historically borne a disproportionate share of the adverse impacts of such emissions (5.2.10):

Not applicable to this specific project.

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Attachment B Details

Project Schedule and Milestones:

Indiana announces Round 5 - Onroad and Nonroad funding opportunity via online Request for Proposals (RFP)	February 16, 2024
Deadline for Round 5 – Onroad and Nonroad program applications	April 30, 2024
Indiana notifies applicants of award decisions	June 18, 2024
Funding Agreement between Indiana and Grantee is fully executed	September 17, 2024
Grantee project implementation	September 17, 2024 to September 30, 2025

Indiana reviews programmatic, financial, and other materials for compliance with Appendix D-2 of the national consent decree	Ongoing
Indiana submits Appendix D-4 to Trustee to initiate payment to Grantee in accordance with Payee Contact and Wire Information form along with other supporting documentation	December 2, 2025
Indiana coordinates with Trustee on any questions or issues that arise related to the submitted Appendix D-4	December 2, 2025 to D-4 approval
Trustee responds to Indiana Appendix D-4	Within 60 days of submittal
Trustee disburses payment to Grantee	Within 15 days of Appendix D-4 approval

Project Budget:

Project Description	Indiana VW Mitigation Trust Grant Not to Exceed Total	Grantee Cost Share Not Less than Total	Project Total
<p>Task A</p> <p>The purchase, installation, operation and maintenance of two (2) 2024 model-year, or newer, U.S. EPA emission compliant propane-powered school buses that will REPLACE two (2) of the Grantee's model year 1992-2009 existing diesel-powered school buses that will be used for the same purpose. The replacement vehicles will be located and primarily operated in East Chicago, Indiana.</p>	\$84,794.50	\$254,383.50	\$339,178.00

Percentage	25%	75%	100%
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State of Indiana Total Trust Allocation:

1) State of Indiana's Total Trust Allocation	\$45,953,516.04
2) Obligated Awards from Previous D-4 Submittals	\$33,793,375.42
3) State of Indiana's Net Remaining Allocation Prior to this D-4 Submittal	\$12,160,140.62
4) Current D-4 Funding Request Total	\$84,794.50
5) State of Indiana's Remaining Allocation After this D-4 Submittal	\$12,075,346.12

Projected Allocation Totals per Project Type:

	Total per Category	Annually Based on Expected Project Reimbursements
Total Trust	\$40,935,880.59	NA
To EV (15%) over 5 years (2021 to 2025)	\$6,140,382.09	\$2,046,794.03
To Admin (3%) over 5 years (2020 to 2025)	\$1,228,076.42	\$307,019.11
To EMAs (82%) over 5 years (2020 to 2025)	\$33,567,422.08	\$11,189,140.69

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Projected Trust Allocations:

	2020	2021	2022	2023	2024	2025 (4/30/2025+)
1) Anticipated Annual Project Funding Request to be paid through the Trust	\$3,996,653.40	\$4,841,603.20	\$5,174,086.48	\$7,563,755.37	\$15,000,000.00	\$13,948,221.89
2) Anticipated Annual Cost Share (Administrative Costs)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
3) Anticipated Total Project Funding by Year (Line 1 + Line 2)	\$3,996,653.40	\$4,841,603.20	\$5,174,086.48	\$7,563,755.37	\$15,000,000.00	\$13,948,221.89
4) Cumulative Trustee Payments Made to Date Against Cumulative Approved Beneficiary Allocation	\$3,895,454.40	\$4,710,898.20	\$5,165,086.48	\$7,494,846.85	\$5,817,947.20	\$1,788,081.27
5) Current Beneficiary Project Funding to be paid through the Trust (Line 1)	\$101,199.00	\$130,705.00	\$9,000.00	\$68,908.52	\$424,000.00	\$84,794.50
6) Total Funding Allocated to Beneficiary, inclusive of Current Action by Year (Line 4 + Line 5)	\$3,996,653.40	\$4,841,603.20	\$5,174,086.48	\$7,563,755.37	\$6,241,947.20	\$1,872,875.77

7) Beneficiary Share of Estimated Funds Remaining in Trust at Beginning of Year	\$40,935,880.59	\$36,939,227.19	\$32,097,623.99	\$26,923,537.51	\$19,359,782.14	\$13,948,221.89
8) Net Beneficiary Funds Remaining in Trust, net of cumulative Beneficiary Funding Actions (Line 7 – Line 6)	\$36,939,227.19	\$32,097,623.99	\$26,923,537.51	\$19,359,782.14	\$13,117,834.94	\$12,075,346.12

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Attachment C Details

Detailed Plan for Reporting on Eligible Mitigation Action Implementation (5.2.11):

The Indiana Department of Environmental Management (IDEM) is committed to meet the reporting requirements as detailed in Subparagraph 5.3 of the Environmental Mitigation Trust Agreement for State Beneficiaries. Specifically, this subparagraph states:

“For each Eligible Mitigation Action, no later than six months after receiving its first disbursement of Trust Assets, and thereafter no later than January 30 (for the preceding six-month period of July 1 to December 31) and July 30 (for the preceding six-month period of January 1 to June 30) of each year, each Beneficiary shall submit to the Trustee a semiannual report describing the progress implementing each Eligible Mitigation Action during the six-month period leading up to the reporting date (including a summary of all costs expended on the Eligible Mitigation Action through the reporting date). Such reports shall include a complete description of the status (including actual or projected termination date), development, implementation, and any modification of each approved Eligible Mitigation Action.

Beneficiaries may group multiple Eligible Mitigation Actions and multiple sub-beneficiaries into a single report. These reports shall be signed by an official with the authority to submit the report for the Beneficiary and must contain an attestation that the information is true and correct and that the submission is made under penalty of perjury. To the extent a Beneficiary avails itself of the DERA Option described in Appendix D-2, that Beneficiary may submit its DERA Quarterly Programmatic Reports in satisfaction of its obligations under this Paragraph as to those Eligible Mitigation Actions funded through the DERA Option. The Trustee shall post each semiannual report on the State Trust’s public-facing website upon receipt.”

IDEM will meet these obligations in coordination with our project partners. Project partners are obligated to provide IDEM the necessary information for reports to the Trustee through the Funding Agreements between IDEM and each project partner. This language states:

“4. Implementation and Reporting Requirements

A. The Grantee shall implement and complete the Project in accordance with **Attachment A** and the plans and specifications contained in its Funding Application. Modification of the Project shall require prior written approval from IDEM. If IDEM determines that the Grantee is not making adequate progress in implementation of the approved Project in accordance with **Attachment A**, IDEM may rescind the award.

B. The Grantee shall submit to IDEM written progress reports until the completion of the Project. These reports shall be submitted in accordance with the reporting schedule contained in **Attachment C** and shall contain such detail of progress or performance on the Project as is required under the terms of the Volkswagen Diesel Emissions Environmental Mitigation Trust. If additional documentation is required for IDEM to meet reporting obligations under the Volkswagen Diesel Emissions Environmental Mitigation Trust, IDEM may request such documentation as necessary at any time during the term of this Agreement.”

Attachment D Details

Detailed cost estimates from selected or potential vendors for each proposed expenditure exceeding \$25,000 (5.2.6):

Detailed in the following pages:

INVOICE: 100

Date: 9/10/25

To: Indiana Department of Environmental Management

Attn: Shawn M. Seals

Office of Air Quality -Mail Code 61-50

100 N Senate Ave

Indianapolis, IN 46204-2251

RE: IN VW Settlement Round 5-003

Amount: \$84,794.50

Total Amount Paid: \$339,178 for two LPG School Buses

Request: \$84,794.50

Cost Share: \$254,383.50

Bank:

[REDACTED]

ABA/Routing Number:

[REDACTED]

SWIFT:

NA

Account Number:

[REDACTED]

Bank Phone #:

[REDACTED]

Name on Account:

School City of East Chicago

Bank Address:

[REDACTED]

Board of School Trustees



● Pattie Jo Gibson-King, Board President ● Diane Smith, Board Vice-President ● Maria Mercedes Taylor, Board Secretary
● Joel Rodriguez, Board Trustee ● Jesse Gomez, Board Trustee

12/13/24

ACCOUNTS PAYABLE VOUCHER

BANK 61 Peoples (A/P)

CHK #: 116944 DATE: 12/13/24

PAYEE: MACALLISTER MACHINERY CO. (212155)
Dept. 78731
P.O. Box 78000
Detroit, MI 48278-0731

Table with columns: FND F ACCTN OBJCT SC - - ACCT TITLE - - AMOUNT PAID CLAIM P.O. # VENDOR SEQ#. Rows include Dir Stu Transp Stu, Elementary Schl Ge, and Purch Of Buses Oth/Veh.

WE HAVE EXAMINED THE INVOICE(S) OR BILL(S) ATTACHED AND ARE APPROVING SUCH INVOICE(S) OR BILL(S) IN THE AMOUNT OF \$465,897.00 DATED _____

Four horizontal lines for additional notes or signatures.

ACCOUNTS PAYABLE VOUCHER
School City of East Chicago
East Chicago, IN

AN INVOICE OR BILL TO BE PROPERLY ITEMIZED MUST SHOW KIND OF SERVICE, WHERE PERFORMED, DATES SERVICES RENDERED, BY WHOM, RATES PER DAY, NUMBER OF HOURS, RATE PER HOUR, NUMBER OF UNITS, PRICE PER UNIT, ETC.

Table with columns: INVOICE DATE, INVOICE NUMBER, DESCRIPTION (OR NOTE ATTACHED INVOICE(S) OR BILL(S)), AMOUNT. Rows for 12/13/24: Mini Bus (\$126,719.00) and Learning Loss - Buses (\$339,178.00).

I HEREBY CERTIFY THAT THE ATTACHED INVOICE(S), OR BILL(S), IS (ARE) TRUE AND CORRECT AND THAT THE MATERIALS OR SERVICES ITEMIZED THEREON FOR WHICH CHARGE IS MADE WERE ORDERED AND RECEIVED EXCEPT _____

DATED _____ SIGNATURE _____ TITLE _____

I HEREBY CERTIFY THAT THE ATTACHED INVOICE(S), OR BILL(S), IS (ARE) TRUE AND CORRECT AND I HAVE AUDITED SAME IN ACCORDANCE WITH IC 5-11-10-1-6.

DATED 12/13/24 TREASURER [Signature: Dela Simmons]



SCHOOL CITY OF EAST CHICAGO
 1401 E. 144th Street
 East Chicago, IN 46312

219-391-4100 Fax: 219-391-4155

PAGE 1 OF 1

PURCHASE ORDER

PURCHASE ORDER NUMBER MUST APPEAR ON
 INVOICES, PACKAGES & ANY CORRESPONDENCE
 ALL SHIPPING CHARGES MUST BE PREPAID

DATE	PURCHASE ORDER
8/21/24	NO. 26998

VENDOR NO. 212155 Phone No: 317-591-9100
 Fax No: 317-591-9610

MacAllister Transportation
 8800 Brookville Road

Indianapolis, IN 46239

S
H
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T
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SCEC Transportation Dept.
 211 E. Columbus Drive
 East Chicago, IN 46312
 ATTN: L.Simmons/ec

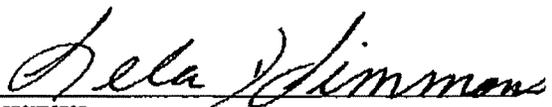
INDIANA RETAIL TAX EXEMPTION
 0003124002-001

REQUISITION NO.
 00011044

QUANTITY	UNIT	DESCRIPTION	UNIT PRICE	AMOUNT
1	EA	LEARNING LOSS - 2 BUSES REQUESTED BY: LELA SIMMONS CIESC COOPERATIVE PURCHASING PRICE COMPARISON REPORT - SPEC #50583 NOTES EAST CHICAGO 72 PROPANE GEN ED PRODUCT CATEGORY BUS: CONVENTIONAL (SPRING 2024 BUS PURCHASING BID) PRODUCT 72 PASSENGER QUANTITY 2		
2	EA	UNIT PRICE	169,589.00	339,178.00
1	EA	SEE FILE ATTACHED: QUOTE - EAST CHICAGO 72 PASS PROPANE		

THIS ORDER IN COMPLIANCE WITH IC 5-22
 AND ACTS AMENDATORY AND SUPPLEMENT THERETO.

TOTAL >>>> 339,178.00

FUND/DESCRIPTION	ACCOUNT NO.	AMOUNT	
7950 ARP ESSER III	0 11100 61100 0001 00000	339,178.00	- SHIPPING INSTRUCTIONS - IN ACCORDANCE WITH BID OR CONTRACT NUMBER DATED IF SUBJECT TO CASH DISCOUNT, PLEASE INDICATE ON INVOICE I HEREBY CERTIFY THAT THERE IS AN UNOBLIGATED BALANCE IN THIS APPROPRIATION SUFFICIENT TO PAY FOR THE ABOVE ORDER  REQUESTOR 8/26/2024
TOTAL >>>>		339,178.00	



REMIT TO	MacAllister Machinery Dept. 78731 P.O. Box 78000 Detroit, MI 48278-0731
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MACALLISTER MACHINERY Ph 317-591-9100
8800 BROOKVILLE RD
INDIANAPOLIS IN 46239

Account Number: 2245781
CUSTOMER ORIGINAL INVOICE

SOLD TO
EAST CHICAGO CITY OF SCH
211 COLUMBUS DR
EAST CHICAGO IN 46312

INVOICE NUMBER	INVOICE DATE	ORDER NUMBER	CUSTOMER PO NUMBER	PAGE
MS9465111	01/23/2025	BUS-SLS-8883346		1/1
QTY	ITEM	DESCRIPTION	UNIT PRICE	EXTENSION

SEGMENT 1

EQUIPMENT

1	BLUE BIRD SB-CONV-P SN.1BAKGCHH8TF816532 ID# F554200	169,589 00	169,589 00
1	BLUE BIRD SB-CONV-P SN.1BAKGCHHXTF816533 ID# F554201	169,589 00	169,589 00

SEGMENT TOTAL 339,178.00

SUBTOTAL BEFORE TAXES

INDIANA RETAIL NON-TAX INTERNAL 339,178.00
0 00

The terms and conditions found at www.macallister.com/transportationsales are incorporated herein by this reference and govern this transaction. Please read carefully.

Please print your name, title, and date below. Your signature indicates you have read, understand, and agree to the terms and conditions.

Print Name _____ Title _____ Date _____

Signature _____

PAYMENT TERMS
A monthly Finance Charge of 1.00% (12% APR) will be added on all past due amounts. Title to goods remains with the seller until full payment is received.

CREDIT AMOUNT	
DO NOT PAY	
PAY THIS AMOUNT	339,178.00



SCHOOL CITY OF EAST CHICAGO
 1401 E. 144th Street
 East Chicago, IN 46312

APPROVED BY STATE BOARD OF ACCOUNTS FOR School City of East Chicago 2010

PEOPLES BANK
 MONSTER, INDIANA

NO. 117348

71-7392
 2179

PAY ONLY »»»» THREE HUNDRED THIRTY-NINE THOUSAND ONE HUNDRED SEVENTY EIGHT
 AND 00/100

PAY TO THE ORDER OF:

MACALLISTER MACHINERY CO.
 DEPT. 78731
 P.O. BOX 78000
 DETROIT, MI 48278-0731

DATE
 2/20/25

AMOUNT
 ***339,178.00

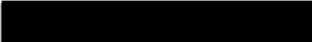
THIS CHECK VOID TWO YEARS AFTER
 DEC. 31 OF YEAR OF ISSUE

Dela Simmons
 TREASURER



049195

JPMORGANCHASE BK NA CR TO NMD



PAYEE ALL



RTS RSVD

